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General Properties / Scaling Laws & Inherent Limitations of Energy Electronics

J.W. Kolar et al.

Swiss Federal Institute of Technology (ETH) Zurich Power Electronic Systems Laboratory www.pes.ee.ethz.ch

May 20, 2019





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General Properties / Scaling Laws & Inherent Limitations of Energy Electronics

J.W. Kolar, F. Krismer, P. Papamanolis

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May 20, 2019



ETH Zurich

21	Nobel Prizes
509	Professors
5800	T&R Staff
2	Campuses
136	Labs
35%	Int. Students
90	Nationalities
36	Languages

150th Anniv. in 2005



Departments

ARCH **Architecture** BAUG **Civil, Environmental and Geomatics Eng.** BIOL **Biology** BSSE **Biosystems** CHAB **Chemistry and Applied Biosciences Earth Sciences** ERDW GESS Humanities, Social and Political Sciences HEST Health Sciences, Technology **Computer Science** INFK ITET **Information Technology and Electrical Eng.** MATH **Mathematics Materials Science** MATL MAVT **Mechanical and Process Engineering** Management, Technology and Economy MTEC PHYS **Physics Environmental Systems Sciences** USYS

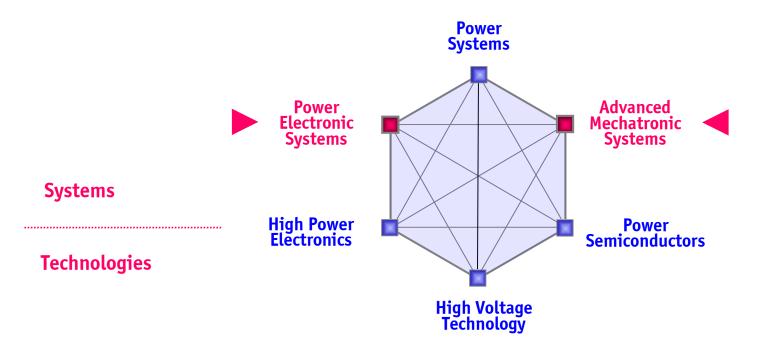
Students ETH in total

14′500	B.Sc.+M.ScStudents
4′500	Doctoral Students





ITET – Research in E-Energy

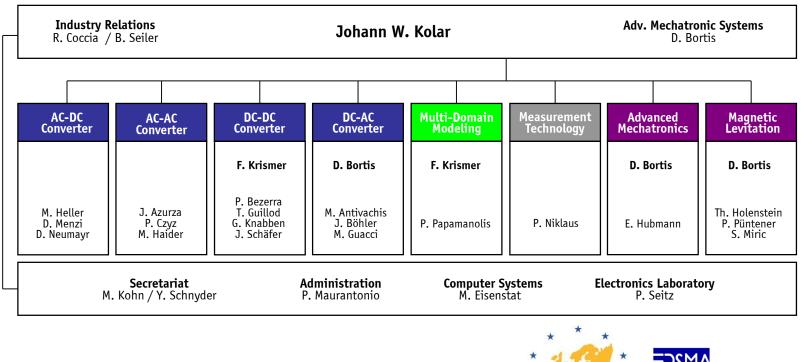








Power Electronic Systems Laboratory



19 Ph.D. Students 2 Sen. Researchers

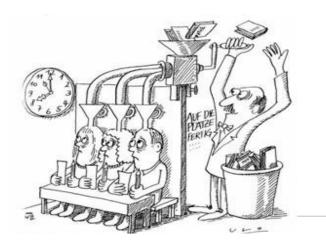
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Outline



- Introduction

- AC vs. DC 1-Ф vs. 3-Ф Power Transmission Power Transistors & Packaging Efficiency & Multi-Objective Optimization Future Technology Development Conclusions





Introduction



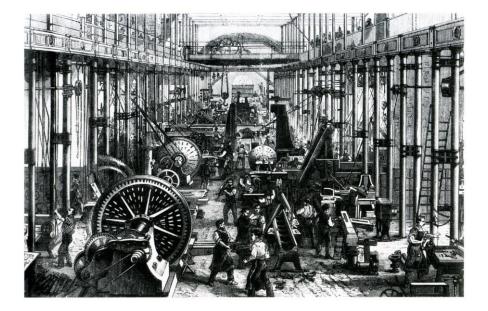
A Leap Back in Time to the Beginnings of Electrical Engineering





1st Industrial Revolution \rightarrow Industry 1.0

- 1760 → 1840
- Introduced by Numerous Key Inventions
- New Machines Facilitating Adv. Production & Transportation (Locomotives, Ships)
- Coal Fired Steam Engine (J. Watt, 1776) as Main Power Source



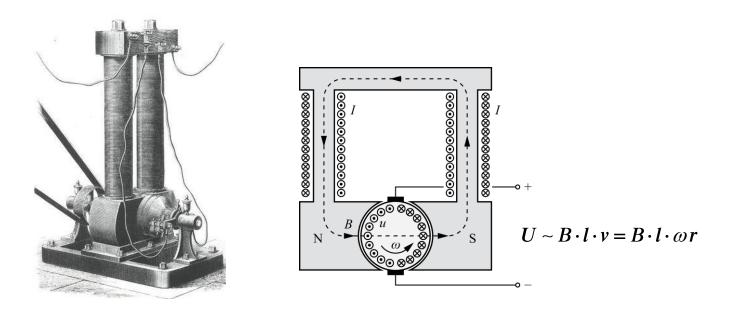
• Immense Growth in Coal Consumption / Massive Air Pollution \rightarrow UK Public Health Act (1875)





2^{nd} Industrial Revolution \rightarrow Industry 2.0

- $1840 \rightarrow 1880$
- New Steel Mass Manufacturing Processes (H. Bessemer, 1856)
 Electrical Technology Developed / Main Source of Power & Used in Adv. Production
 First Giant Industrial Corporations (e.g. GE, 1892)



• Steam Turbine Driven DC Generator / Dynamo ("Long-Legged Mary Ann") - T.A. Edison, 1880



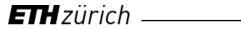




Voltage Step-Up/Step-Down

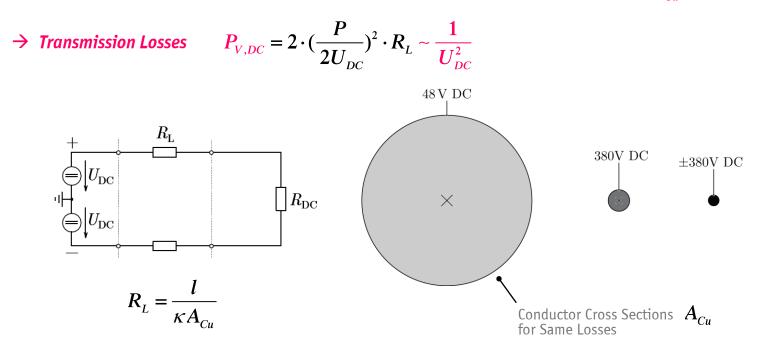






Losses of DC Power Systems

- Increase of Transmission Line Resistance with Transmission Distance *l*
- Red. of Resistance for Fixed Voltage only Through Larger Conductor Cross Section A_{cu}



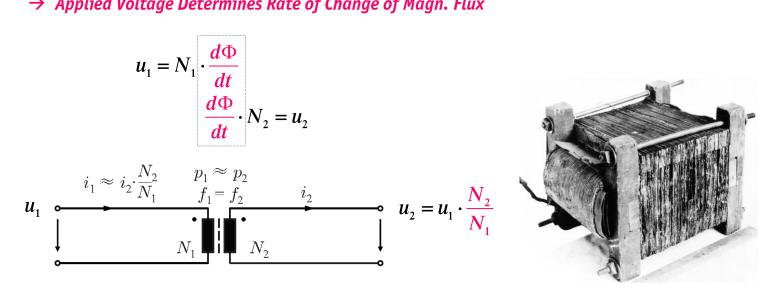
- **Quadratic (!) Dependency of Losses on Voltage Level** Allows Massive Reduction of Conductor Cross Section with Increasing Voltage Level





Voltage Step-Up/Down \rightarrow *AC Power System*

- Voltage Transformation Based on "Electromagnetic Induction" (M. Faraday, 1831)
- First Transformers Employing Toroidal Cores Starting 1878
- Initially Different Operating Frequencies (e.g. 133Hz)
- \rightarrow Applied Voltage Determines Rate of Change of Magn. Flux



- 1st Transformer Construction Allowing Easy Manufacturing (W. Stanley / G. Westinghouse)
- 2.2kV \rightarrow 11kV for Long Distance 3- \oplus Power Transmission (Niagara Falls \rightarrow Buffalo, 1896)





Classical Transformer Properties

- Magnetic Core Material
- Winding Material
 Insulation/Cooling
 Operating Frequency

- * Silicon Steel

- * Copper or Aluminium
 * Mineral Oil or Dry-Type
 * 50/60Hz (El. Grid, Traction) or 16.7Hz (Traction)

Source: www.faceofmalawi.com



- Main Advantages
- Inexpensive

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- Purely Passive
 Highly Robust / Reliable
 Highly Efficient
 Short Circuit Current Limitation





Scaling of $1-\Phi$ Transformers (1)

Relation of Applied Voltage and Magnetic Flux

$$\boldsymbol{u}_{1} = \sqrt{2}\boldsymbol{U}_{1}\sin(\omega t) = N_{1} \cdot \frac{d\Phi}{dt} \quad \Rightarrow \quad \Phi = -\frac{\sqrt{2}\boldsymbol{U}_{1}}{\omega N_{1}}\cos(\omega t) = \hat{B}\boldsymbol{A}_{E}\cos(\omega t)$$

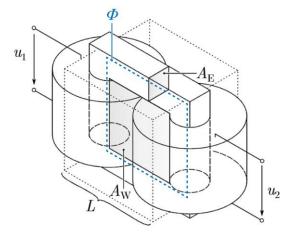


- Winding Window
- Area Product

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 P_{t} Rated Power k_{W} Window Utilization Factor B_{max} ... Flux Density Amplitude J_{rms} ... Winding Current Density f Frequency

 $A_{E} = \frac{1}{\sqrt{2\pi}} \frac{U_{1}}{\hat{B}_{\max}f} \cdot \frac{1}{N_{1}}$ $A_{W} = 2\frac{I_{1}}{k_{W}J_{\text{rms}}} \cdot N_{1}$ $A_{E}A_{W} = \frac{\sqrt{2}}{\pi} \frac{P_{t}}{k_{W}J_{\text{rms}}\hat{B}_{\max}f} \sim L^{4}$



- $\boldsymbol{I}_2 \cdot \boldsymbol{N}_2 \approx \boldsymbol{I}_1 \cdot \boldsymbol{N}_1$
- Economic Advantage of Large Systems \rightarrow "The Bigger the Better"





Scaling of 1- Φ Transformers (2)

Rated Power of Transformers

$$S_{2} = U_{2}I_{2} = U_{1}\frac{N_{2}}{N_{1}}I_{1}\frac{N_{1}}{N_{2}} = U_{1}I_{1} = S_{1}$$
$$S = \frac{1}{2}\sum_{i}U_{i}I_{i}$$

- Area Product
$$A_E A_W = \frac{\sqrt{2}}{\pi} \frac{P_t}{k_W J_{\text{rms}} \hat{B}_{\text{max}} f} = \frac{\sqrt{2}}{\pi} \frac{S}{k_W J_{\text{rms}} \hat{B}_{\text{max}} f}$$

— Scaling of Power

$$S \sim L^4$$

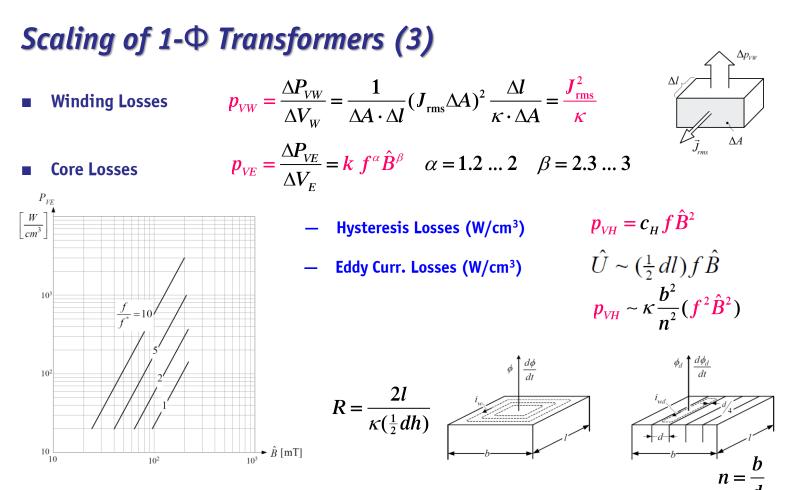
 $V \sim L^3$ $m \sim L^3$

- Scaling of Volume / Mass / Costs
- Scaling of Core & Wdg Losses $P_{_{\!\!V,W}}=p_{_{\!VW}}V_{_{\!W}}\sim I$

$$= p_{VW}V_W \sim L^3 \qquad P_{V,E} = p_{VE}V_E \sim L^3$$

• Economic Advantage of Large Systems → Lower Relative Costs & Higher Efficiency (!)





- Losses prop. to Volume / Heat Transfer to Ambient prop. to Surface
- Requires Adv. Cooling of Higher Power Systems for Avoiding Thermal Limitation

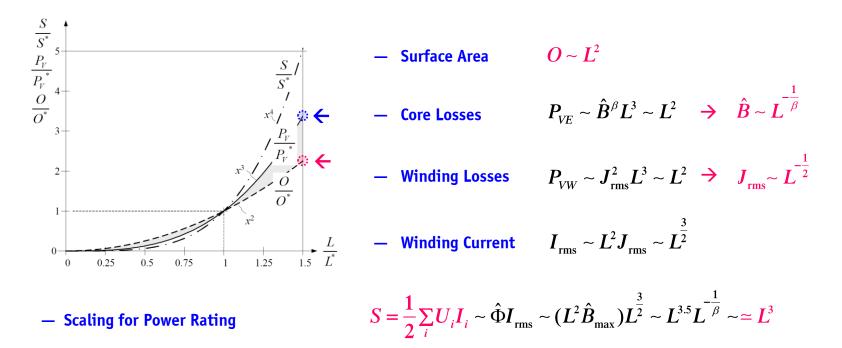
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Scaling of 1-*Ф* Transformers (4)

■ Thermally Limited Designs → Allowed Increase of Losses Coupled to Increase of Surface



• Volume prop. to Rated Power \rightarrow Constant Power Density (!)



Remark Scaling Applied to Biology

 Comparison of Skeleton / Metabolism etc. of Animals of Different Physical Sizes (e.g. Cat & Elephant)

Source: getdrawings.com/estuary-drawing

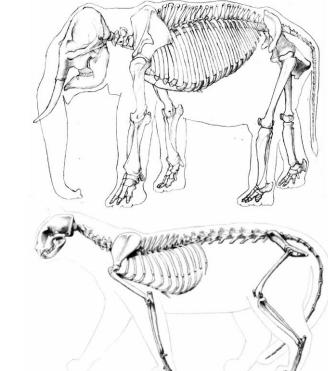
- Mass / Weight of an Animal
- Area-Related Strength of Bones
- Required Diameter of the Bones

$$m \sim L^{3}$$

$$\sigma(\frac{\text{kg}}{\text{cm}^{2}}) \approx \text{const.}$$

$$\sigma D^{2} \sim m \sim L^{3}$$

$$D \sim L^{\frac{3}{2}}$$







- First Systematic Studies by *Galileo Galilei* (1564-1642)
- Diameter of Bones Disproportional to Length



$3-\Phi$ AC Power Transmission



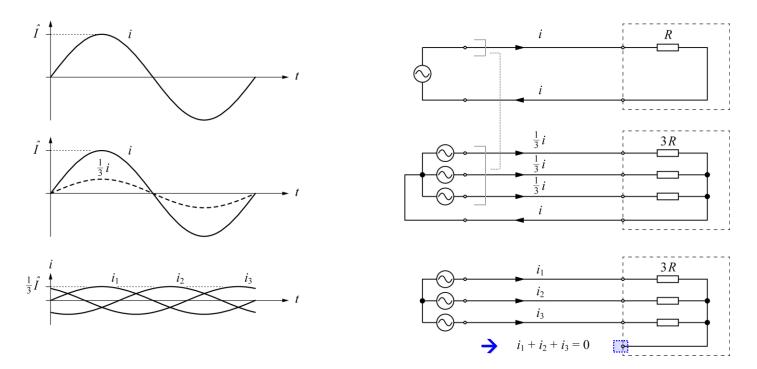
Lower Realization Effort Constant Instantaneous Power Flow Generation of Constant Torque





Advantages of $3-\Phi$ Power Transfer (1)

Comparison for $1-\Phi$ Power Transfer to $3 \times 1-\Phi$ System & Direct $3-\Phi$ System



- 3-Φ System → Reduction of Losses and Conductor Material Effort by Factor of 2 (!)
 "Interleaving" of the Phases also Employed in Pulse-Width Modulated Converters

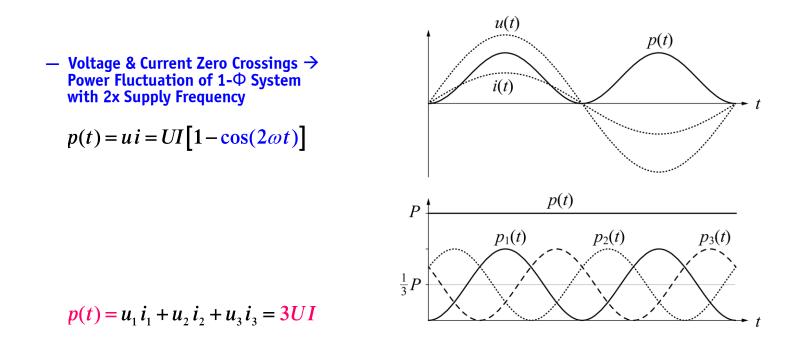


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Advantages of $3-\Phi$ Power Transfer (2)

■ Comparison of Instantaneous Power Flow of 1-Φ and Direct 3-Φ System



- 3- Φ System \rightarrow "Interleaving" of the Phases Results in Const. Instantaneous Overall Power Flow
- No Storage Required for 3-Φ AC/DC Conversion & Const. Torque Generation of 3-Φ Machines



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Remark Classical Locomotives

- **1-Φ Overhead Line Supply Used for Simplicity** / Rail for Current Return **16.7Hz** Due to Supply Frequ. Related Commutation Problem of Early 1-Φ AC Commutator Motors
- Catenary Voltage 15kV or 25kV Frequency 16.7Hz or 50Hz Power Level 1...10MW typ. Source: www.abb.com 16.7 Hz 0 Transformer Efficiency **Current Density**

Power Density

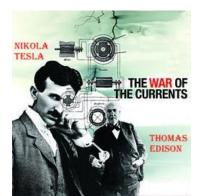
15 kV 1ph DC 1 kV 3ph

90...95% (due to Restr. Vol., 99% typ. for Distr. Transf.) 6 A/mm² (2A/mm² typ. Distribution Transformer) 2...4 kg/kVA





AC vs. DC Power Transmission



Source: www.yacht-chartercroatia.com

T.A. Edison vs. N. Tesla DC Advantages for Very Long Distance Transmission





Remark *"The War of Currents"*

- **DC Current** Favored by Edison (Safety) / AC Technology Favored by Westinghouse (Transmission) Killing of Elephant "Topsy" (1903) by Electrocution to Demonstrate the Deadly Impact of AC AC Dynamo Powered "Electric Chair" as Alternative to Hanging \rightarrow "Westinghoused"

- AC Electric Chair



— Documentary Film by *Edison Film Company*



Finally Breakthrough of AC Technology Due to *Missing DC-Transformer* \rightarrow "*Edisons' Missing Link*"

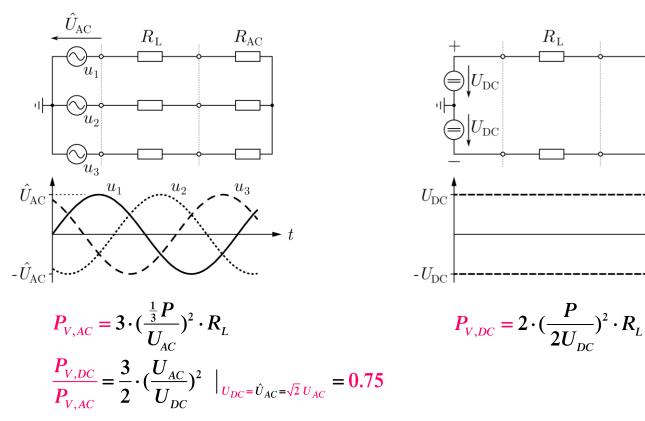




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AC vs. DC Power Transmission (1)

■ DC Voltage → Max. Utilization of Isol. Voltage → Lower Losses & Less Conductor Material (!)



• Transformation of DC Voltage Level Requires Power Electronics Interfaces (!)



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 $R_{\rm DC}$

► t

History and Development of the Electronic Power Converter

E. F. W. ALEXANDERSON E. L. PHILLIPI FELLOW AIEE NONMEMBER AIEE

THE TERM "electronic power con-verter" needs some definition. The object may be to convert power from direct current to alternating current for d-c power transmission, or to convert power from one frequency into another. or to serve as a commutator for operating an a-c motor at variable speed, or for transforming high-voltage direct current into low-voltage direct current. Other objectives may be mentioned. It is thus evidently not the objective but the means which characterizes the electronic power converter. Other names have been used tentatively but have not been accepted. The emphasis is on electronic means and the term is limited to conversion of power as distinguished from electric energy for purposes of communication. Thus the name is a definition.

Paper 44-143, recommended by the AIEE committee on electronics for presentation at the AIEE summer technical meeting, St. Louis, Mo., June 26, 30, 1944. Manuscript submitted April 25, 1944 made available for printing May 18, 1944. E. F. W. ALEXANDERSON and E. L. PHILLIPI are

with the General Electric Company, Schenectady, N.Y.





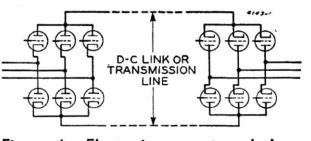


Figure 1. Electronic converter, dual-conversion type

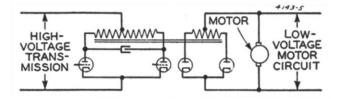


Figure 5 D-c transformer

Alexanderson, Phillipi-Electronic Converter

ELECTRICAL ENGINEERING

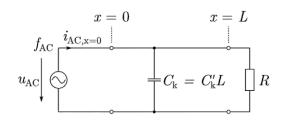




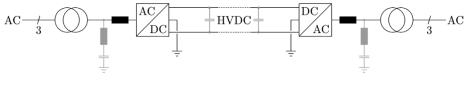
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AC vs. DC Power Transmission (2)

■ AC Cable – Thermal Limit Due to Cap. Current @ x = 0



HVDC Transmission – Advantageous for Long Distances



 $-\frac{1}{3}$ AC $-\frac{1}{3}$ Losses Cable $-\frac{1}{2}$ Distance Distance

 $P_{\mathrm{th,max}}$

 $U_{\rm AC}$

0

Ó)

Costs

AC-

 $2 U_{\rm AC}$

 $f_{\rm AC}$

 $-f_{\mathrm{AC}} = \frac{f_{\mathrm{AC}}}{2}$

 $L_{
m th,max}$

LFAC

• Low-Frequency AC (LFAC) as (Purely Passive) Solution for Medium Transmission Distances



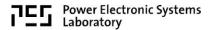
— 18/93

 $f_{\rm AC} = 0$

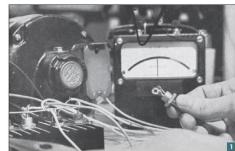
L

 $\frac{f_{\rm AC}}{2}$

DC







Transition to Modern Power Electronics

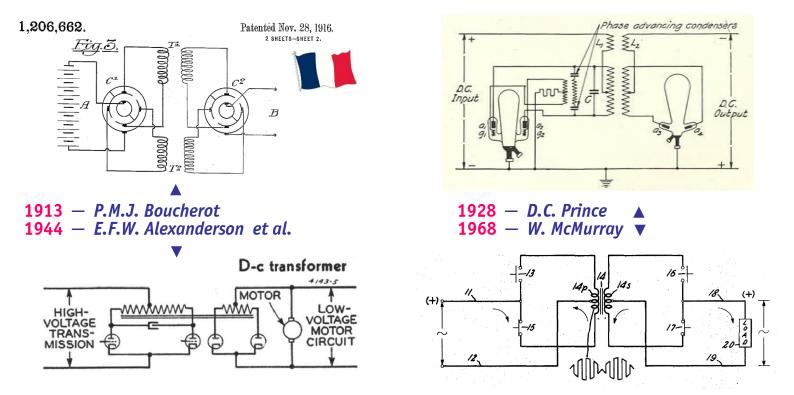
Mercury Arc Valves / Thyratrons \rightarrow Power Semiconductors





Electronic Transformer - History

- System Using Mech. Switches *Patented Already in 1913* (!) Mechanical Sw. \rightarrow Tubes \rightarrow Mercury Arc Valves \rightarrow Solid State Switches



• "Transformer of Cont. Current" / "DC Transformer" / "Electronic Transformer"



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United States Patent Office

1

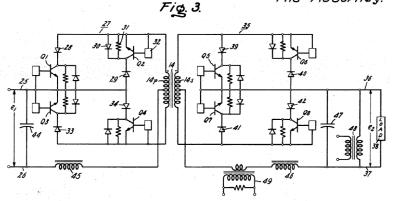
3,517,300 POWER CONVERTER CIRCUITS HAVING A HIGH FREQUENCY LINK William McMurray, Schenectady, N.Y., assignor to General Electric Company, a corporation of New York Filed Apr. 16, 1968, Ser. No. 721,817 Int. Cl. H02m 5/16, 5/30 U.S. Cl. 321-60 14 Claims

ABSTRACT OF THE DISCLOSURE

Several single phase solid state power converter circuits have a high frequency transformer link whose windings are connected respectively to the load and to a D-C or low frequency A-C source through inverter configuration switching circuits employing inverse-parallel pairs of controlled turn-off switches (such as transistors or gate turnoff SCR's) as the switching devices. Filter means are connected across the input and output terminals. By synchronously rendering conductive one switching device in each of the primary and secondary side circuits, and alternately rendering conductive another device in each switching circuit, the input potential is converted to a high frequency wave, transformed, and reconstructed at the output terminals. Wide range output voltage control is obtained by phase shifting the turn-on of the switching devices on one side with respect to those on the other side by 0° to 180°, and is used to effect current limiting, current interruption, current regulation, and voltage regulation.



Inventor: William McMurray; by Boule R. Comptell His Attorney.



1970

3,517,300

Patented June 23, 1970

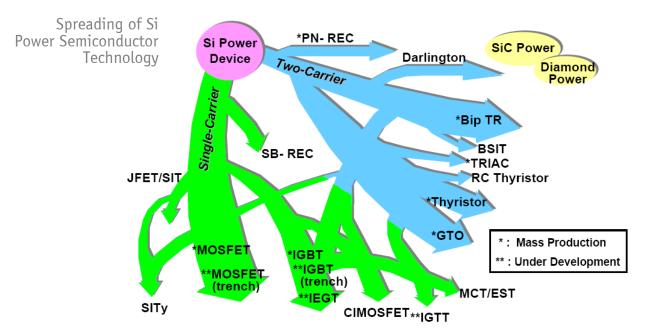
- Transistor/Diode-Based "Electronic Transformer"
- AC or DC Voltage Regulation & Current Regulation/Limitation/Interruption



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Power Semiconductor Evolution

- First Commercial Si Thyristor (Silicon Controlled Rectifier SCR) Introduced in 1958 by Unipolar and Bipolar Power Semiconductors Development Status in 1995 →



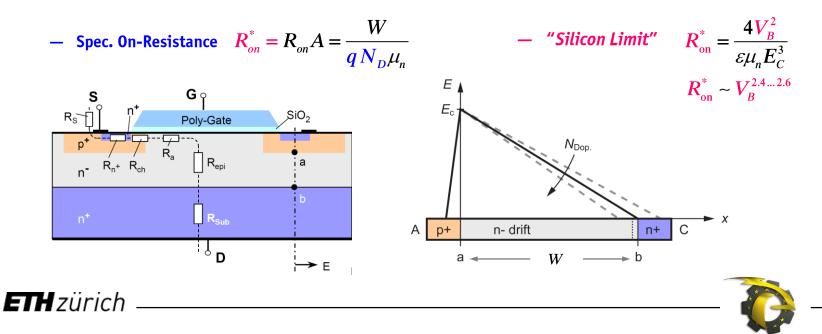
Si-Thyristor \rightarrow Si-Bipolar Transistor \rightarrow Si-Power MOSFET \rightarrow Si-IGBT \rightarrow SiC/GaN-Transistor \rightarrow t.b.c.



Unipolar Si Power Semiconductors (1)

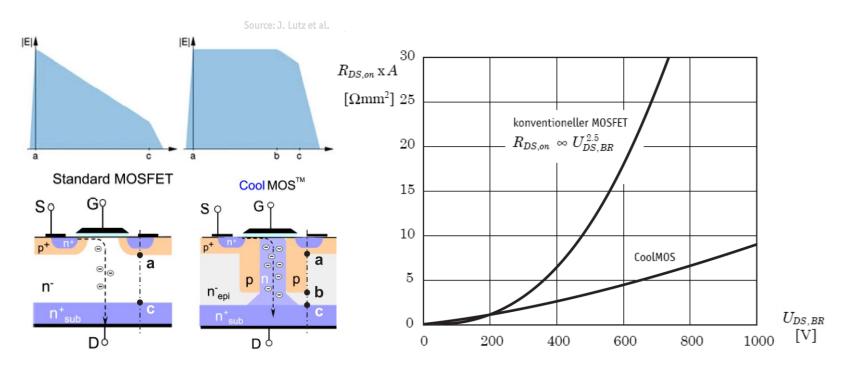
- Power MOSFETs of Higher Blocking Capability → Drift Layer Determines On-State Resistance
- Drift Layer Thickness Dependent on Blocking Capability

$$\begin{array}{ll} - & \operatorname{Blocking Voltage} & V_B \approx \frac{1}{2} W \cdot E_C \rightarrow & W = \frac{2V_B}{E_C} \\ - & \operatorname{Relation of Doping and E-Field Gradient} & \varepsilon \frac{E_C}{W} = q N_D = \varepsilon \frac{E_C^2}{2V_B} \\ - & \operatorname{On-State Resistance} & R_{on} = \frac{W}{\kappa A} = \frac{W}{q N_D \mu_n A} \end{array}$$



Unipolar Si Power Semiconductors (2)

- **Super-Junction Power MOSFETs (1997)** \rightarrow **Breaking the Silicon Limit** $R_{DS(on)} \approx U_{DS,BR}^{1.0}$ (!) Highly-Doped n-Region / p-Columns Compensating the Current Conducting n-Charge Space Charge Layer along pn-Junction for $U_{DS} > 50V$ / Depleted Voltage Sustaining Drift Zone



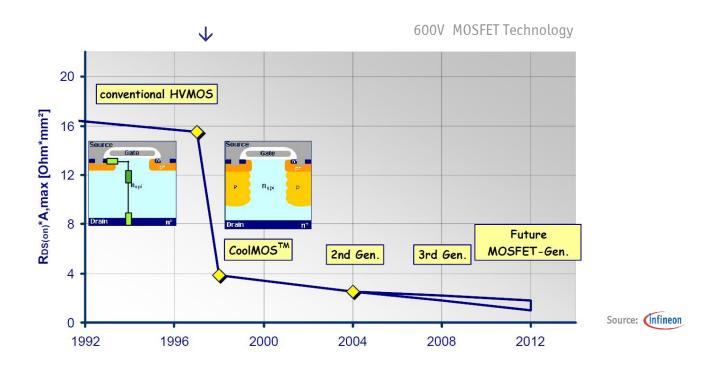
• Electrical Conductivity Provided by Majority Carriers





Si - Power MOSFET Development

Super-Junction Technology \rightarrow **Disruptive Improvement** / **Decrease of** $R_{DS,(on)}$



• Cont. Further Improvement / Main Challenges also in Low L/Low R_{th} Packaging



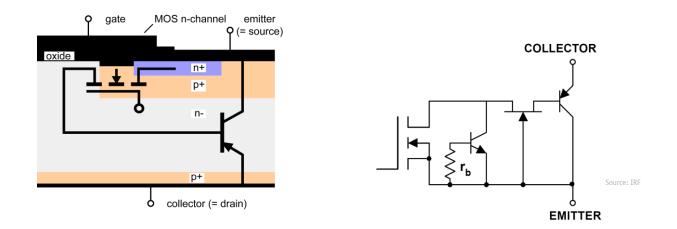


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Si - Isolated Gate **Bipolar** Transistor (IGBT)

- **MOSFET Structure Extended with Drain-Side p+ Layer** \rightarrow Minority Carrier Injection into n- Layer Conductivity Modulation \rightarrow Lower On-State Voltage @ High Blocking Voltage Rating Lifetime of Min. Carriers \rightarrow Stored in pnp-BJT Base & Resulting in "Tail Current"/Sw. Losses

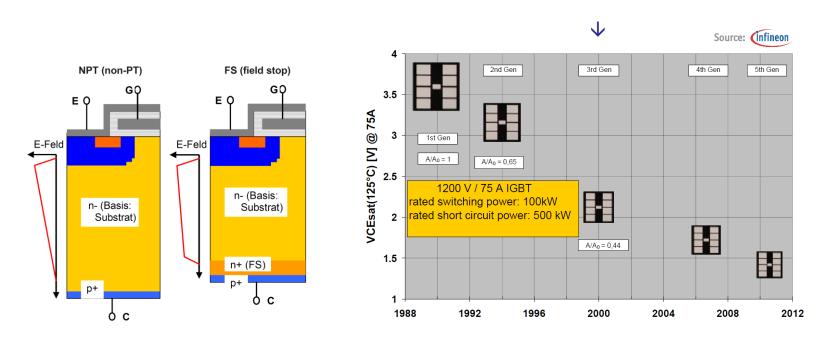


IGBT: pnp-Bipolar Junct. Transistor Driven by n-Channel MOSFET in Pseudo-Darlington Structure



Si - IGBT Development (1)

- **1988** Punch-Through (PT) \rightarrow High Costs & Neg. Temp. Coefficient (TK) 1990 Non-Punch Through (NPT) \rightarrow Rel. High On-State Voltage, pos. TK
- \rightarrow Low Losses (Tail Current), pos. TK 2000 Field Stop (FS) Layer



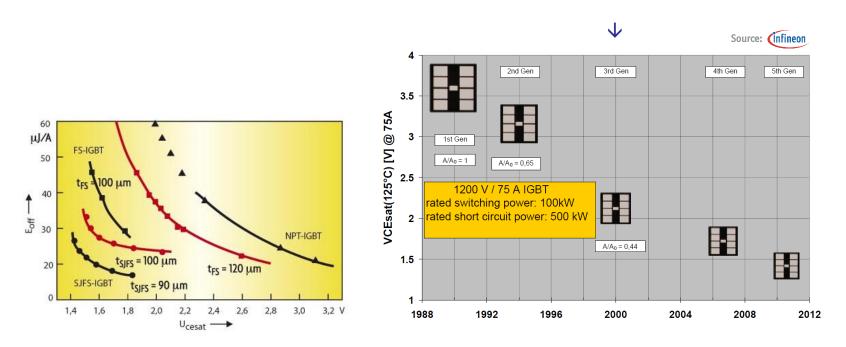
• Reverse Conducting (RC) IGBT Monolithically Integr. Free-Wheeling Diode (Spec. Anode Structure)





Si - IGBT Development (2)

- Field Stop (FS) Layer \rightarrow Thinner Wafers & Improved Sw. Performance Comp. to NPT Structure FS Layer & Trench Gate \rightarrow Improved Saturation Voltage $V_{CE,sat}$ & Turn-Off Energy E_{off}



• *Reverse Conducting (RC) IGBT Monolithically Integr. Free-Wheeling Diode (Spec. Anode Structure)*



Modern Switch-Mode Power Conversion

Pulse-Width Modulation Time/Frequency Domain & Filtering Parallel & Series Interleaving

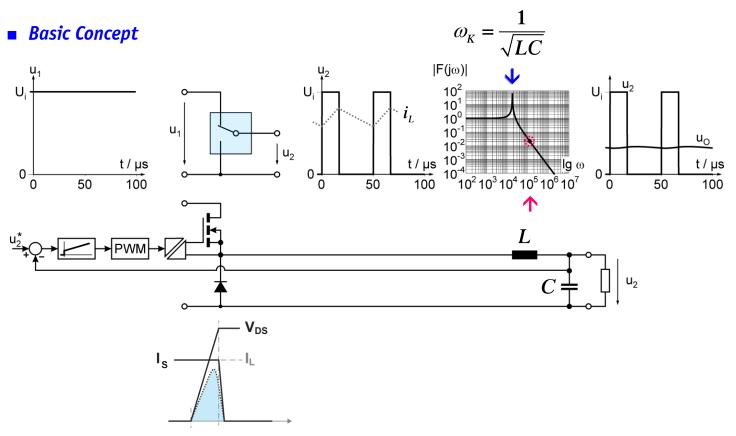


Source: www.gograph.com





Pulse Width Modulated Converters



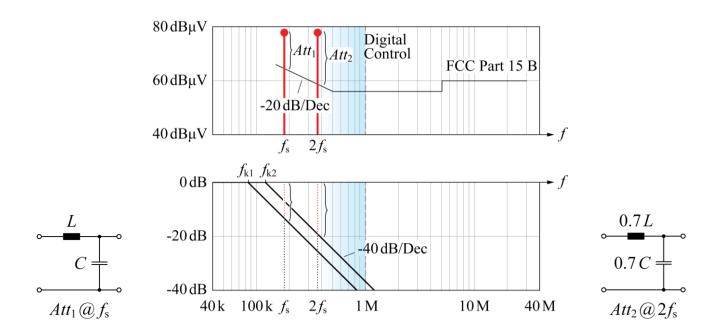
- Switch-Mode Voltage Formation and Subsequent Filtering
 Higher Sw. Frequency → Smaller Filter Components (Limited by Sw. Losses, Signal Processing etc.)



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Increasing Switching Frequency

Reduction of EMI Filter Volume for Increasing Sw. Frequency



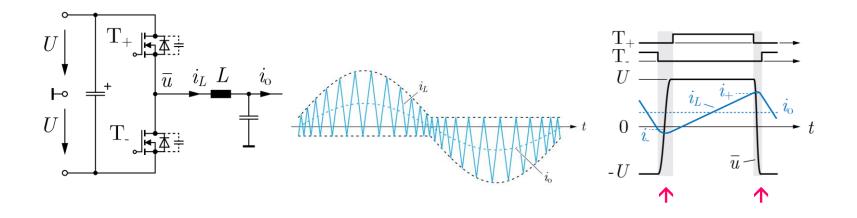
• Sw. Frequ. Limit Due to Sw. Losses (Heatsink Vol.), Inductor Losses, Signal Processing Delays etc.





Soft-Switching Operation

- Low Inductance / Triang. Curr. Mode → Zero Voltage Turn-Off AND Zero Voltage Turn-On (ZVS)
 Increase of Conduction Losses Especially @ Low Load / Residual ZVS Losses of Si-Devices



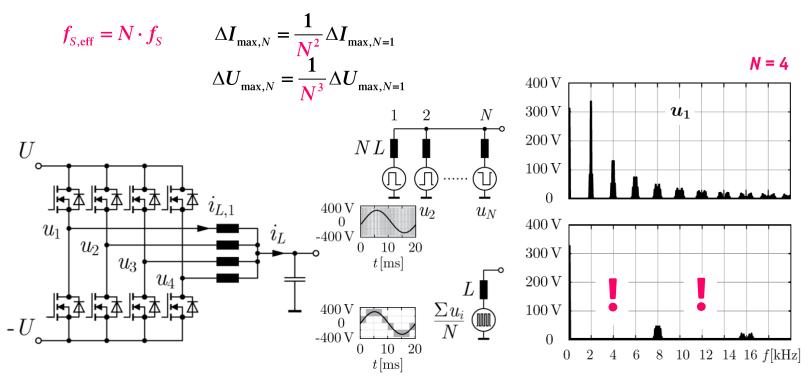
- Requires Certain Voltage Headroom for Avoiding Very Low Sw. Frequencies
- Wide Variation of Sw. Frequ. \rightarrow Spreading of EMI Noise & Red. Filter Effort / Fast Sign. Processing





Parallel Interleaving (1)

■ Multiplies Sw. Frequ. / Reduced Ripple @ Same (!) Switching Losses & Incr. Control Dynamics



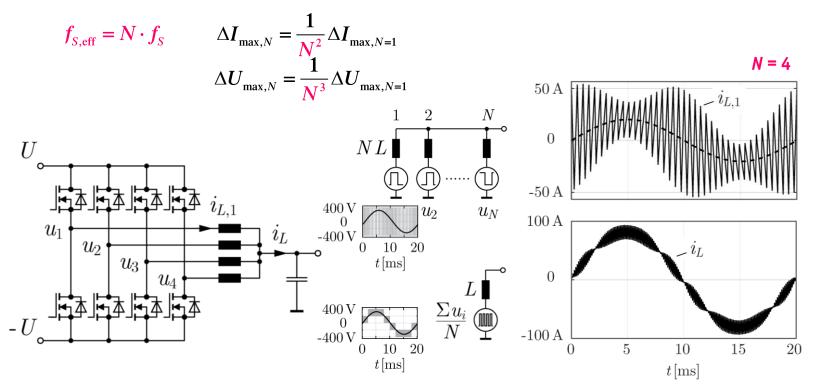
- Control Implementation Benefits from Improving Digital IC Technology
- Redundancy → Allows Large Number of Units without Impairing Reliability



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Parallel Interleaving (2)

■ Multiplies Sw. Frequ. / Reduced Ripple @ Same (!) Switching Losses & Incr. Control Dynamics

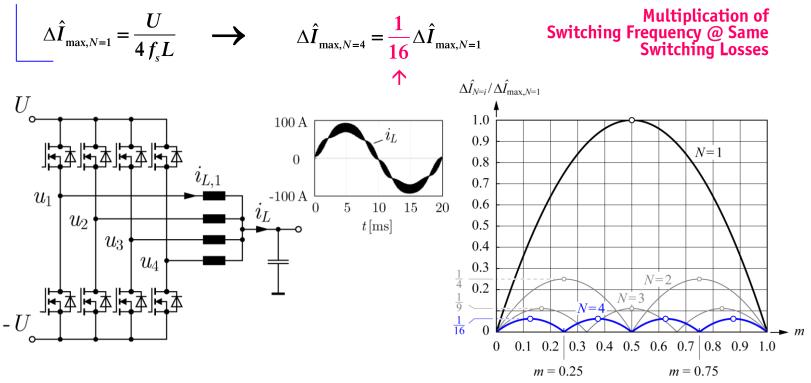


- Control Implementation Benefits from Improving Digital IC Technology
- Redundancy → Allows Large Number of Units without Impairing Reliability

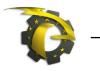


Parallel Interleaving (3)

Output Current Ripple Cancellation



• Massive Reduction of Filter Capacitance $C \rightarrow C/64 - OR - Inductance L \rightarrow L/16$



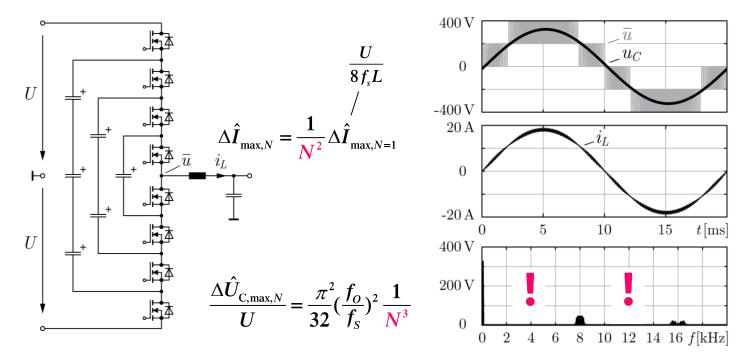
Series Interleaving (1)

Example of Flying Capacitor Converter



- Breaks the Frequency Barrier
 Breaks the Silicon Limit 1+1=2 NOT 2²=4 (!)
 Breaks Cost Barrier Standardization

- Extends LV Technology to HV



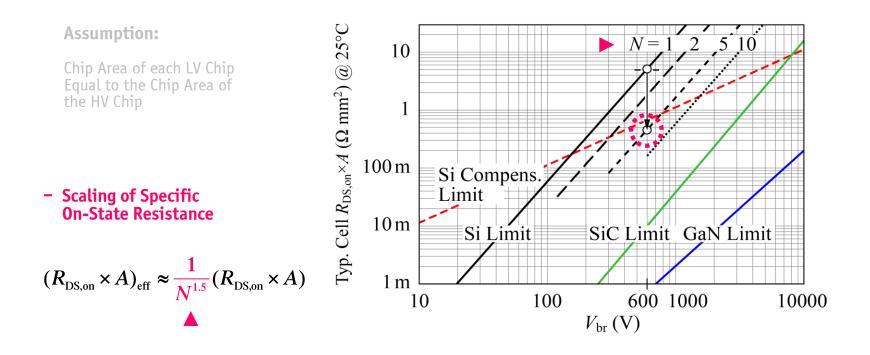
 $x^{2.5}$ Dependency of $R_{DS,(on)}$ on Blocking Voltage \rightarrow Adv. of Series Connection of LV MOSFETs



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Series Interleaving (2)

Series Connection of LV MOSFETs (or LV Cells) Effectively BREAKS the Si-Limit (!)

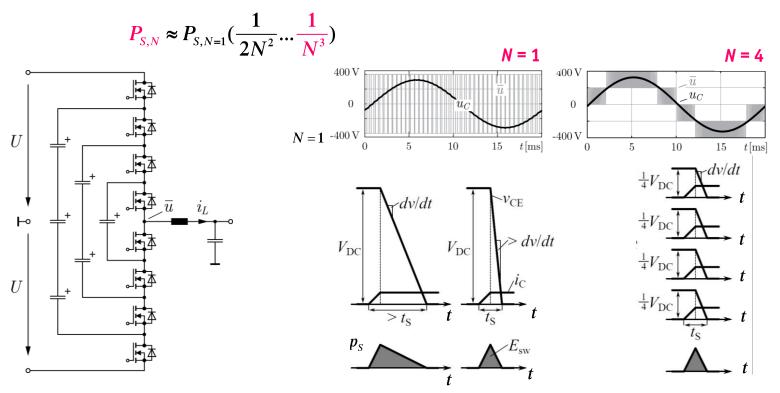


• Excellent Concept for Building Extreme Efficiency Ultra-Compact Converters



Series Interleaving (3)

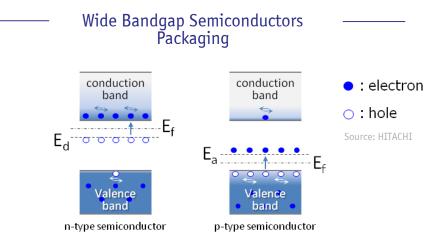
Dramatically Reduced Switching Losses (or Harmonics) for Equal $\Delta i/I$ and dv/dt



- Transistors Could Operate @ VERY Low Sw. Frequency (e.g. 20kHz) \rightarrow Low Sw. Losses / High Eff. Alternative Operation with High Effective Sw. Frequency \rightarrow Minimization of Filter Components
- •





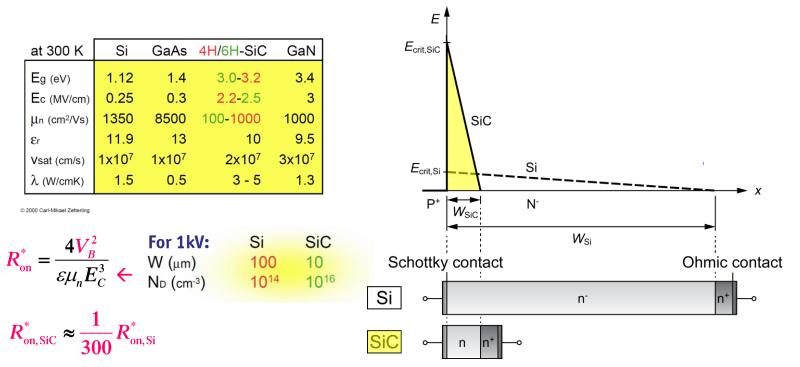






SiC Power Semiconductors

- Wide Band Gap / High T_{j,max}
 High Critical E-Field of SiC → Thinner Drift Layer

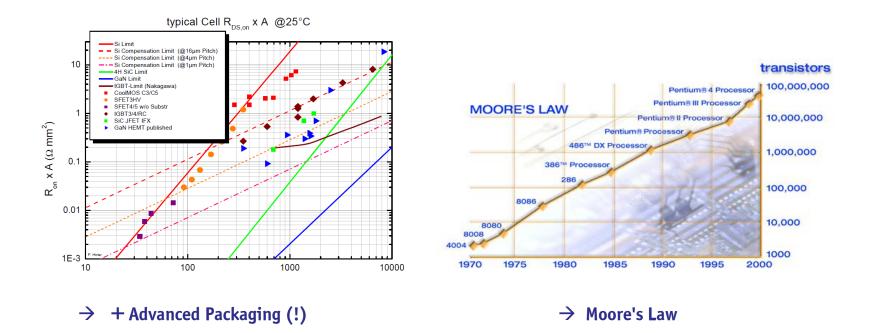


Massive Reduction of Relative On-Resistance (!) \rightarrow High Blocking Voltage Unipolar Devices



SiC & Digital Control \rightarrow Technology Push

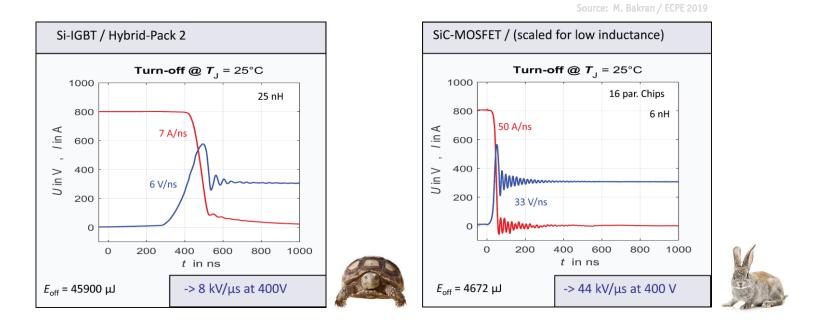
- - WBG Semiconductor Technology → Higher Efficiency, Lower Complexity Digital Signal Processing → Fully Digital Control / Computing Power / Flexibility





SiC-MOSFETs vs. Si-IGBTs

- Si-IGBT → Blocking Voltages up to 6.5kV / Rel. Low Switching Speed
 SiC-MOSFETs → Blocking Voltages up to 15kV (1st Samples) / Factor 10...100 Higher Sw. Speed

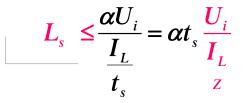


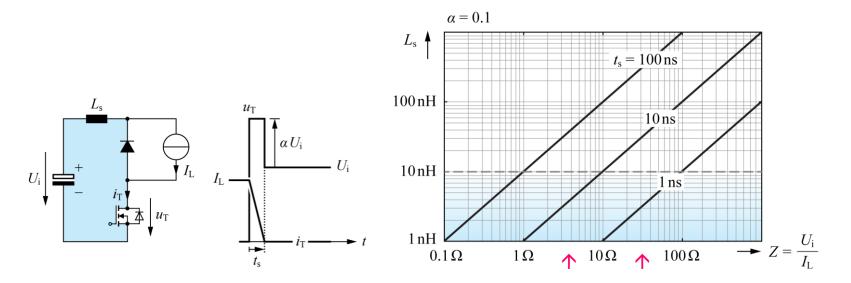
Extremely High di/dt & dv/dt \rightarrow Challenges in Packaging / Motor Isolation Stress / EMI etc.



Effect of Commutation Loop Inductance

• Allowed L_s Directly Related to Switching Time $t_s \rightarrow$





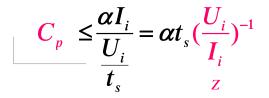
● Parallel Interleaving Allows to Split-Up Large Currents → Increase of Z / Allows Faster Switching

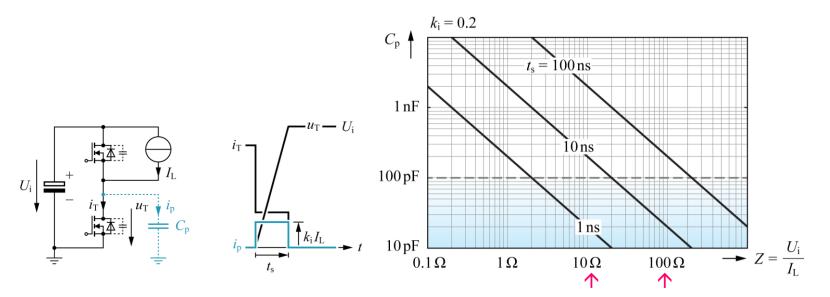




Effect of Switch-Node Capactitance

• Allowed C_p Directly Related to Switching Time $t_s \rightarrow$





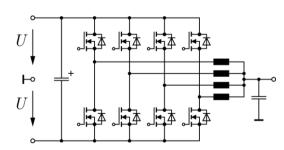
• Series Interleaving for Split-Up of Large Voltages \rightarrow Decrease of Z / Allows Faster Switching

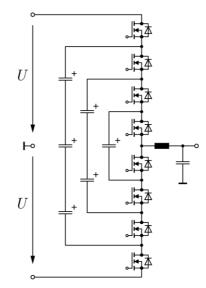




Impedance Matching

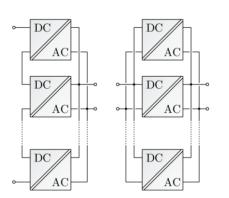
- Direct Parallel/Series Connection of Switches/Bridge-Legs ISOP / IPOP / ISOS / IPOS Conn. of Isol. Conv. Modules Also Allows Heat Spreading & Economy of Scale

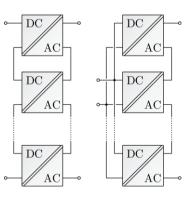




- Parallel Interleaving / Split-Up of Large Currents \rightarrow Increase of Z / Allows Faster Świtching
- Series Interleaving / Split-Up of Large Voltages \rightarrow Decrease of Z / Allows Faster Świtching

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Efficiency Analysis

Loss Components Efficiency Maximum



Source: www.clipground,com

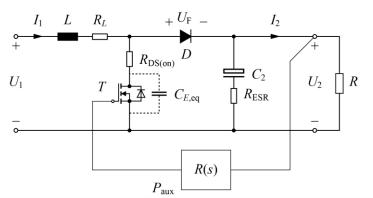


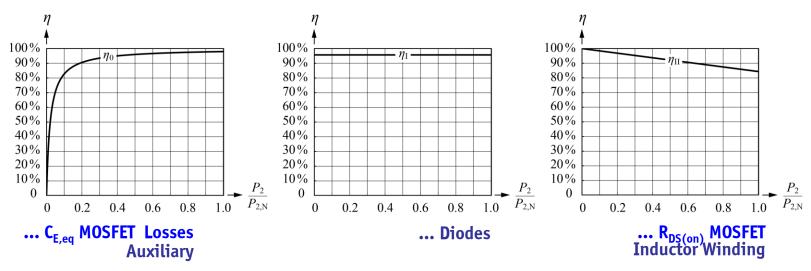


Influence of Loss Components on Efficiency Characteristic

$$\eta = \frac{P_2}{P_1} = \frac{1}{1 + \frac{P_V}{P_2}} \approx 1 - \frac{P_V}{P_2}$$

$$P_{V} = P_{V,O} + P_{V,I} + P_{V,II} = k_{O} + k_{I}P_{2} + k_{II}P_{2}^{2}$$



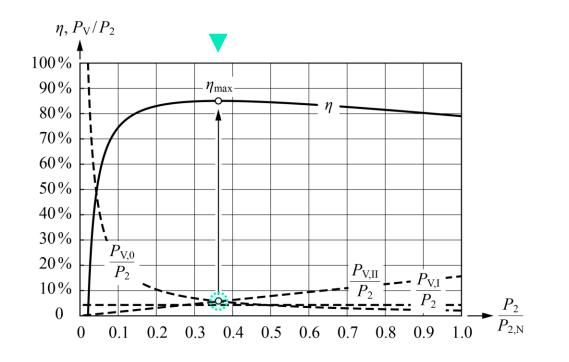




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Efficiency Maximum



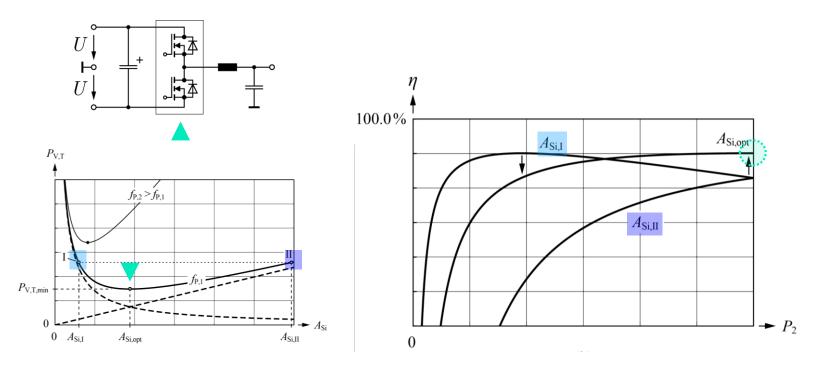
@ Maximum Efficiency: $P_{V,II} = P_{V,0} \rightarrow$ Equal Const. & Quadratic Losses

G

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Influence of Chip Area on Efficiency

- Larger Chip Area → Lower On-Resistance / Cond. Losses **BUT** Higher Cap. Sw. Losses *Optimal / Minimum Total Losses for Opt. Chip Area* (Dependent on Sw. Frequency)



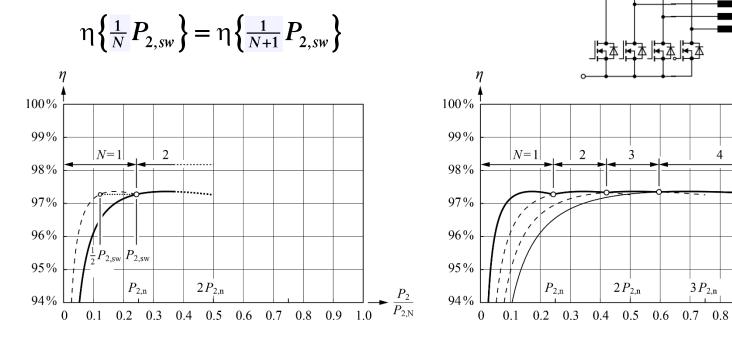
Part Load Efficiency Benefits from A_{Si} < A_{Si,opt}





Parallel Operation of Sub-Systems

- **Efficiency Optimal Phase-Shedding** Maximization of Part-Load Efficiency



- Features Phase-Shedding Equiv. to Adjust. Si-Area! Features Cancellation of Harmonics
- \rightarrow Part Load Efficiency \rightarrow Power Density & Efficiency

4

 $3P_{2.n}$



0.9

1.0

 P_2

 $\overline{P_{2.N}}$

Heat Sink Properties

Loss-Determined Power Density Limit



Source: www.seton.com

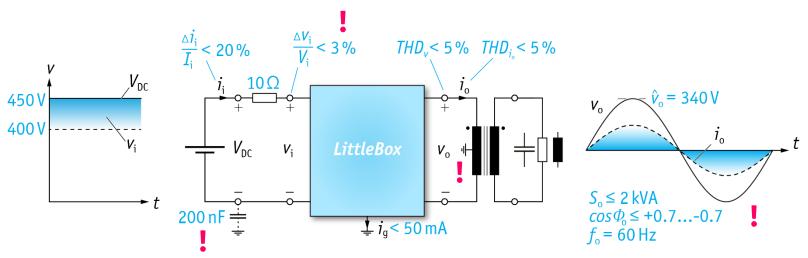




Google **IEEE**

· LITTLE BOX CHALLENGE

- Design / Build the 2kW 1-Φ Solar Inverter with the Highest Power Density in the World
- Power Density > 3kW/dm³ (50W/in³)
- Efficiency > 95%
- Case Temp. < 60°C
- EMI FCC Part 15 B



• Push the Forefront of New Technologies in R&D of High Power Density Inverters





The Grand Prize

- Highest Power Density (> 50W/in³)
 Highest Level of Innovation



- Timeline
- Challenge Announced in Summer 2014
 2000+ Teams Registered Worldwide
 100+ Teams Submitted a Technical Description until July 22, 2015
 18 Finalists (3 No-Shows)





Power Density Limit Due to Cooling

Max. Possible Power Density Def. by Heatsink Volume

$$\rho_{\max} = \frac{P_o}{Vol_{HS}}$$

- **Cooling System Performance Index (CSPI)**
 - Highest Performance Fan
 - Fin Thickness / Channel Width Optimization
 Maximum Thermal Conductance / Volume

$$CSPI = \frac{G_{th}}{Vol_{HS}} \rightarrow Max$$

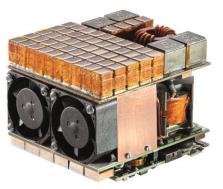
Eff.-Dependent Power Density Limit

$$P_{loss} = (1 - \eta)P_i = \frac{(1 - \eta)}{\eta}P_o$$
$$\rho_{max} = \frac{\eta}{(1 - \eta)}\Delta T_{s-a}CSPI\left[\frac{W}{dm^3}\right]$$

 $Vol_{HS} = \frac{G_{th}}{CSPI} = \frac{\frac{P_{loss}}{\Delta T_{s-a}} \left[\frac{W}{K}\right]}{CSPI}$ $\Delta p_{F,MAX}^{\dagger}$ $k \cdot \Delta p_F$ operating point $\Delta p_F [\text{N/m}^2]$ $\Delta p_{CHANNEL}$ $V_{F,MAX}$ $V_F [m^3/s]$



Google Little-Box 2.0 240 W/in³





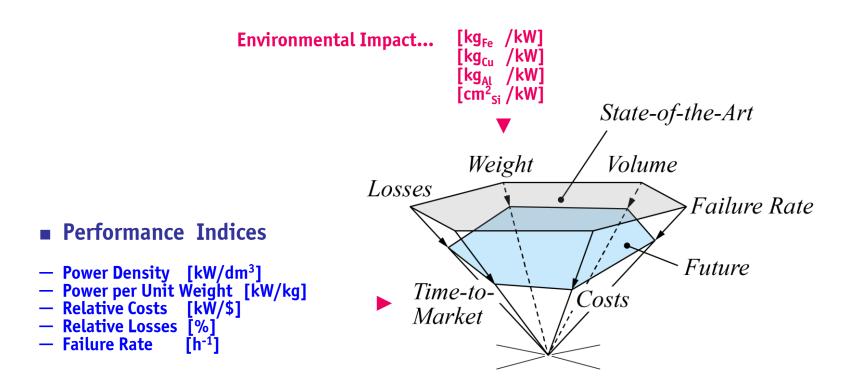
Multi-Objective Optimization

Abstraction of Converter Design Design Space / Performance Space Pareto Front Sensitivities / Trade-Offs





Required Performance Improvement

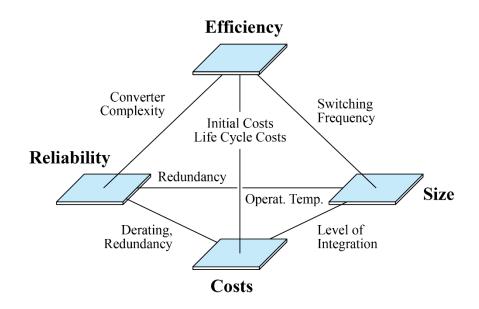




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Multi-Objective Design Challenge (1)

- Counteracting Effects of Key Design Parameters
- Mutual Coupling of Performance Indices → Trade-Offs

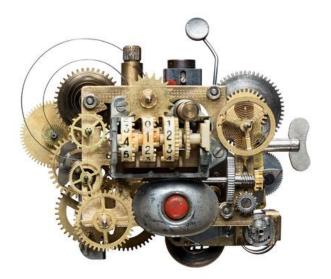


- Large Number of Degrees of Freedom / Multi-Dimensional Design Space
- Full Utilization of Design Space only Guaranteed by Multi-Objective Optimization



Multi-Objective Design Challenge (2)

- Counteracting Effects of Key Design Parameters
- Mutual Coupling of Performance Indices → Trade-Offs

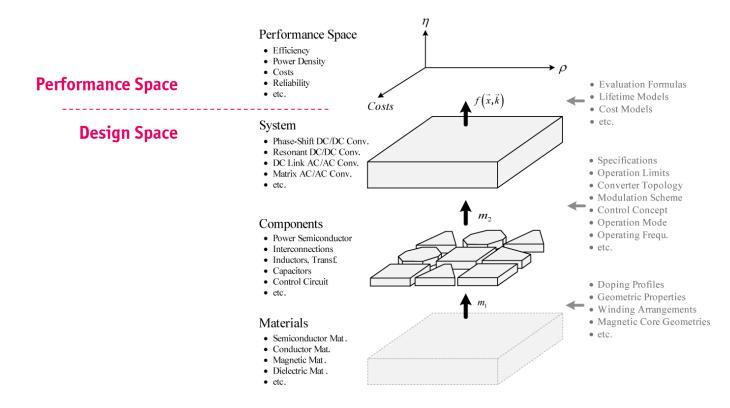


- Large Number of Degrees of Freedom / Multi-Dimensional Design Space
- Full Utilization of Design Space only Guaranteed by Multi-Objective Optimization





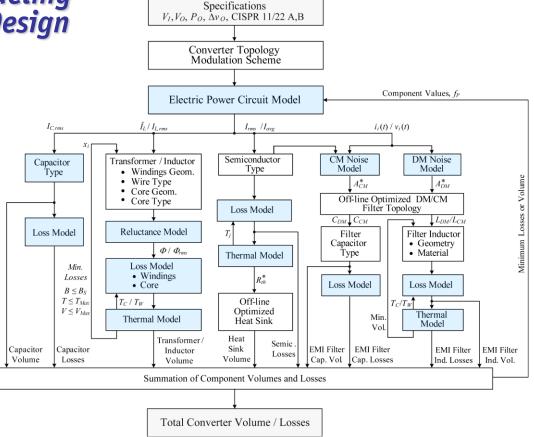
Abstraction of Power Converter Design



• *Mapping* of "*Design Space*" into System "*Performance Space*"



Mathematical Modeling of the Converter Design



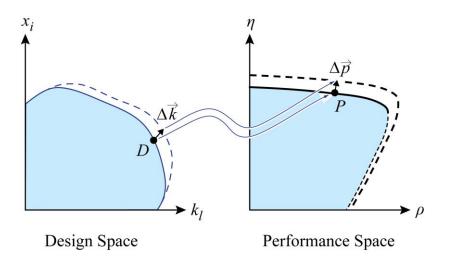
• Multi-Objective Optimization - Guarantees Best Utilization of All Degrees of Freedom (!)

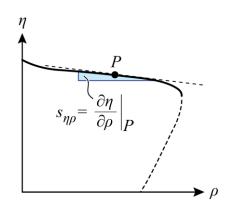


Power Electronic Systems Laboratory

Multi-Objective Optimization (1)

- Ensures Optimal Mapping of the "Design Space" into the "Performance Space" Identifies Absolute Performance Limits \rightarrow Pareto Front / Surface





- Clarifies Sensitivity $\Delta \vec{p} / \Delta \vec{k}$ to Improvements of Technologies
- Trade-off Analysis





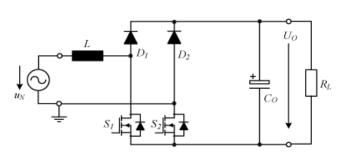
Determination of the η - ρ -Pareto Front (a)

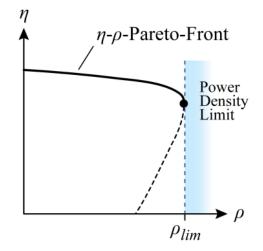
- Comp.-Level Degrees of Freedom of the Design
- Core Geometry / Material
 Single / Multiple Airgaps
 Solid / Litz Wire, Foils

- Winding Topology
 Natural / Forced Conv. Cooling
- Hard-/Soft-Switching
- Si / SíC
- etc.
- etc.
- etc.
- System-Level Degrees of Freedom
- Circuit Topology
 Modulation Scheme
- Switching Frequ.
- etc.
- etc.

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Only *η***-***ρ***-P**areto Front Allows Comprehensive **Comparison of Converter Concepts** (!)



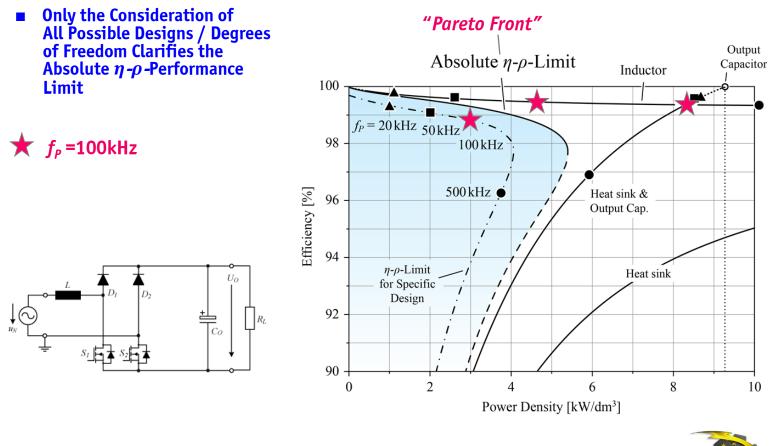






Determination of the η - ρ -Pareto Front (b)

Example: Consider Only f_P as Design Parameter

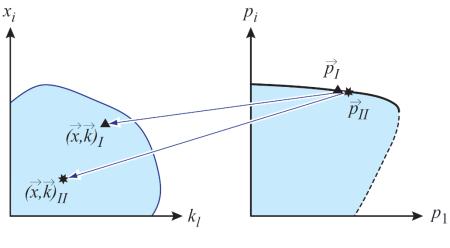






Multi-Objective Optimization (2)

- **Design Space Diversity**
- **Equal Performance for Largely Different Sets of Design Parameters**



Design Space

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Performance Space

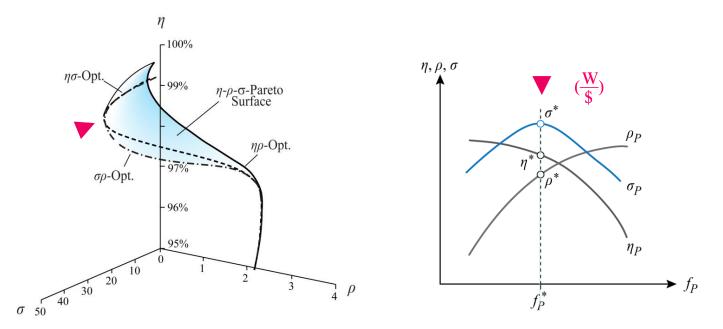
- **E.g.** Mutual Compensation of Volume and Loss Contributions (e.g. Cond. & Sw. Losses) Allows Optimization for Further Performance Index (e.g. Costs) •
- •





Converter η - ρ - σ -Pareto Surface (1)

- Pareto Front / Surface Used for Performance Evaluation Definition of a Power Electronics "*Technology Node*" \rightarrow ($\eta^*, \rho^*, \sigma^*, f_{\rho^*}$) Maximum σ [kW/\$], Related Efficiency & Power Density



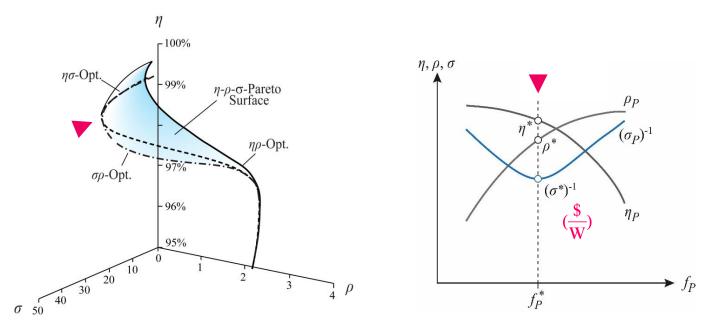
- Specifying Only a Single Performance Index is of No Value (!)
- Achievable Perform. Depends on Conv. Type / Specs (e.g. Volt. Range) / Side Cond. (e.g. Cooling)



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Converter η - ρ - σ -Pareto Surface (2)

- Pareto Front / Surface Used for Performance Evaluation Definition of a Power Electronics "*Technology Node*" \rightarrow ($\eta^*, \rho^*, \sigma^*, f_{\rho^*}$) Maximum σ [kW/\$], Related Efficiency & Power Density



- Specifying Only a Single Performance Index is of No Value (!)
- Achievable Perform. Depends on Conv. Type / Specs (e.g. Volt. Range) / Side Cond. (e.g. Cooling)

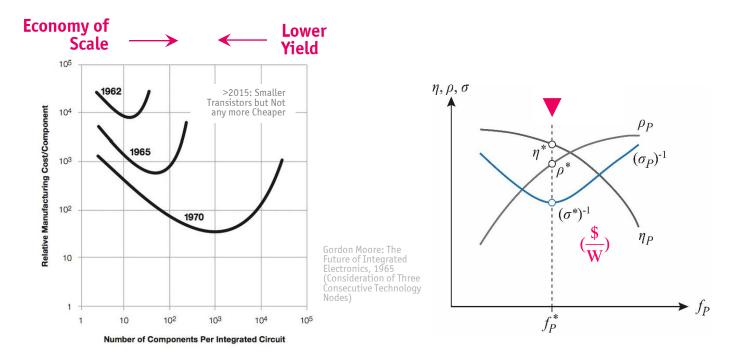


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Remark

Comparison to "Moore's Law"

"Moore's Law" Defines Consecutive Techn. Nodes Based on Min. Costs per Integr. Circuit (!)
 Number of Transistors (Density @ Minimum Costs) Doubles Every 2 Years



• Definition of " $\eta^*, \rho^*, \sigma^*, f_P^*$ -Node" Must Consider Conv. Type / Operating Range etc. (!)



Technology Development Characteristics

Hype Cycle S-Curve / Disruption Learning Curve



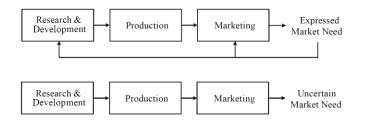
Source: www.clipart-library.com





Hype-Cycle of Technologies

- Innovations are Driven by "Demand Pull" and "Technology Push"
- New Technologies are "Enablers" → Technology Roadmaps
- Initially Overexpected Importance of New Technologies Due to Exp. Increasing # of Publications (Positive Feedback) etc.



• New Technology \rightarrow ? of "Killer" Application

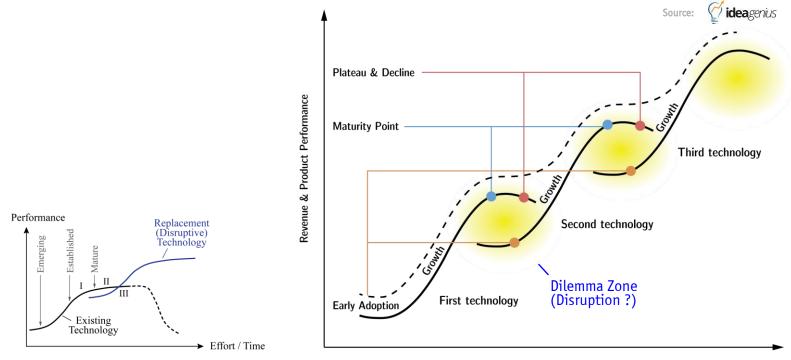




S-Curve Pattern of Innovation

- Technologies Show Predictable Cycle of Adoption / Growth / Maturity (S-Curve)
 Breakthrough Inventions

 More Ideal Way of Delivering an Existing Function



Time or Engineering Effort

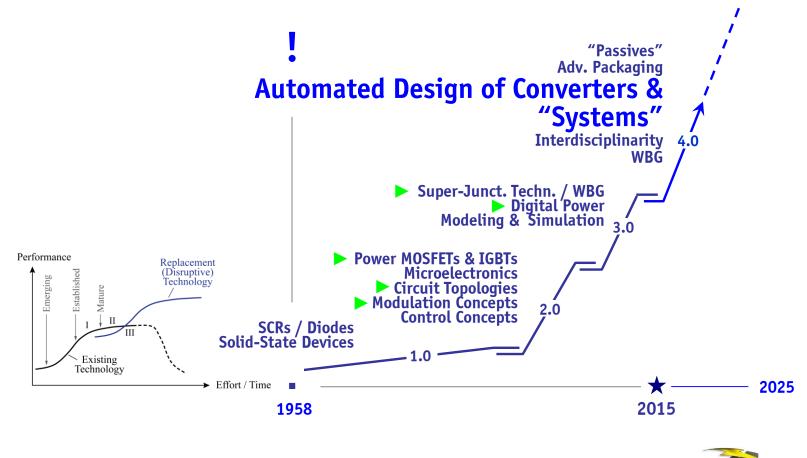
• Evolution of Systems Driven by S-Curves of All Core Technologies





S-Curve of Power Electronics

Power Electronics $1.0 \rightarrow 4.0$

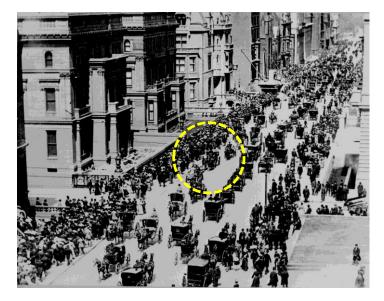


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Disruptive Innovations

Example — Rapid Change of Transportation Enabled by New Technology (ICE) & Business Model Tony Seba: "All New Vehicles, Globally, will be Electric by 2030"

- NY City, 5th Av., Easter Parade \rightarrow Year 1900: One Motor Cycle / Year 1913: One Horse & Carriage (!)





Source: Tony Seba

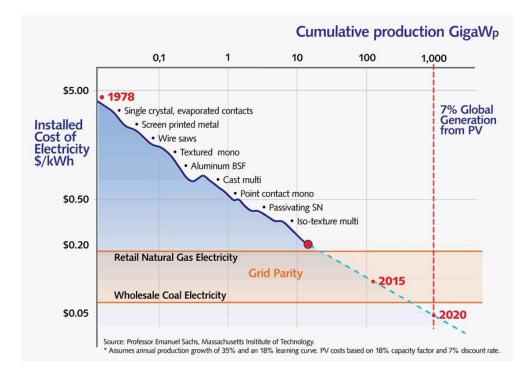
- Further Examples Digital / Analogue Photography, VHS Cassette Tape System / DVD etc.
 The Stone Are Didn't End for the Lock of Stone (Disrupted by Prenze Teels)
- The Stone Age Didn't End for the Lack of Stone (Disrupted by Bronze Tools)





Learning Curve of Technologies

- Analysis of the Performance Improvement as Function of Accumulated Experience
 Learning Rate → Improvement / Cost Reduction for Each Doubling of Cumulative Installed Capacity



- Typ. Learning Rate of 15...25% → Dramatic Cost Reduction over Longer Timespan
 Used for Prediction of Future Costs of a Technology (e.g. PV "Grid Parity") → Long Term Strategies



Future Applications

Driven by MEGATRENDS







Industry Automation / Robotics

- All Kinds of Automated Assembling
- Material Machining / Processing Drilling, Milling, etc.
 Pumps / Fans / Compressors
- etc., etc.



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• 60% of El. Energy Used in Industry Consumed by VSDs





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- Ranging from Medium Voltage to Power-Supplies-on-Chip
 Short Power Supply Innovation Cycles
 Modularity / Scalability

- Higher Availability
- Higher Efficiency
 Higher Power Density
- Lower Costs

Source: REUTERS/Sigtryggur Ari

Server-Farms up to 450 MW 99.9999%/<30s/a \$1.0 Mio./Shutdown

> Since 2006 Running Costs > Initial Costs









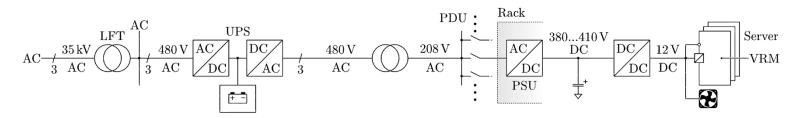
60 Watts



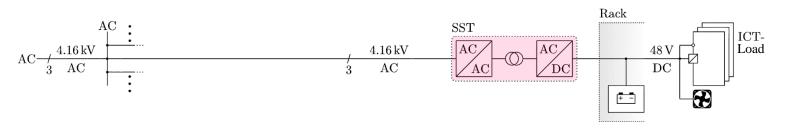


ightarrow Future Modular Power Distribution

- Direct MV-Supply of Individual Racks Using Solid-State Transformer → 5...7% Red. in Losses
- Improves Reliability & Power Quality / Smaller Footprint
- Conventional



- Direct 3- Φ 6.6kV AC \rightarrow 48V DC Conversion / Unidirectional SST



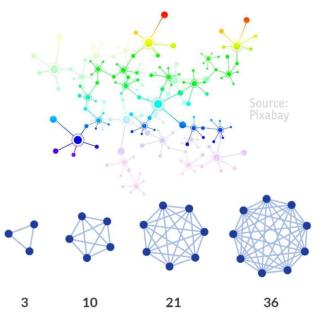
• $MV \rightarrow 48V \rightarrow 1.2V$ - Only 2 Conversion Stages from MV to CPU-Level (!)

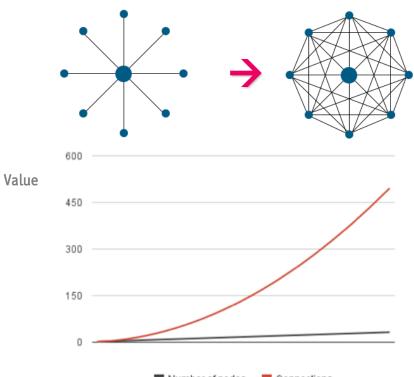


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Digitalization Driver

- Metcalfe's Law
- Moving from Hub-Based Concept to Community Concept Increases Potential Network Value Exponentially (~n(n-1) or ~n log(n))



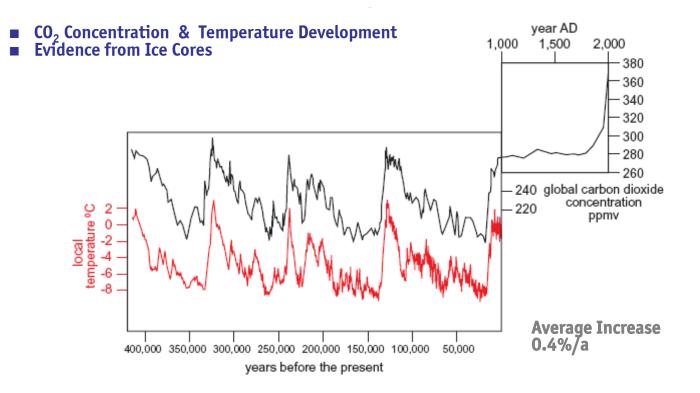


Number of nodes 🛛 📕 Connections





Climate Change



- Reduce CO₂ Emissions Intensity (CO₂/GDP) to Stabilize Atmospheric CO₂ Concentration
- 1/3 in 2050 → less than 1/10 in 2100 (AIST, Japan @ IEA Workshop 2007)





Climate Change

- CO₂ Concentration & Temperature Development
 Evidence from Ice Cores



Source: H. Nilsson Chairman IEA DSM Program FourFact AB

- **Reduce CO₂ Emissions Intensity (CO₂/GDP)** to Stabilize Atmospheric CO₂ Concentration 1/3 in 2050 \rightarrow less than 1/10 in 2100 (AIST, Japan @ IEA Workshop 2007)





\rightarrow Off-Shore Wind Farms

Medium-Voltage (DC) Power Collection and Transmission

Source: M. Prahm / Flickr



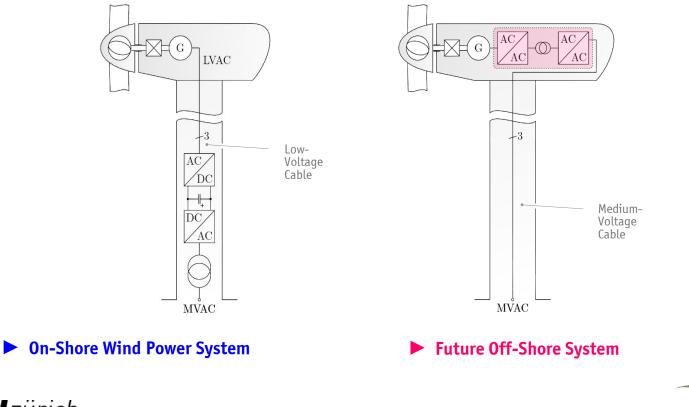
• Off-Shore Wind Farm

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\rightarrow Wind Turbine Electrical System

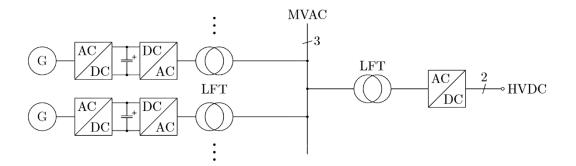
- **Current 690V Electrical System** → **Significant Cabling Weight/Costs & Space Requirement Future Local Medium-Frequency Conv. to Medium-Voltage AC or DC**



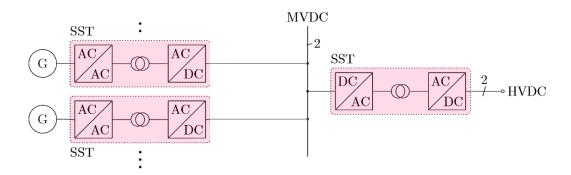




Off-Shore Collector-Grid Concepts \rightarrow



Conventional AC Collector-Grid



- DC/DC-Interface of Wind Turbine DC Link to MVDC Collector Grid \rightarrow Lower Losses (1%) & Volume \rightarrow Lower Losses (1%) & Volume
- DC/DC-Interface of MVDC Grid to HVDC Transmission





\rightarrow Utility-Scale Solar Power Plants

Medium-Voltage (DC) Power Collection and Transmission

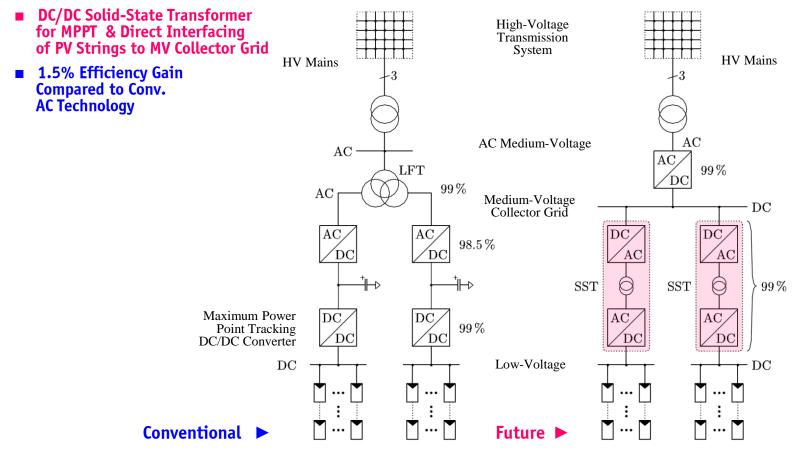
Source: REUTERS/Stringer

- Globally Installed PV Capacity Forecasted to 2.7 Terawatt by 2030 (IEA)

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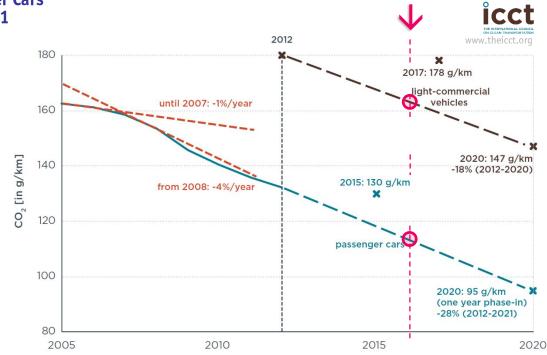
ightarrow Future DC Collector Grid





Sustainable Mobility

- **EU Mandatory 2020 CO₂ Emission Targets for New Cars**
- 147g CO₂/km for Light-Commercial Vehicles
 95g CO₂/km for Passenger Cars
 100% Compliance in 2021



- **Hybrid Vehicles**
- **Electric Vehicles**





→ Ultra-Fast / High-Power EV Charging

- Medium Voltage Connected Modular Charging Systems
- Very Wide Output Voltage Range (200...800V)



Source: Porsche Mission-E Project

- E.g., Porsche *FlexBox* incl. Cooling
- Local Battery Buffer (140kWh)
- − 320kW \rightarrow 400km Range in 20min

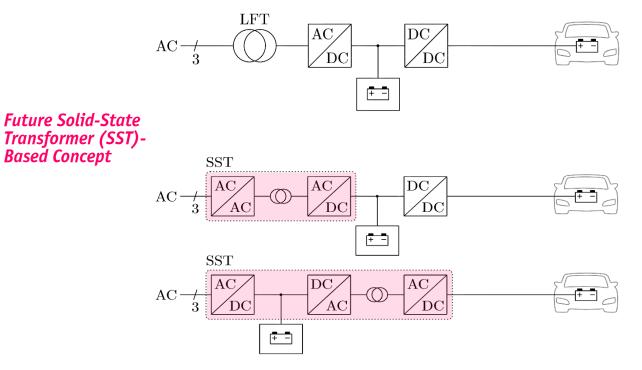




\rightarrow Bidirectional MV Interface

Conventional

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- On-Site Power / Energy Buffer → "Energy-Hub"
 Power / Energy Management → Peak Load Shaving & Grid Support / Stabilization



Sustainable Air Transportation

- Massive Steady Increase of Global Air Traffic Over the Next Decades
- Need for 70[°]000 New Airliners over the Next 20 Years (Boeing & Airbus) Stringent *Flightpath 2050 Goals* of ACARE \rightarrow Reduction of CO₂/NO_x/Noise Emissions

GLOBAL AIR TRAFFIC (TRILLION REVENUE PASSENGER KILOMETRES)

16 2014-2024 ICAO total traffic Airbus forecast 2015 -15 14 2024-2034 13 12 2014-2034 2009 1974 1979 1984 1989 1994 1999 2004 2014 2019 2024 2029 2034

Traffic is expected to double in the next 15 years

Source: International Civil Aviation Organization (ICAO)/Airbus 2015





\rightarrow Futuristic Mobility Concepts (1)

- Distributed Propulsion Aircraft
 Cut Emissions Until 2050
- CO₂ by 75%,
- NO⁵_x by 90%,
 Noise Level by 65%



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Future Hybrid Distributed Propulsion Aircraft



- Eff. Optim. Gas Turbine
- 1000Wh/kg Batteries
- **Distrib.** Fans (E-Thrust)
- **Supercond.** Motors

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Med. Volt. Power Distrib.





\rightarrow Futuristic Mobility Concepts (2)

- Distributed Propulsion Aircraft
 Cut Emissions Until 2050
- **CO**₂ by 75%,
- NO_x by 90%,
- Noise Level by 65%



Turbo Generators E-Fans / Continúous Nacelle

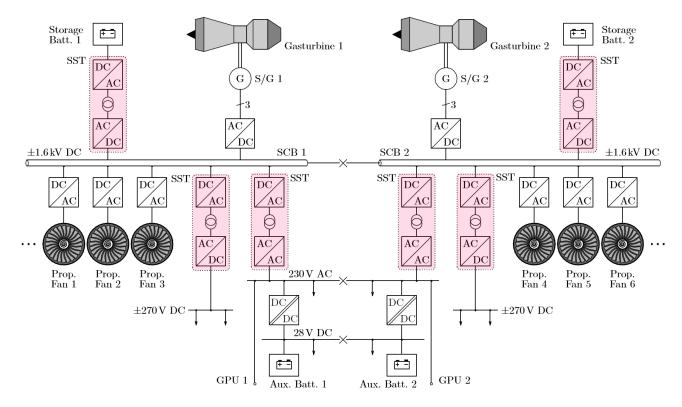
- Wing-Tip Mounted Eff. Optimized Gas Turbines & Distributed E-Fans ("E-Thrust")
- MV or Superconducting Power Distribution Integr. 1000Wh/kg Batteries (EADS-Concept)





-> Future Aircraft Electric Power System

MV or Superconducting Power Distribution Integr. 1000Wh/kg Batteries (EADS-Concept)



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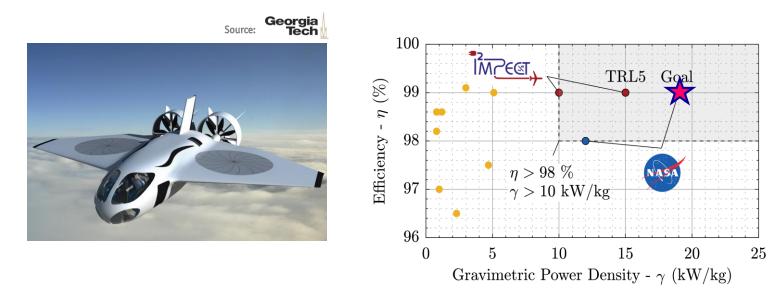
Generators — 2 x 40.2MW (NASA) E-Fans — 14 x 5.7 MW (1.3m Diameter) ٠



\rightarrow Future Technology Requirements

- Red. Inverter Volume / Weight
- Lower Cooling Requirement
- High Speed Machines

- → Matching of Low High-Speed Motor Volume
- \rightarrow Low Inverter Losses & HF Motor Losses
- ightarrow High Output Frequency Range



→ Main "Enablers" — SiC/GaN Power Semiconductors & Adv. Inverter Topologies





\rightarrow Futuristic Ground-Based Mobility

- Hyperloop
 San Francisco → Los Angeles in 35min



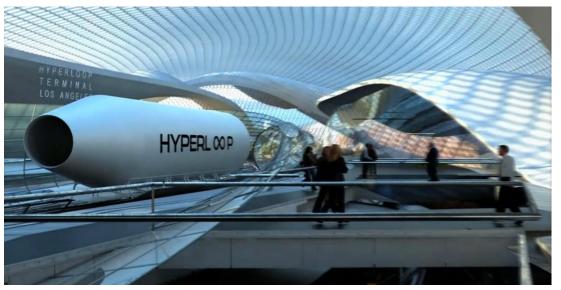
POD COMPETITION www.spacex.com/hyperloop



- Low Pressure Tube
- Magnetic Levitation Linear Ind. Motor

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Air Compressor in Nose

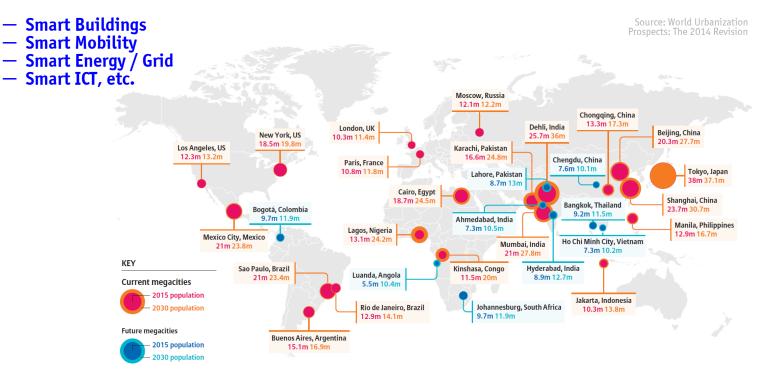






Urbanization

- 60% of World Population Exp. to Live in Urban Cities by 2025
- 30 MEGA Cities Globally by 2023



• Selected Current & Future MEGA Cities $2015 \rightarrow 2030$



\rightarrow Smart Cities / Grids / Buildings (1)

- Masdar = "Source"
- Fully Sustainable Energy Generation
 * Zero CO₂
 * Zero Waste

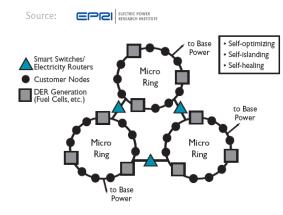
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- EV Transport / IPT Charging
 to be finished 2025











\rightarrow Smart Cities / Grids / Buildings (2)

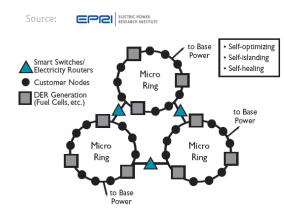
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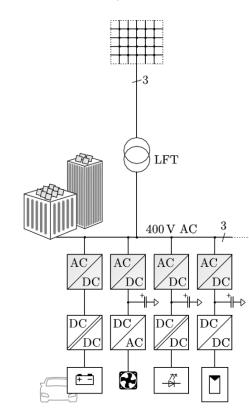


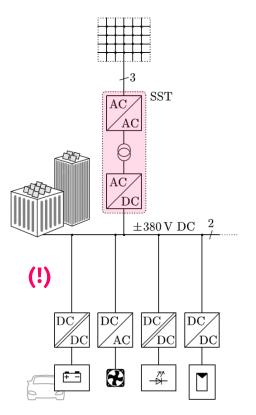




\rightarrow DC Microgrids

- **Local DC Microgrid Integrating Loads/Ren. Sources/Storage** No Low-Voltage AC/DC Conversion \rightarrow Higher Efficiency & Lower Realization Effort





- Future SST-Based Concept

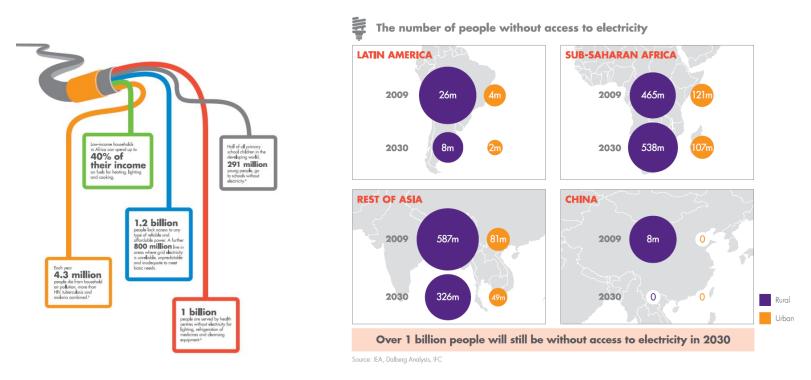




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Alleviate Poverty

- 2 Billion "Bottom-of-the-Pyramid People" are Lacking Access to Clean Energy
- Rural Electrification in the Developing World

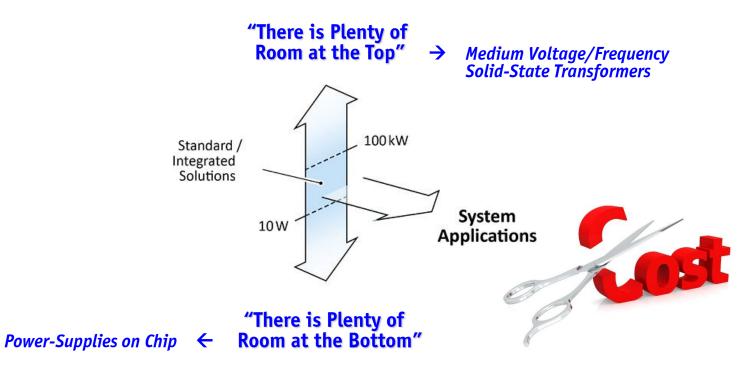


- Urgent Need for Village-Scale Solar DC Microgrids etc.
 2 US\$ for 2 LED Lights + Mobile-Phone Charging / Household / Month (!)



Future Development

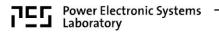
- **Commoditization / Standardization**
- Extreme Cost Pressure (!)

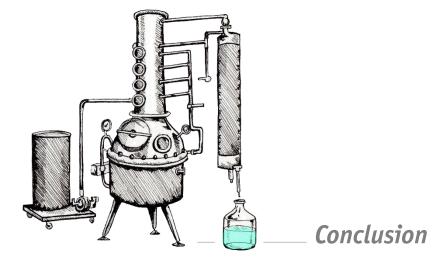


• Key Importance of Technology Partnerships of Academia & Industry



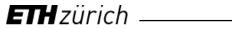
92/93





Source: whiskeybehavior.info





Power Electronic Systems Laboratory

Conclusion

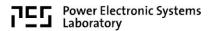


Source: www.insites-consulting.com

→ Power Electronics is a Key and Enabling Technology for all Kinds of Electric Energy Utilization !







Thank you!















1-Φ AC/DC Conversion

DC-Side Energy Storage Requirement

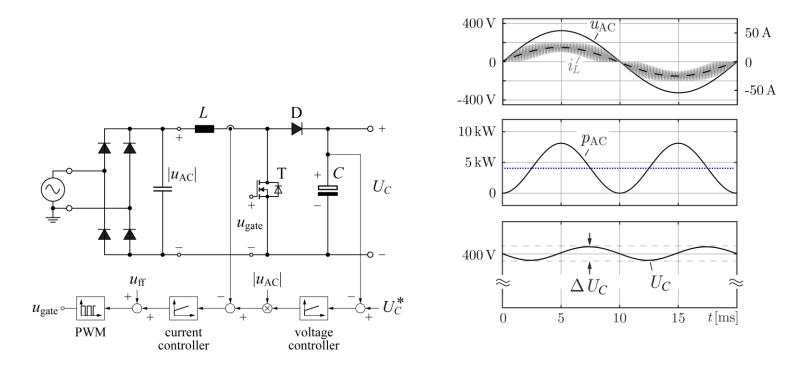






$1-\Phi AC/DC$ Conversion

Example of Boost-Type PFC Rectifier



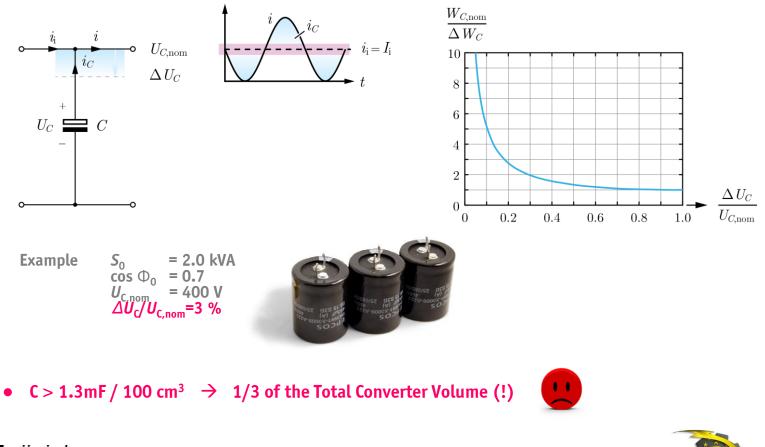
• Input Voltage & Current / Power Flow / DC Output Voltage Fluctuation





Passive Power Pulsation Buffer

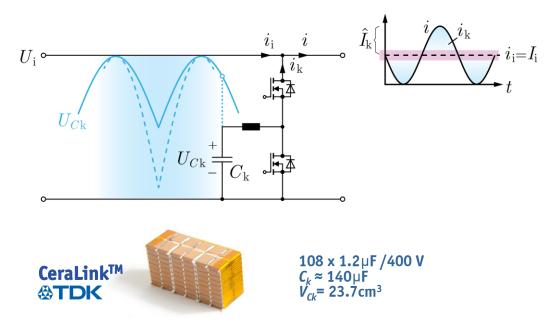
Electrolytic Capacitor



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Active Power Pulsation Buffer

- Large Voltage Fluctuation Foil or Ceramic Capacitor
- Buck-Type (Lower Voltage Levels) or Boost-Type DC/DC Interface Converter



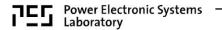
• Significantly Lower Overall Volume Compared to Electrolytic Capacitor







A3/5



Scaling of Electric Machines



Source: www.freevector.co





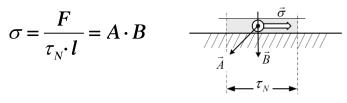
Scaling of Electric Machines (1)

- Generated Force Dependent on Magnetic Field and Current
- Current Def. by "Current Loading" (A/cm) or Current Density (A/cm²) or Cooling (W/cm²)
 Magnetic Field Strength Limited by Saturation
- \rightarrow Assumption: *A* = const.

 \vec{R}

$$F = I \cdot l \cdot B = A\tau_N \cdot l \cdot B$$

 \rightarrow Rotor Surface Area Related Force (N/cm²)



 \rightarrow Torque

$$T = \frac{d}{2} (d\pi \cdot l) \sigma \sim 2A \cdot B(\frac{d^2\pi}{4} \cdot l) \sim L^3$$

- **Const. Current Density**
- Const. Loss / Surface





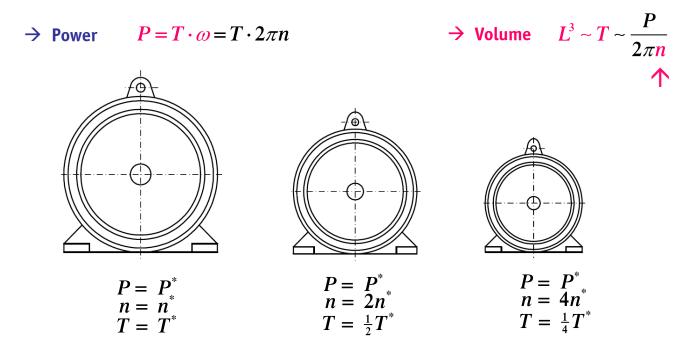




A4/5

Scaling of Electric Machines (2)

- Dependency of Motor / Generator Size on Output Power
- Overall Size Drops with Increasing Motor Speed



• Gearbox Required for Low Speed of Turbine / Load \rightarrow Adds Volume and Losses



A5/5