

# Google Little-Box Reloaded

#### Advances In Ultra-Compact GaN Based Single-Phase DC/AC Power Conversion

#### **Dominik Neumayr**



Swiss Federal Institute of Technology (ETH) Zurich Power Electronic Systems Laboratory www.pes.ee.ethz.ch





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#### **Advances In Ultra-Compact GaN Based Single-Phase DC/AC Power Conversion**

D. Neumayr, D. Bortis, M. Guacci, J. Azurza, J. W. Kolar



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#### **Outline**

- ► Google Little Box Challenge
- Requirements
   Little Box 1.0
- **Further Analysis & New Approach**
- New Circuit Topology
- Adv. Measurement Techniques
- Little Box 2.0
- Conclusions



M. Kasper 0. Knecht F. Krismer



Acknowledgement



### Google Little Box Challenge

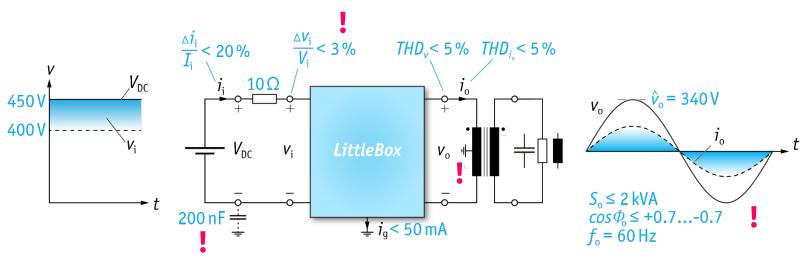
Requirements Little Box 1.0 Other Finalists







- Design / Build the 2kW 1-OSolar Inverter with the Highest Power Density in the World
- Power Density > 3kW/dm<sup>3</sup> (50W/in<sup>3</sup>)
- Efficiency > 95%
- Case Temp. < 60°C
- EMI FCC Part 15 B



■ Push the Forefront of New Technologies in R&D of High Power Density Inverters





### **The Grand Prize**

- Highest Power Density (> 50W/in<sup>3</sup>)
  Highest Level of Innovation

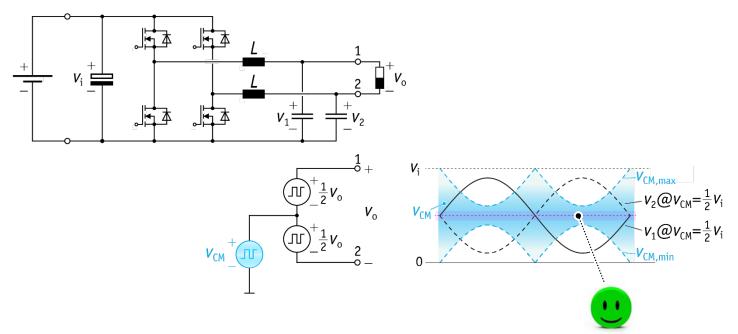


- Timeline
- Challenge Announced in Summer 2014
  2000+ Teams Registered Worldwide
  100+ Teams Submitted a Technical Description until July 22, 2015
  - 18 Finalists (3 No-Shows)



## **Selected Converter Topology**

- Full-Bridge Output Stage
- Modulation of Both Bridge Legs



- DM Component of  $u_1$  and  $u_2$  Defines Output Voltage  $u_0$ No Low-Frequency CM Component of  $u_1$  and  $u_2$  (Different to e.g. 1- $\Phi$  PFC Rectifier Systems !)

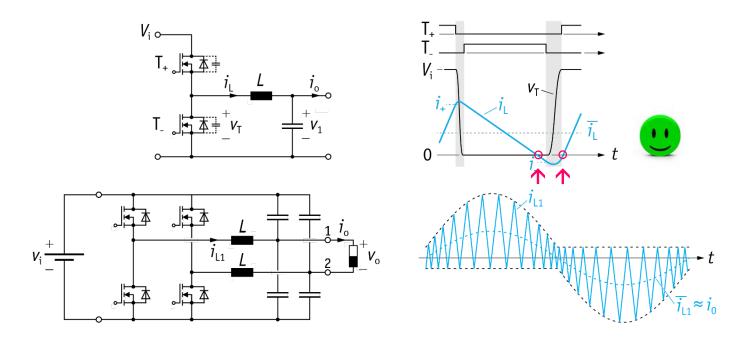




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#### **Triangular Current Mode (TCM) ZVS Operation**

• TCM Operation for Resonant Voltage Transition @ Turn-On/Turn-Off



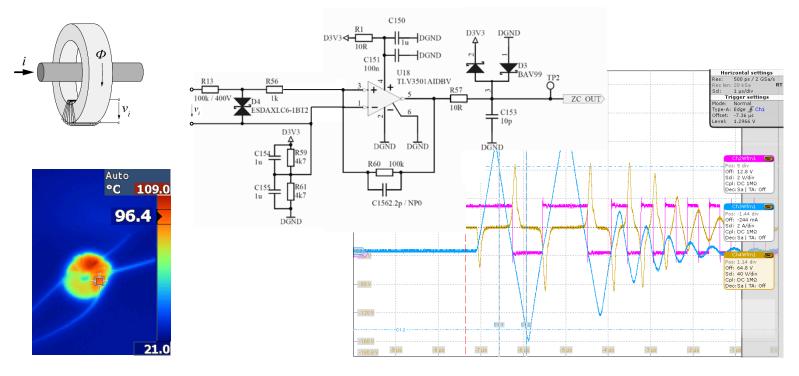
- Requires Only Measurement of Current Zero Crossings, i = 0High  $f_s$  Around i = 0 Challenging for Digital Control Variable Sw. Freq.  $f_s$  Lowers EMI





#### *i=0* Detection

• Saturable Inductor – Toroidal Core R4 x 2.4 x 1.6, EPCOS (4mm Diameter) – Core Material N30, EPCOS



Operation Tested up to 2.5MHz Switching Frequency

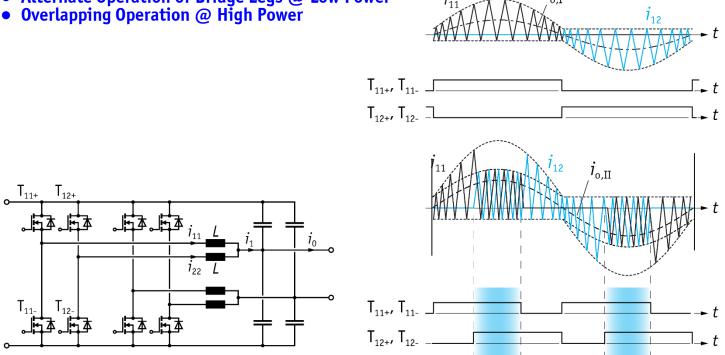






Interleaving of 2 Bridge Legs per Phase - Volume / Filtering / Efficiency Optimum
 Interleaving in Space & Time - Within Output Period
 Alternate Operation of Bridge Legs @ Low Power

• •



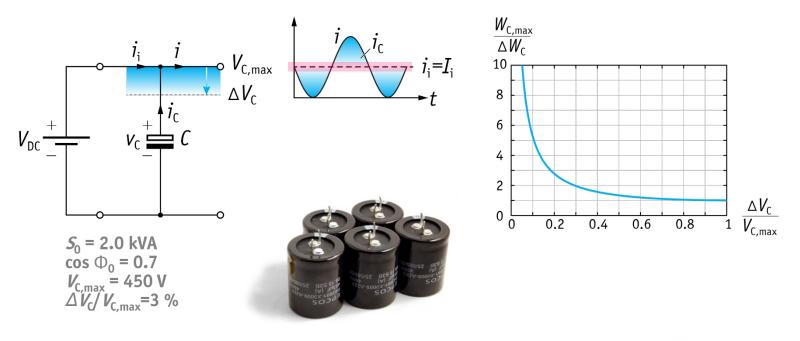
Opt. Trade-Off of Conduction & Switching Losses / Opt. Distribution of Losses





#### **DC-Side Passive Power Pulsation Buffer**

• Electrolytic Capacitor



**C** > 2.2mF / 166 cm<sup>3</sup>  $\rightarrow$  Consumes 1/4 of Allowed Total Allowed Volume !

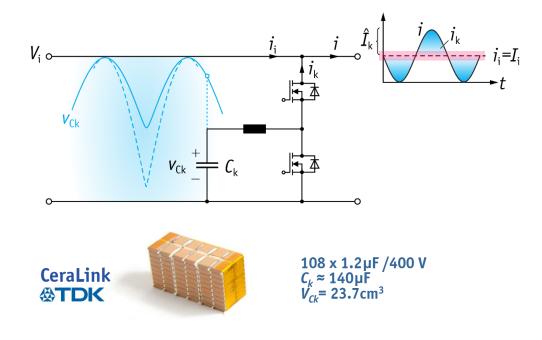




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#### **DC-Side Active Power Pulsation Buffer**

- Large Voltage Fluctuation Foil or Ceramic Capacitor
- Buck-Type (Lower Voltage Levels) or Boost-Type DC/DC Interface Converter



Significantly Lower Overall Volume Compared to Electrolytic Capacitor

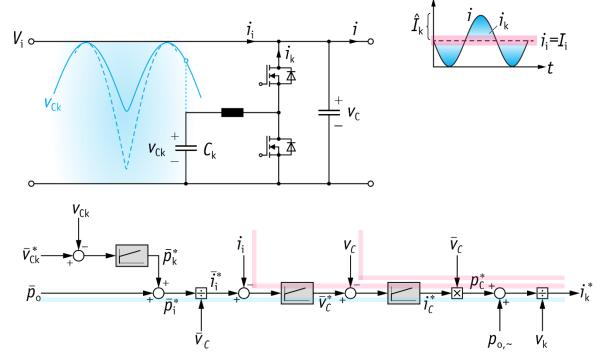




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#### **DC-Side Active Power Pulsation Buffer**

• Cascaded Control Structure

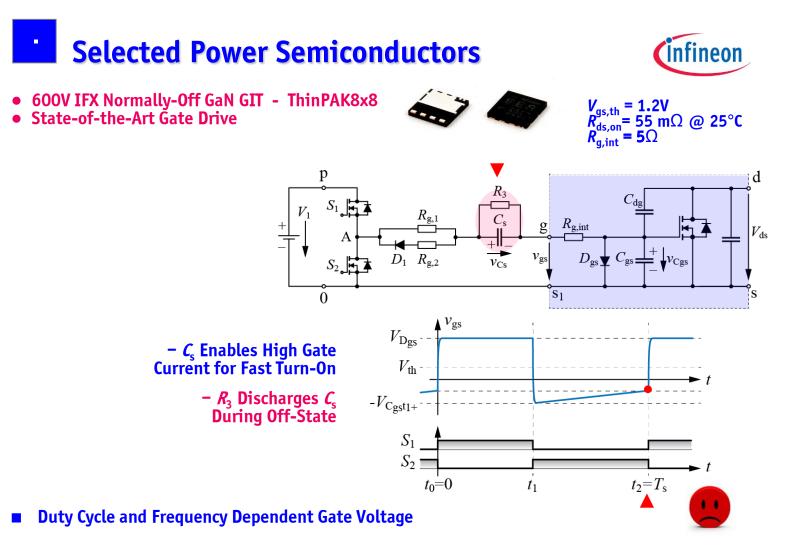


- P-Type Resonant Controller
- Feedforward of Output Power Fluctuation
- Underlying Input Current  $(i_i)$  / DC Link Voltage  $(u_c)$  Control





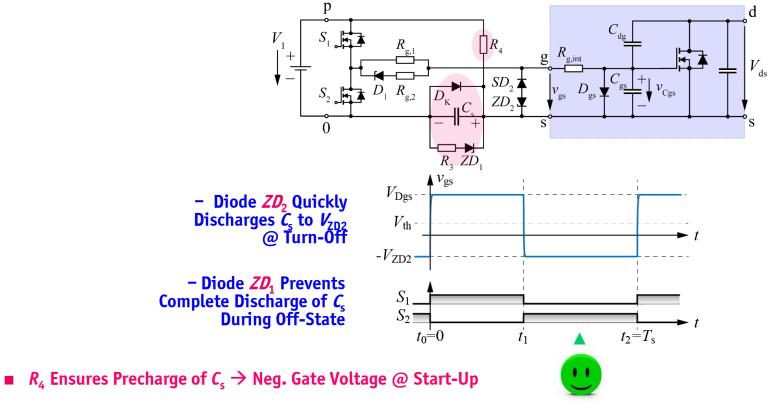






#### High dv/dt-Immunity Gate Drive

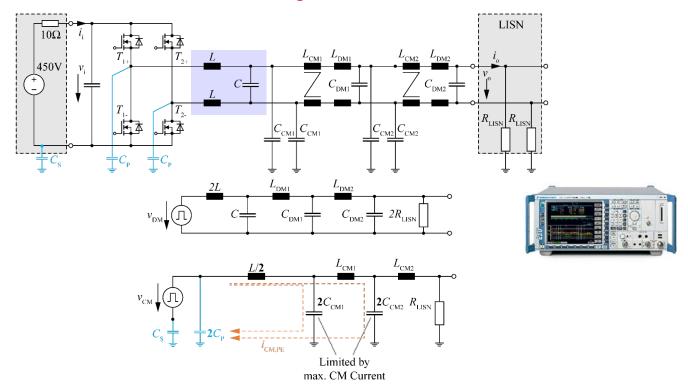
- Fixed Negative Turn-Off Gate Voltage Independent of Sw. Frequency and Duty Cycle
- Extreme dv/dt-Immunity (500 kV/µs) Due to CM Choke at Signal Isolator Input
- < 30ns Overall Prop. Delay



#### EMI Filter Topology (1)

• Conventional Filter Structure



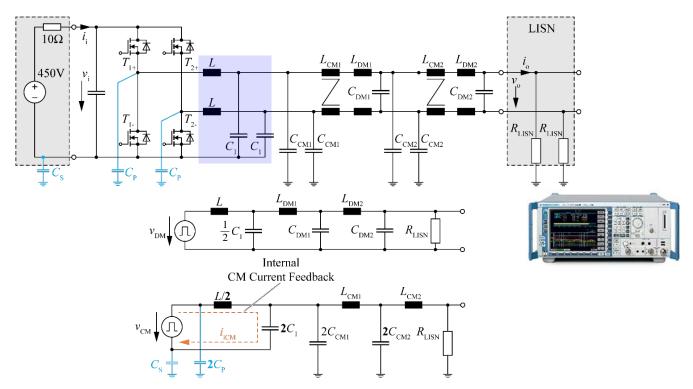


- CM Cap. Limited by Earth Current Limit Typ. 3.5mA for PFC Rectifiers (GLBC: 5mA, later 50mA !)
- Large CM Inductor Needed Filter Volume Mainly Defined by CM Inductors





- Filter Structure with Internal CM Capacitor Feedback
- Filtering to DC- (and Optional to DC+)

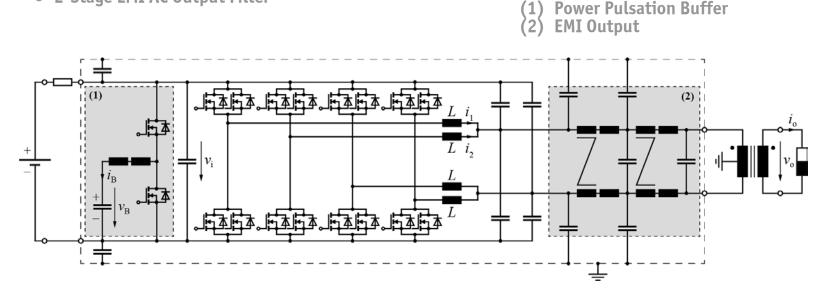


- No Limitation of CM Capacitor  $C_1$  Due to Earth Current Limit  $\rightarrow \mu$ F Instead of nF Can be Employed
- Allows Downsizing of CM Inductor and/or Total Filter Volume



#### **Final Converter Topology**

- Interleaving of 2 Bridge Legs per Phase
- Active DC-Side Buck-Type Power Pulsation Buffer
- First Stage AC Filter Caps Connected to DC-
- 2-Stage EMI AC Output Filter



- ZVS of All Bridge Legs @ Turn-On/Turn-Off in Whole Operating Range (4D-TCM-Interleaving) Heatsinks Connected to DC Bus / Shield to Prevent Cap. Coupling to Grounded Enclosure





**Power Electronic Systems** Laboratory

#### **High Frequency Inductors (1)**

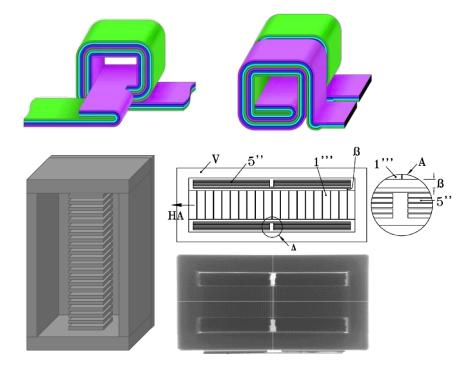
- Multi-Airgap Inductor with Multi-Layer Foil Winding Arrangement Minim. Prox. Effect
- Very High Filling Factor / Low High Frequency Losses Magnetically Shielded Construction Minimizing EMI
- Intellectual Property of F. Zajc / Fraza
- L= 10.5µH
- 2 x 8 Turns

- 24 x 80µm Airgaps

- 24 x 80µm Alrgaps
  Core Material DMR 51 / Hengdian
  0.61mm Thick Stacked Plates
  20 µm Copper Foil / 4 in Parallel
  7 µm Kapton Layer Isolation
  20mΩ Winding Resistance / Q≈600
  Terminals in No-Leakage Flux Area



Dimensions - 14.5 x 14.5 x 22mm<sup>3</sup>



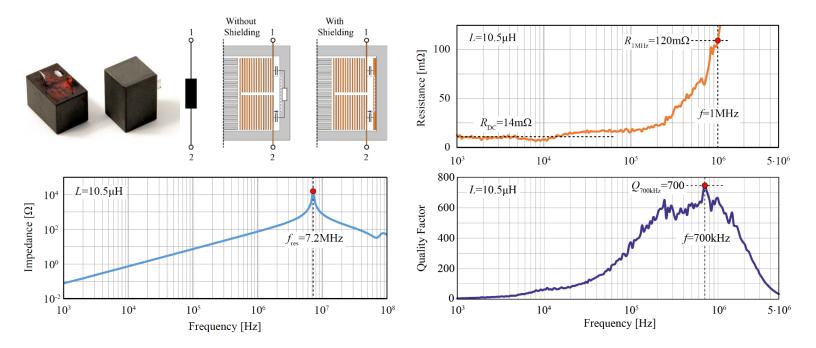




#### **High Frequency Inductors (2)**

**公TDK** 

- High Resonance Frequency → Inductive Behavior up to High Frequencies
   Extremely Low AC-Resistance → Low Conduction Losses up to High Frequencies
- High Quality Factor



**Shielding** Eliminates HF Current through the Ferrite  $\rightarrow$  Avoids High Core Losses Shielding Increases the Parasitic Capacitance



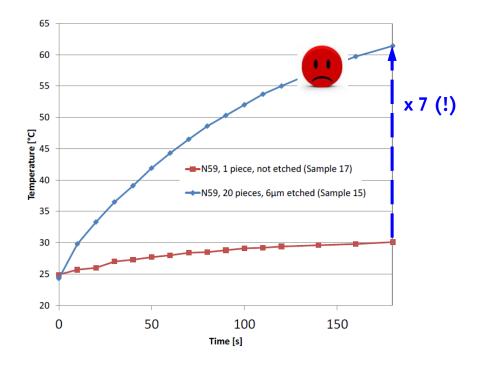


#### **High Frequency Inductors (3)**



- \* **Knowles (1975!)**
- **Cutting of Ferrite Introduces Mech. Stress**
- Significant Increase of the Loss Factor Reduction by Polishing / Etching (5 µm)

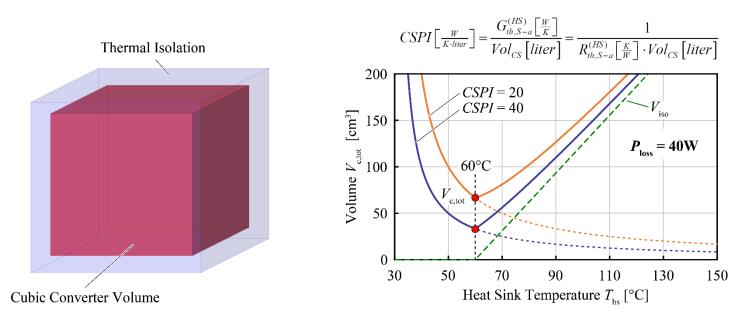






#### Thermal Management (1)

- 30°C max. Ambient Temperature
   60°C max. Allowed Surface and Air Outlet Temperature
- **Evaluation of Optimum Heatsink Temp. for Thermal Isolation of Converter**



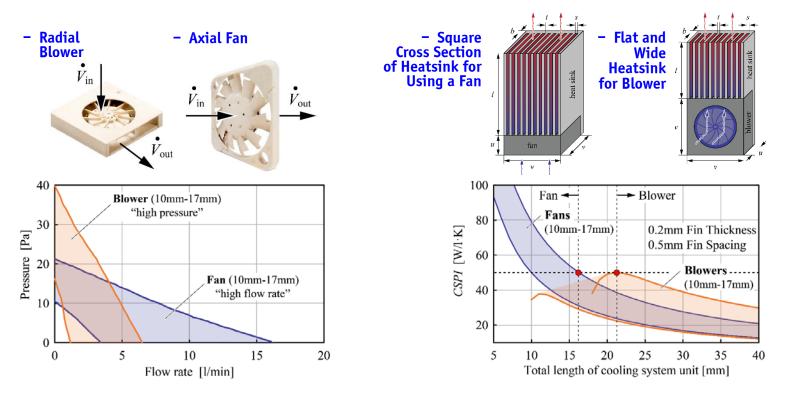
Minimum Volume Achieved w/o Thermal Isolation with Heatsink @ max. Allowed Surface Temp. 





#### **Thermal Management (2)**

• Overall Cooling Performance Defined by Selected Fan Type and Heatsink



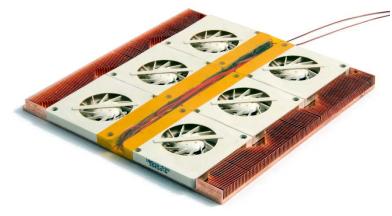
- Optimal Fan and Heat Sink Configuration Defined by Total Cooling System Length
   Cooling Concept with Blower Selected → Higher CSPI for Larger Mounting Surface

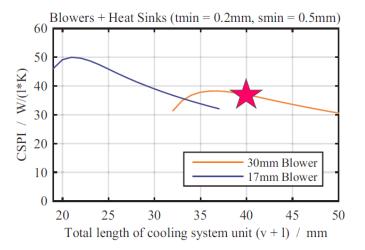






- 30mm Blowers with Axial Air Intake / Radial Outlet
- Full Optimization of the Heatsink Parameters •
- 200um Fin Thickness
- 500um Fin Spacing
- 3mm Fin Height
- 10mm Fin Length
- CSPI = 37 W/(dm<sup>3</sup>.K) 1.5mm Baseplate





- CSPI<sub>eff</sub>= 25 W/(dm<sup>3</sup>.K) Considering Heat Distribution Elements
   Two-Side Cooling → Heatsink Temperature = 52°C @ 80W (8W by Natural Convection)



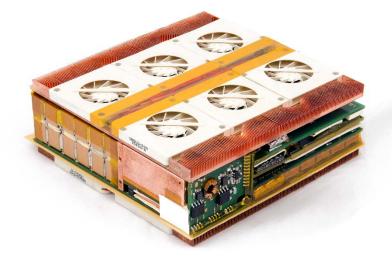


## Little Box 1.0



- System Employing Active CeraLink<sup>™</sup> 1-⊕ Power Pulsation Buffer
- 8.2 kW/dm<sup>3</sup>
- 8.9cm x 8.8cm x 3.1cm
- *f<sub>s</sub>* = 250kHz ... 1MHz 96,3% Efficiency @ 2kW
- T\_=58°C @ 2kW

- $\begin{array}{l} \Delta u_{\rm DC} = \ 1.1\% \\ \Delta i_{\rm DC} = \ 2.8\% \\ \ THD + N_U = \ 2.6\% \\ \ THD + N_I = \ 1.9\% \end{array}$
- Compliant to All "Original" Specifications (!)
- $i_{and}$  < 5mA (!)
- No Low-Frequ. CM Output Voltage Component
   No Overstressing of Components
- All Own IP / Patents





## Little Box 1.0



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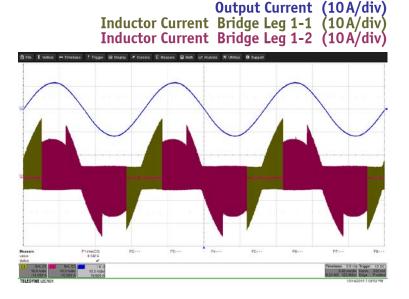
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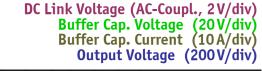


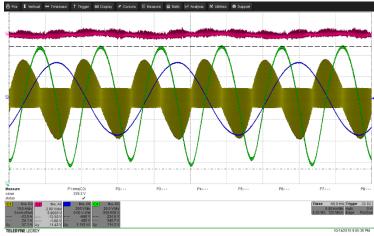


### Measurement Results (1)

- System Employing Active CeraLink<sup>™</sup> 1-⊕ Power Pulsation Buffer
- Ohmic Load / 2kW







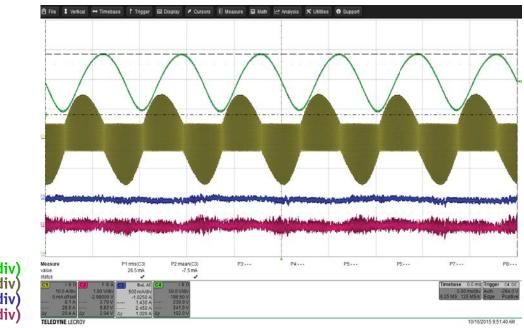
**Compliant to All Specifications** 





#### Measurement Results (2)

• System Employing Active CeraLink<sup>™</sup> 1-⊕ Power Pulsation Buffer



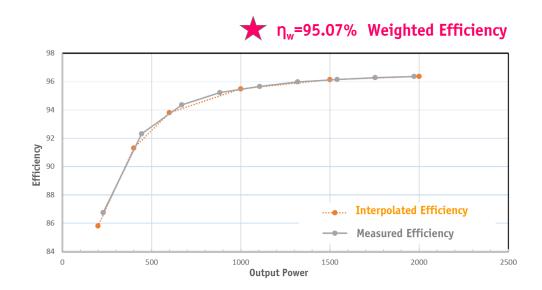
- Buffer Cap. Voltage (50 V/div) Buffer Cap. Current (10 A/div) Conv. Inp. Curr. (AC Coupl. 500 mA/div) DC Link Voltage (AC Coupl. 1 V/div)
- Stationary Operation @ 2kW Output Power





#### Measurement Results (3)

• System Employing Active CeraLink<sup>™</sup> 1-⊕ Power Pulsation Buffer



**Compliant to All Specifications** 

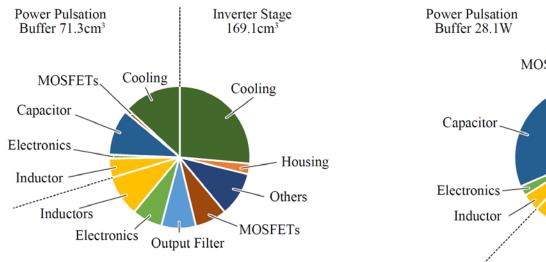




#### **Volume & Loss Distribution**

Volume Distribution (240cm<sup>3</sup>) 





#### Inverter Stage

46.3W

- **MOSFETs MOSFETs** Output Filter Electronics Inductor
- Large Heatsink (incl. Heat Conduction Layers)

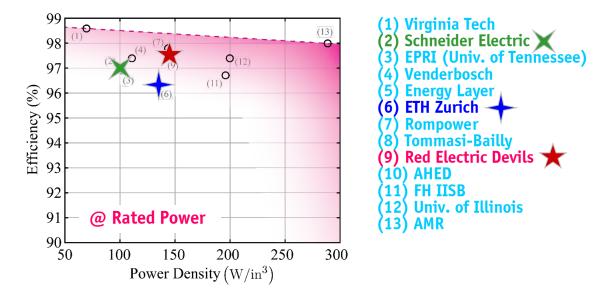
- Large Losses in Power Fluctuation Buffer Capacitor (!)
   TCM Causes Relatively High Conduction & Switching Losses @ Low Power
   Relatively Low Switching Frequency @ High Power Determines EMI Filter Volume





#### Finalists - Performance Overview

**18 Finalists (3 No-Shows)** 7 Groups of Consultants / 7 Companies / 4 Universities 



- 70...300 W/in<sup>3</sup>
- **35 kHz...500kHz...1 MHz (up to 1MHz: 3 Teams)**
- Full-Bridge or DC/|AC|Buck Converter + Unfolder
- Mostly Buck-Type Active Power Pulsation Filters (Ceramic instead of Electrolytic Caps)
- GaN (11 Teams) / SiC (2 Teams) / Si (2 Teams)

ETH zürich



*Note:* Numbering of

**Teams is Arbitrary** 

**Power Electronic Systems** Laboratory

#### **Google** Little Box Challenge Grand Prize Winner





#### **Red Electric Devils**

Olivier Bomboir, Paul Bleus, Fabrice Frebel, Thierry Joannes, Francois Milstein, Pierre Stassain, Christophe Geuzaine, Carl Emmerechts, Philippe Laurent

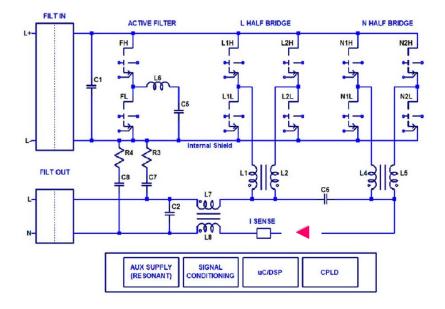








- No Low-Frequ. Common-Mode Output Voltage Comp.  $\rightarrow i_{gnd} < 5mA$  (!) Buck-Type DC-Side Active Power Pulsation Filter (MLCC Cap. <150µF, 200V<sub>pp</sub>)





- **2** x Interleaved Bridge Legs for Each Half-Bridge
- **DM** Inductors  $(L_1/L_2 \text{ and } L_4/L_5)$  and Series Connected CM Inductor  $(L_7/L_8)$ **Single Open-Loop Hall Sensor Outp. Curr.** Measurement + Observer-Based Curr. Reconstruction

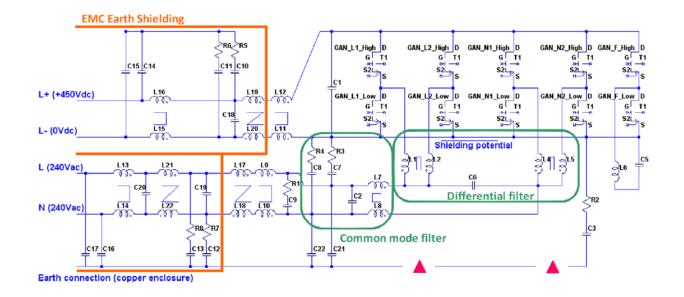




#### **Red Electric Devils**



- No Low-Frequ. Common-Mode Output Voltage Comp.  $\rightarrow i_{gnd} < 5mA$  (!) Buck-Type DC-Side Active Power Pulsation Filter (MLCC Cap. <150µF, 200V<sub>pp</sub>)



- DSP & CPLD Control
- GaN Systems @ ZVS (35kHz ... 240kHz)
- Shielded Multi-Stage EMI Filter @ DC Input & AC Output

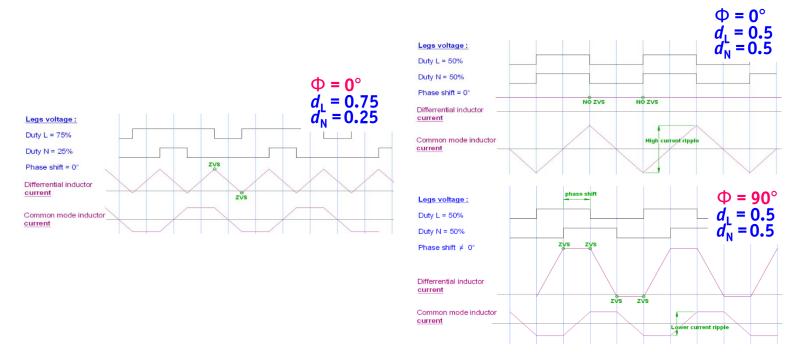




#### **Red Electric Devils**

**★** 145 W/in<sup>3</sup>

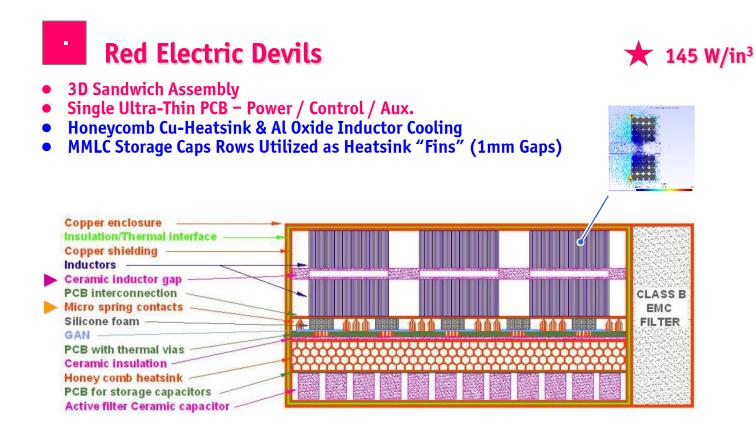
• Variable Phase-Shift of the Half-Bridges (0° or 90°) Dep. on Duty Cycle



■ Selection of Opt. Phase Shift & Sw. Frequency for ZVS & Min. Size of Filter Ind. L<sub>CM</sub> & L<sub>DM</sub>







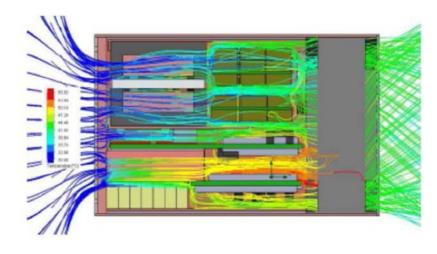
- **145 W/in<sup>3</sup>**
- 95.4 % CEC Efficiency
- *i*<sub>gnd</sub> < 5mA (!)
- ČŠPI = 22.6 W/(dm<sup>3</sup>.K) Heatsink & Axial Fan

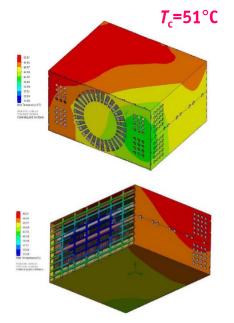


# **Red Electric Devils**

★ 145 W/in<sup>3</sup>

- **3D Sandwich Assembly**
- Single Ultra-Thin PCB Power / Control / Aux.
- Honeycomb Cu-Heatsink & Al Oxide Inductor Cooling
- MMLC Storage Caps Rows Utilized as Heatsink "Fins" (1mm Gaps)





- 145 W/in<sup>3</sup>
- 95.4 % CEC Efficiency
- *i*<sub>gnd</sub> < 5mA (!)</li>
   CSPI = 22.6 W/(dm<sup>3</sup>.K) Heatsink & Axial Fan





#### Google Little Box Challenge Top 3 Finalist

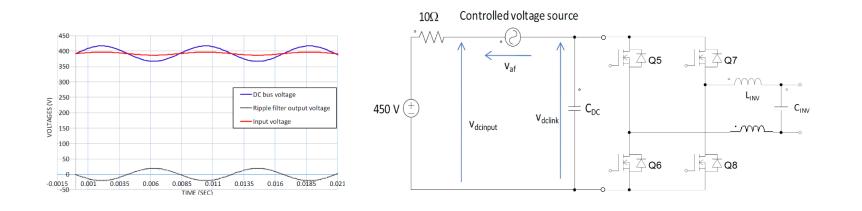
#### Schneider Gelectric Team

Miao-xin Wang, Rajesh Ghosh, Srikanth Mudiyula, Radoslava Mitova, David Reilly, Milind Dighrasker, Sajeesh Sulaiman, Alain Dentella, Damir Klikic, Michael Hartmann





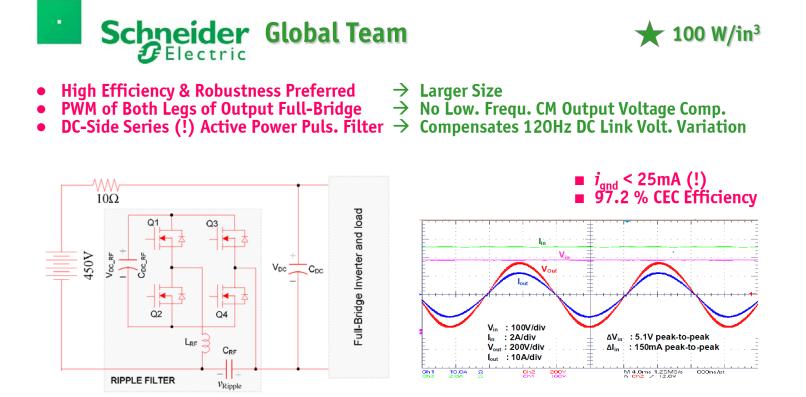
- High Efficiency & Robustness Preferred
- **PWM of Both Legs of Output Full-Bridge** •
- **DC-Side Series** (!) Active Power Puls. Filter  $\rightarrow$  Compensates 120Hz DC Link Volt. Variation •
- $\rightarrow$  Larger Size
- $\rightarrow$  No Low. Frequ. CM Output Voltage Comp.



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- C<sub>DC</sub> = 400uF / 450V 1/5 Volume Comp. to only Bulk Capacitors V<sub>dcinput</sub> Ripple <10% (<30V<sub>pp</sub>) @ Full Load
- Nanocrystalline CM Choke
- DC-Side & AC-Side EMI/RF Filter
   Q<sub>5...8</sub> T0247 SiC MOSFETs, 45kHz of Both Legs





- $C_{DC_{RF}}=2 \times 1500 \text{uF}/25\text{V}, U_{DC_{RF}}=15\text{V}$ Only 52VA Processed Ripple Filter Power @ Rated Output (!)  $Q_1/Q_2 \& Q_3/Q_4 R_{ds,on} = 2.2 \text{m}\Omega$  MOSFETs (40V, 100A), w/o Heatsink,  $f_S = 130 \text{kHz}$  of Both Legs TI Piccolo DSP Control of Entire System / Open Loop Control of 120Hz Comp. Filter





#### Optimization of Little-Box 1.0

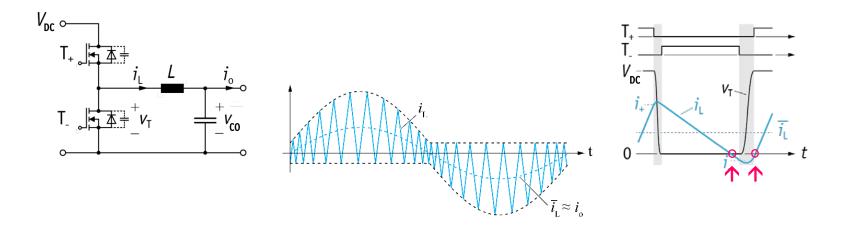
Adv. Modulation / Circuit Concepts Measurement of Buffer Cap. Performance Measurement of GaN ZVS & On-State Losses Measurement of Multi-Airgap Core Losses np-Pareto Optimization







- TCM → ZVS but Large Current Ripple & Wide Frequency Variation
- PWM → Const. Sw. Frequency but Hard Sw. @ Current Maximum
- Opt. Combination of TCM & PWM  $\rightarrow$  Optim. Frequ. Variation Over Output Period
- Exp. Determination of Loss-Opt. Sw. Frequency  $f_{0FM}$  Considering DC/DC Conv. Stage



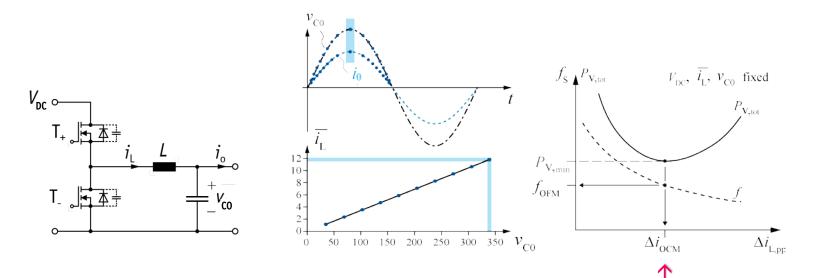
- DC/AC Properties Calculated Assuming Local DC/DC Operation
- Loss-Optimal Local Sw. Frequ.  $f_{OFM}$  for Given  $V_{DC}$  & Local  $i_0$  &  $v_{CO}$







- TCM → ZVS but Large Current Ripple & Wide Frequency Variation
- PWM → Const. Sw. Frequency but Hard Sw. @ Current Maximum
- Opt. Combination of TCM & PWM  $\rightarrow$  Optim. Frequ. Variation Over Output Period
- Exp. Determination of Loss-Opt. Sw. Frequency  $f_{OFM}$  Considering DC/DC Conv. Stage



- DC/AC Properties Calculated Assuming Local DC/DC Operation
- Loss-Optimal Local Sw. Frequ.  $f_{OFM}$  for Given  $V_{DC}$  & Local  $i_0$  &  $v_{CO}$

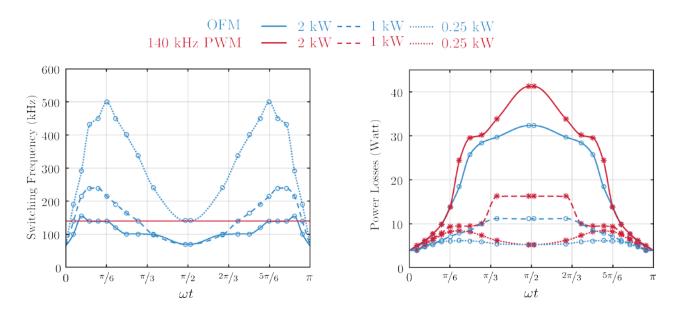




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## **Eff. Optimal** *f*<sub>s</sub>-Modulation

- Resulting Time-Dependency of Optimal Sw. Frequ. & Power Loss
- Comparison with 140 kHz Const. Sw. Frequency PWM



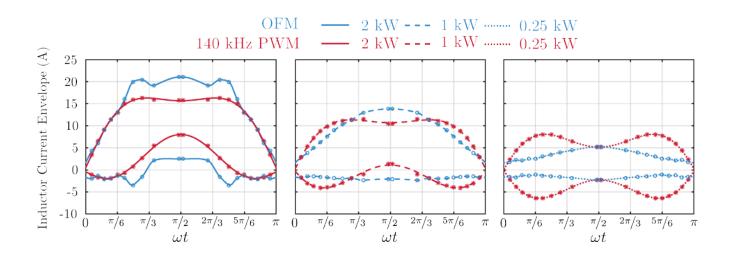
Higher Average Switching Frequency f<sub>s</sub> @ Light Loads
 Reduction of f<sub>s</sub> @ Mains Voltage Peak (for Ohmic Load) for Sustaining ZVS





### **Eff. Optimal** *f*<sub>s</sub>-Modulation

• Optimal Inductor Current Envelope for Diff. Output Power Levels



Higher Average Switching Frequency f<sub>s</sub> @ Light Loads
 Reduction of f<sub>s</sub> @ Mains Voltage Peak (for Ohmic Load) for Sustaining ZVS





#### Measurements

Buffer Capacitor Losses / Cap. Power Semicond. ZVS & On-State Losses Ferrite Multi-Airgap Core Losses

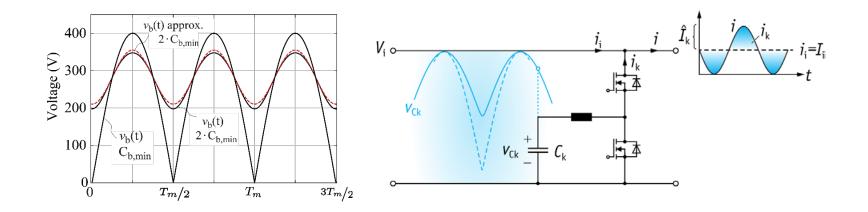




## **CeraLink vs. X6S**

- **Electrolytic Capacitors**
- X6S MLCC, 2.2μF, 450 V Class II CeraLink<sup>™</sup>,1μF /2μF, 650 V CeraLink<sup>™</sup> Allows Op. @ 125°C

- $\rightarrow$  Limited by Lifetime Current Limit
- → Highest Energy Density but Low Cap. @ High DC Bias
   → PLZT Ceramic, High Cap. @ High DC Bias
   → Very Low ESR @ High Frequencies



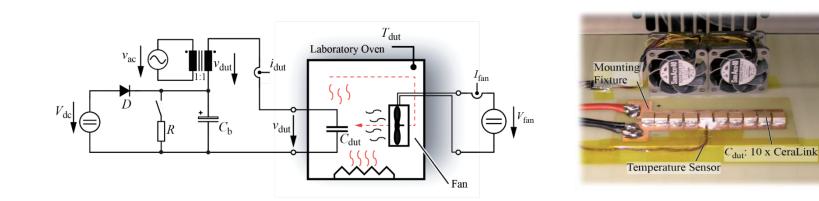
PPB Design Optimiz. Requires Large-Signal Capacitance and Power Loss Data in All Operating Points





- **Electrolytic Capacitors**
- X6S MLCC, 2.2μF, 450 V Class II CeraLink<sup>™</sup>,1μF /2μF, 650 V CeraLink<sup>™</sup> Allows Op. @ 125°C

- $\rightarrow$  Limited by Lifetime Current Limit
- → Highest Energy Density but Low Cap. @ High DC Bias
   → PLZT Ceramic, High Cap. @ High DC Bias
   → Very Low ESR @ High Frequencies



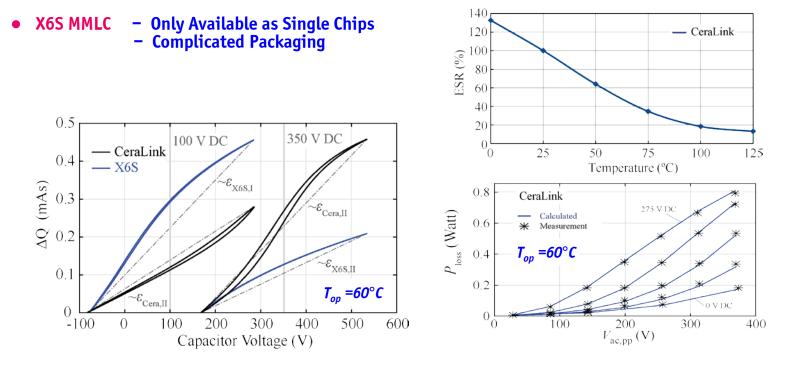
**Experimental Setup for Generation of DC Bias & Superimposed AC Voltage** 





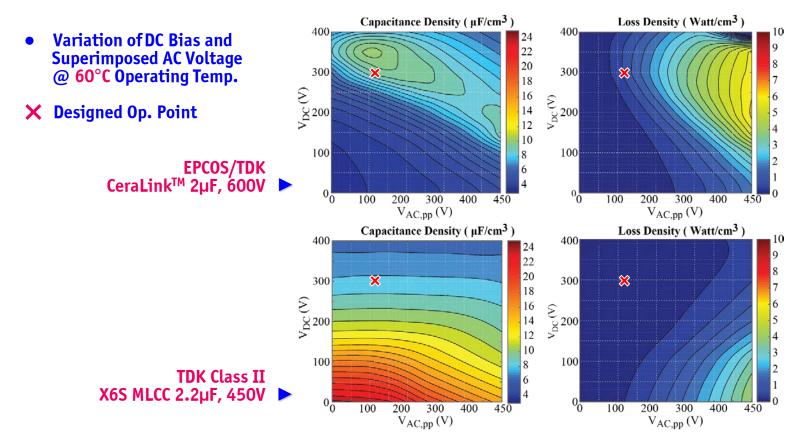
- **CeraLink vs. X6S**
- Large-Signal 120Hz Excitation Reveals Large Hysteresis
   Significantly Higher Losses @ 120Hz Comp. to X6S MLCC
   ESR Drops Significantly @ Higher Temp.
   36μF (27μF) Blocks of Prepackaged Single Chips CeraLink<sup>™</sup>

  - Reliable Mech. Construction



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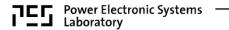




PPB Design Optimiz. Requires Large-Signal Capacitance and Power Loss Data in All Operating Points

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# Analysis of GaN Power Transistor ZVS Losses

- Little-Box 1.0 Experiments Indicated *Residual ZVS Losses of GaN Power Transistors*
- Losses Cannot be Explained by Remaining  $i_D$ ,  $u_{DS}$  Overlap / Non-Ideal Gate Drive etc.



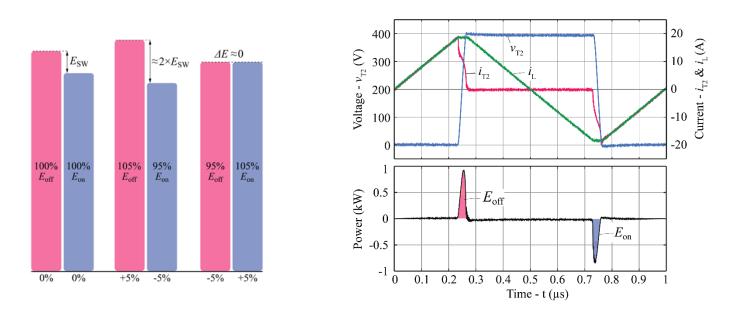
- Potentially Large Measurement Error for Electric Double-Pulse Sw. Loss Measurement
- Accuracy only Guaranteed by Direct Loss Measurement  $\rightarrow$  Calorimetric Approach





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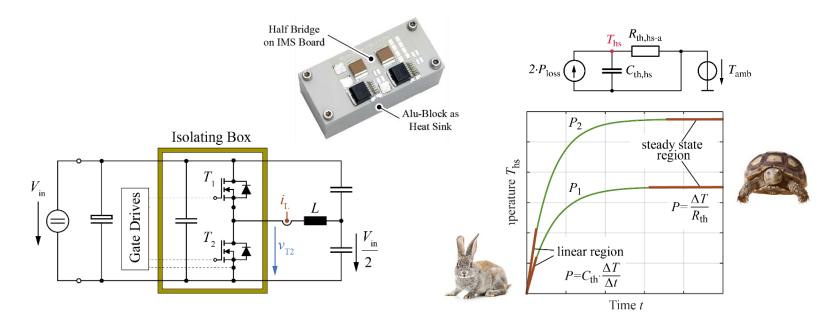






# **Calorimetric Measurement of ZVS Losses**

- "Inductor in the Box" → Accurate DC Inp. & Outp. Power Measurement, Subtr. on Ind. Losses
   "Bridge Leg in the Box" → Direct Measurement of the Sum of Cond. & Sw. Losses



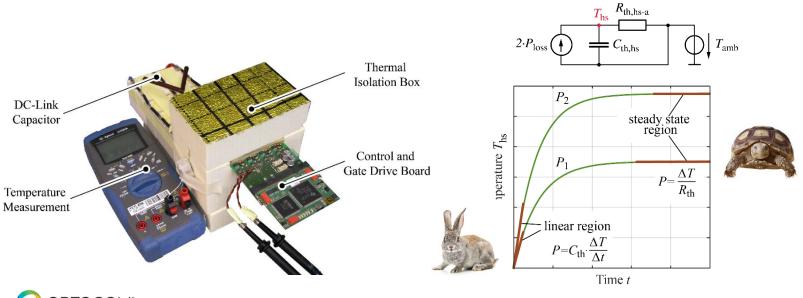
- "Bridge Leg in the Box" & Fast Measurement by C<sub>th</sub>.∆T/∆t Evaluation
   DC/DC Operation @ High Sw. Frequency for Large Ratio of Sw. and Conduction Losses
   Subtraction of the Cond. Losses from Datasheet or Dir. Measurement

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#### **Calorimetric Measurement of ZVS Losses**

- "Bridge Leg in the Box" & Fast Measurement by  $C_{th}$ . $\Delta T/\Delta t$  Evaluation Subtraction of the Cond. Losses from Datasheet or Direct Measurement
- DC/DC Operation @ High Sw. Frequency for Large Ratio of Sw. and Conduction Losses •





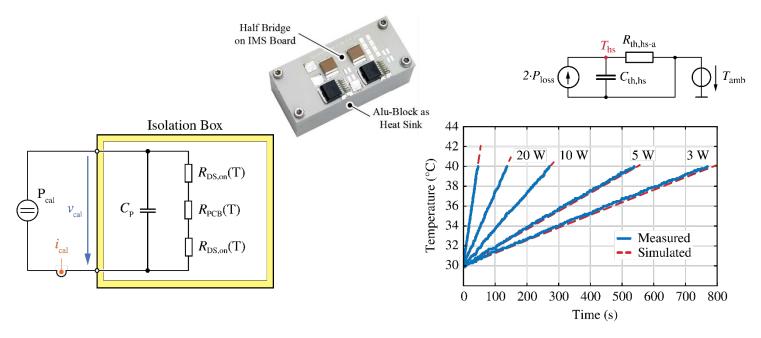
- Isolated Temp. Measurement with Optical Fiber (GaAs Crystal) Instead of Thermocouple Calibration by On-State of  $T_1$  and  $T_2$  & DC Current Operation / DC Power Loss Measurement





## **Calibration of "Bridge Leg in the Box" Setup**

- **Calibration** by On-State of  $T_1$  and  $T_2$  & DC Current Operation / DC Power Loss Measurement Identification of Thermal Cap.  $C_{th}$  and Thermal Resistance  $R_{th}$



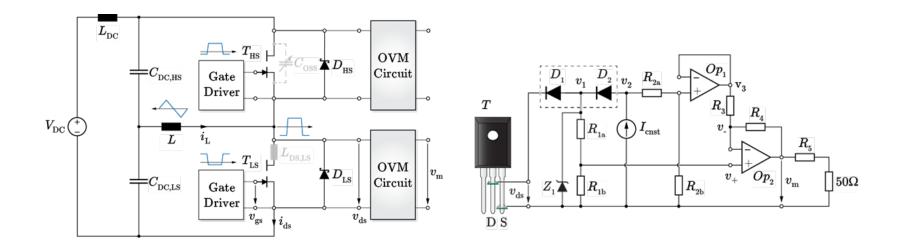
- **DC Power Loss Measurement Ensures High Accuracy**
- Thermal Behavior for Short Measurement Times Mainly Determined by C<sub>th</sub>





#### Accurate On-State Voltage Measurement

- Clamping Diode for Limiting the On-State Voltage Measurement (OVM) to 2V
- Subtraction of the SiC Diode Forward Voltage Drop for High Accuracy (2mV)



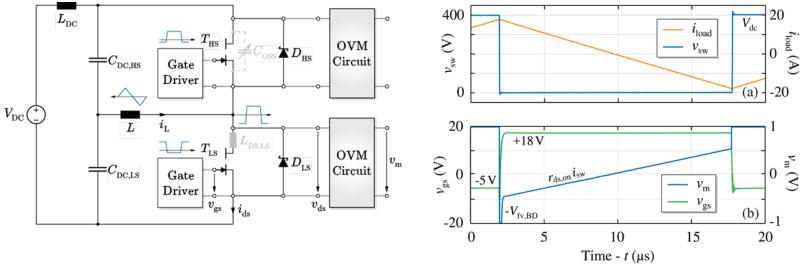
Only 50ns Blanking Time – OVM Circuit Can also be Used for Dynamic R<sub>DS,on</sub> Measurement





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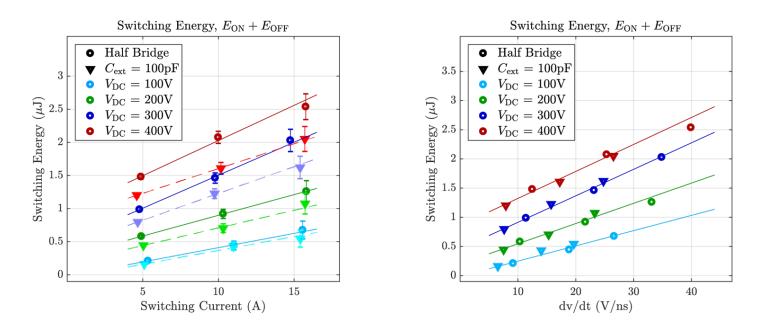




**ZVS Loss Measurement Results (1)** 

- Measurement of Energy Loss per Switch and Switching Period
- GaN Enhancement Mode Power Transistor (600V,  $70m\Omega@25^{\circ}C$ ) Antiparallel CREE SiC Schottky Freewheeling Diode (600V, 3.3A)





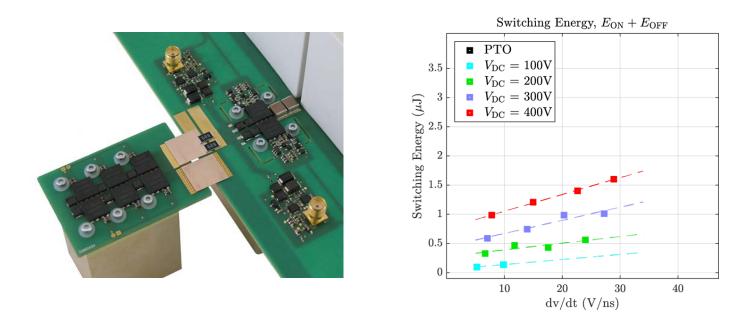
- Switching w/ and w/o 100pF Parallel Low-Loss SMD Multilayer Ceramic Chip Capacitor (450V) dv/dt Measured in 10%...90% of Turn-off Voltage, Behavior @ at Low dv/dt Still to be Clarified





## **ZVS Loss Measurement Results (2)**

- Analysis of a *Permanently-Off Half-Bridge* Excited with Switch Node Voltage
- Measurement of Energy Loss per Switch and Switching Period



- Heating Indicates Losses in the Permanently-Off Devices
- Losses Comparable to the Losses of the Switching Half Bridge for Same dv/dt

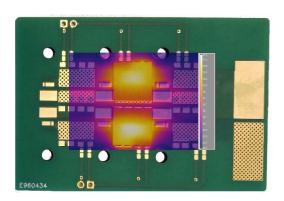


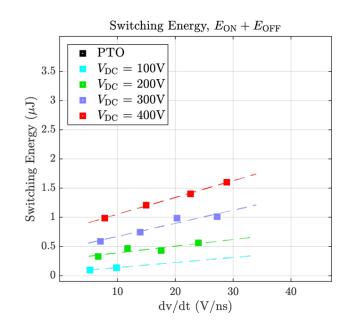


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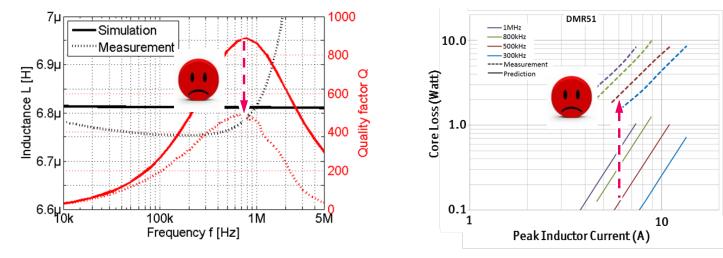


# Multi-Airgap Inductor



- Ferrite E-Core with 50 x 0.3mm Thick Stacked Plates as Center Post
- Power Loss of TCM Inductors Sign. Higher than Expected

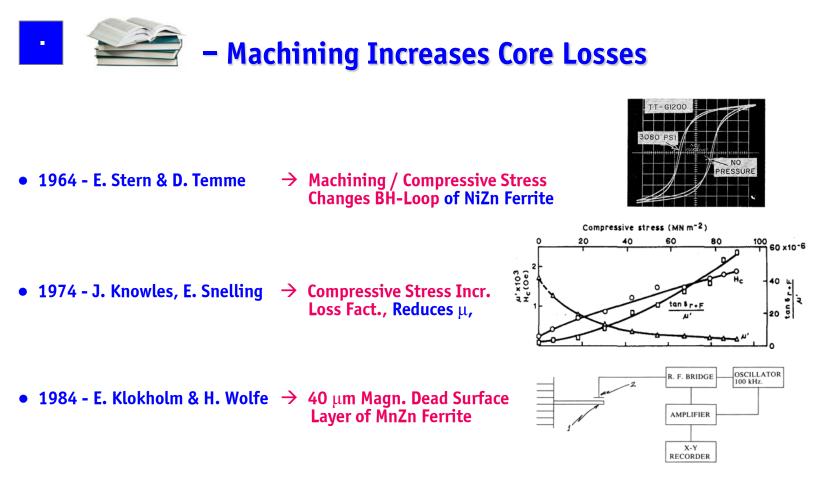




• Analysis by Fraunhofer Shows Up to Factor 10 High Core Losses (!)  $\rightarrow$  "Mystery" Losses



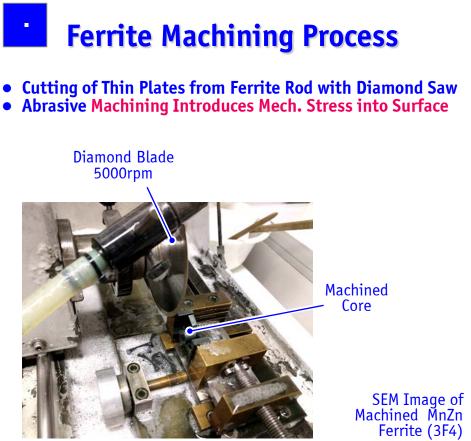


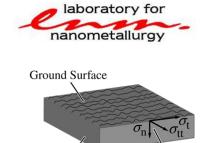


● 1987 - S. Chandrasekar et al. → Lapping Causes Greater Residual Stress than Grinding



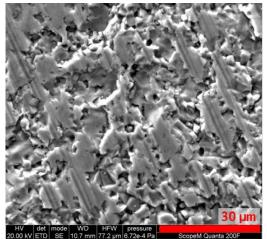






Ferrite Plate

**Residual Surface Stress** 



■ Ferrite Properties in Surface Altered → Increase of Loss Factor

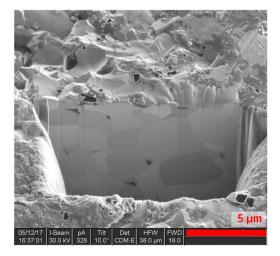


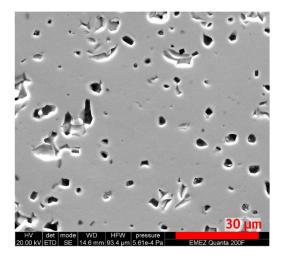


# Subsurface Condition of Machined Ferrite Sempa

Materials Science and Technology

- Focused Ion Beam (FIB) Cut into Ferrite (3F4) Sample & Scanning Electron Microscopy (SEM)
   Polishing of Surface with Grain Sizes 2400 SiC → 4000 SiC → Colloidal Silica SiO<sub>2</sub>





**Polishing Removes 500** $\mu$ m of Surface  $\rightarrow$  Bulk Material Exposed

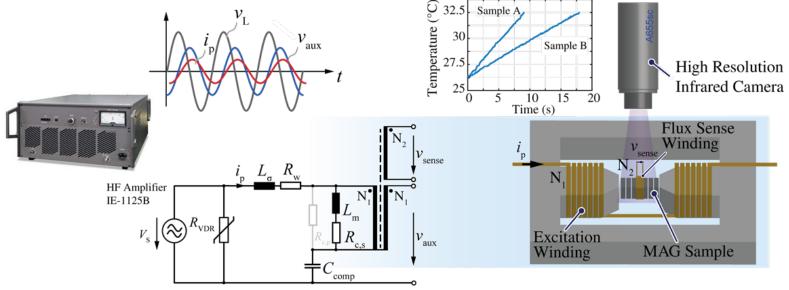
Bulk Ferrite also Exhibits Cavities  $\rightarrow$  Result of (Imperfect) Sintering Process





#### **Thermometric Surface Loss Measurement**

- Impression of Homogeneous Sin. Flux Density of Desired Ampl. / Frequ.
   Cap. Series Comp. for Lowering Impedance @ High Frequencies
   Measurement of *Transient* Temp. Change → Calcul. of Losses
- Sample A Sample B 32.5 Sample A



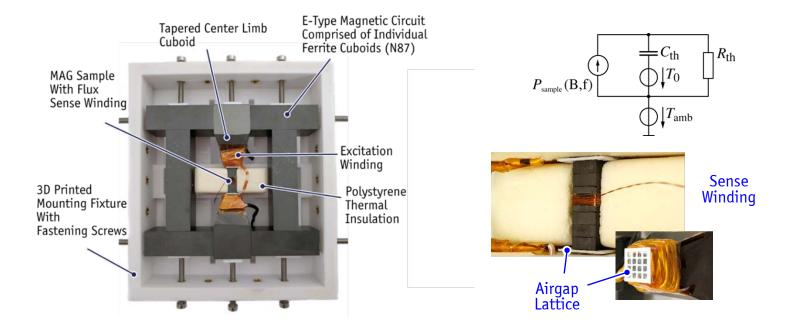
• Temperature Rise of  $\Delta T$ = 1.5°...5°C Sufficient (Accuracy ±0.2°C), Fast Measurement (!)





## Test Fixture / Magnetic Circuit

- E-Type Fixture for Swift Installation of Diff. Samples (7mm x 6.4mm x 21.6mm)
- FEM Optimiz. of Dimensions Large Core Cross Section / Tapered Outer Limbs



- Therm. Insul. & Airgap Lattice Ensure Low Heat Flux to Ambient
- Measurement of Temp. Increase Over Time Allows to Verify Homog. Flux Density in Sample

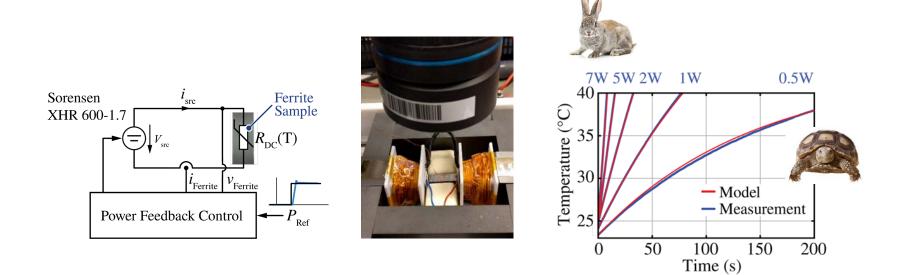




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## Identification of Therm. Parameters $R_{th}$ , $C_{th}$

- DC Current Impressed in Ferrite, Voltage Control for Const. Power Dissipation as R<sub>DC</sub>=R<sub>DC</sub>(Temp.)
   Temperature Response of Sample Recorded (*FLIR A655sc W* with Close-Up Lens)
- Emissivity of Ferrite Determined Using Heat Plate ( $\varepsilon$ = 0.86)



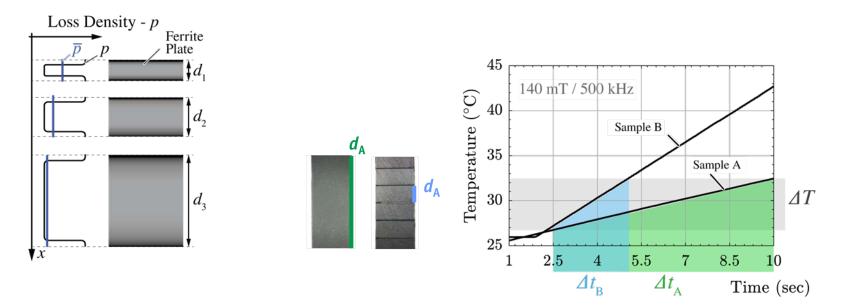
- *R*<sub>th</sub> = 37.8 K/W Can be Neglected
   Obtained Parameter *C*<sub>th</sub>=3.83J/K Close to *C*<sub>th</sub> Calc. Based on Vendor Data (*C*<sub>th</sub> = 3.6J/K)





#### **Surface Loss Measurement Principle** FERROXCUBE

- Hypothesis: Core Loss Density in Surface Layer Higher than in Bulk
- Thinner Plates → Higher Average Losses / Faster Temp. Rise
   Stacking of Plates Does NOT Affect Temperature Rise (!)



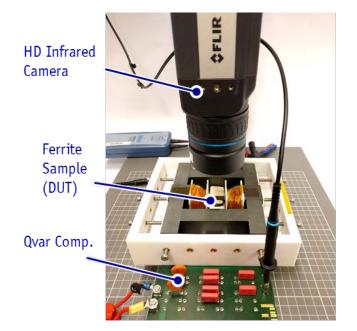
Surface Loss Density Can be Directly Calc. from Mat. Parameters / Geometry &  $\Delta t_{A}$  and  $\Delta t_{B}$ 

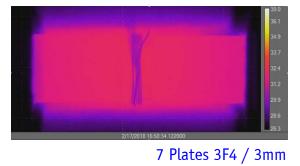




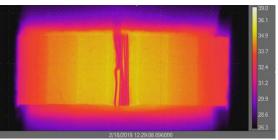
## **Temperature Rise Recording**

- Comparison of Solid 3F4 Sample (1 x 21.6mm) and Stacked Plates Sample (7 x 3mm) Sinusoidal Excitation *100mT / 400kHz* •
- •





3F4 Solid Sample / 21.6mm



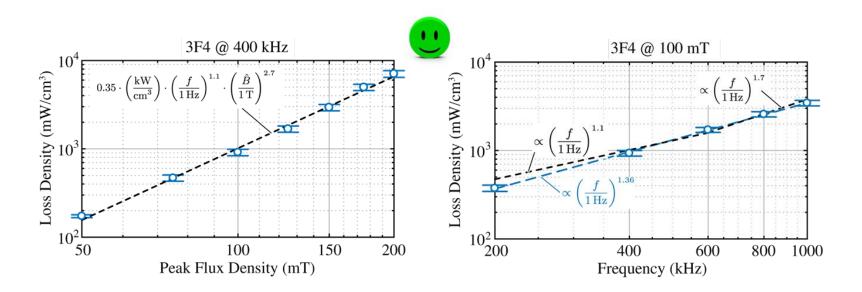
■ Thermal Image shown 25 Seconds After Turn-On of Magnetic Excitation





#### Measurement Results – Bulk Losses

- Comparison of Measurement Results and Datasheet Values, 3F4 @ 25°C
- Measurement Error Approx. ±10% (Worst Case)



**Good Agreement with Datasheet Values / Vendor Steinmetz Parameters** 

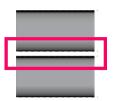


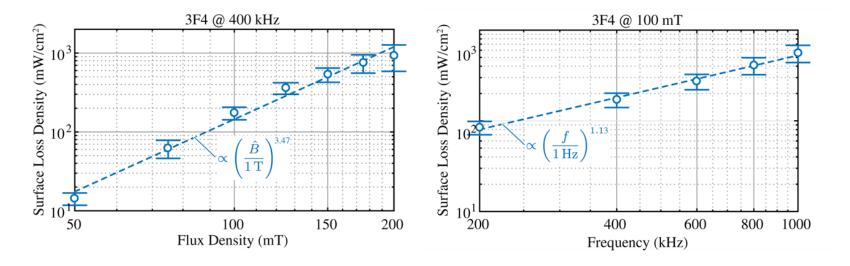


**Measurement Results – Surface Losses** 

- •
- Measurement Error Approx. ±25% (Worst Case) Error Determined by Meas. Time & Temp. Reading Accuracy •

$$p_{Surf} = 0.0615 \times \left(\frac{f}{1 \text{Hz}}\right)^{1.13} \times \left(\frac{\hat{B}}{1 \text{T}}\right)^{3.47} \left(\frac{\text{mW}}{\text{cm}^2}\right)$$





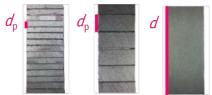
Comp. of Steinmetz Parameters of Surface Losses & Bulk Losses BS > B, aS < a 

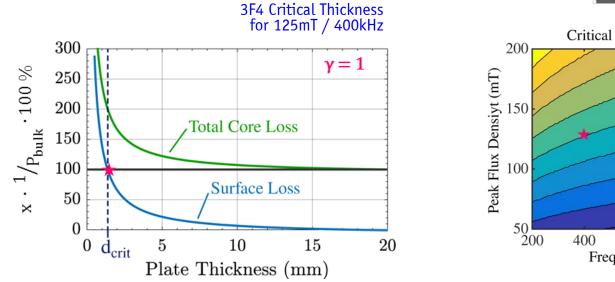


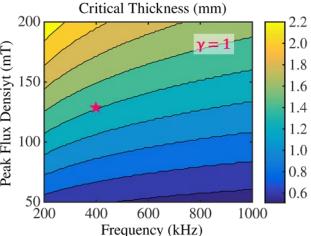


*"Critical Thickness"* of Ferrite Plates

- "Critical Thickness" Reached for Equal Losses in Bulk & Surface
- Critical Plate Thickness is INDEPENDENT of Cross Section (!)







Dependence on Flux Density Ampl. & Frequency !
 Dependence on Material / Machining Process / Power Processing Treatment





#### ηρ-Pareto Optimization of Little Box 1.0

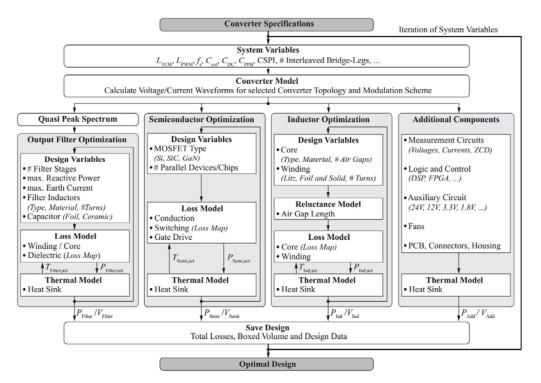
ηρ-Pareto Front TCM vs. Large Ripple PMW The Ideal Switch is Not Enough (!) Design Space Diversity





#### **Multi-Objective Optimization**

- **Detailed** System Models  $\rightarrow$  Power Buffer/Output Stage/EMI Filter Multi-Domain Component Models  $\rightarrow$  Passives & GaN & SiC Semicond.
- Consideration of Very Large # of Degrees of Freedom



Pareto Optimization Shows Trade-Off Between Power Density and Efficiency





## Little Box 1.0 np-Performance Limits

- $\begin{array}{ll} \mbox{Multi-Objective Optimization of Little-Box 1.0 (incl. CeraLink \rightarrow X6S)} \\ \mbox{Absolute Performance Limits (I) DSP/FPGA Power Consumption} \\ (II) Heatsink Volume @ (1-\eta) \end{array}$ ٠

100 (a) **Transform** Realized Prototype **(I)** 99 (b)---(c) Efficiency η [%] 26  $+1.65\frac{kW}{k}$ dm<sup>3</sup> kW +0.65% $\rho_{\rm max,X6S}$ dm<sup>3</sup> +0.43% $\rho_{\rm max,Ceralink}$ **(a)** (II)96 Realized LBC Prototype (b) CeraLink Power Pulsation Buffer **(c)** X6S **Power Pulsation Buffer** 95 6 8 10 12 14 16 4 PowerDensity  $\rho$  [kW/dm<sup>3</sup>]

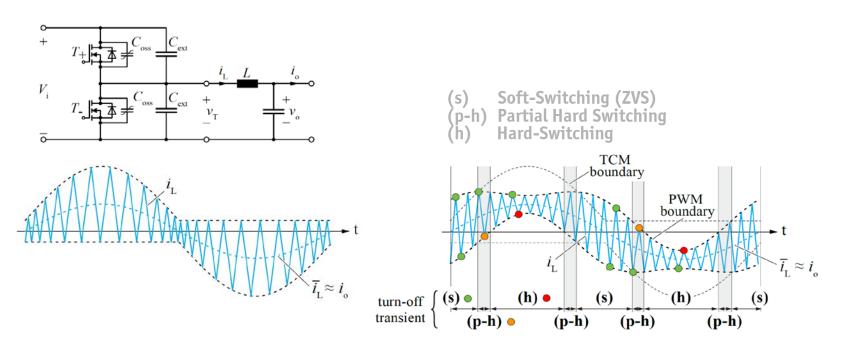
• Further Performance Improvement for Triangular Current Mode (TCM)  $\rightarrow$  PWM





#### Little Box 1.0 -- TCM $\rightarrow$ PWM

- Very High Sw. Frequency *f<sub>s</sub>* of TCM Around Current Zero Crossings Efficiency Reduction due to Residual TCM Sw. Losses & Gate Drive Losses Reduction
- Wide  $f_s$  -Variation Represents Adv. & Disadvantage for EMI Filter Design •



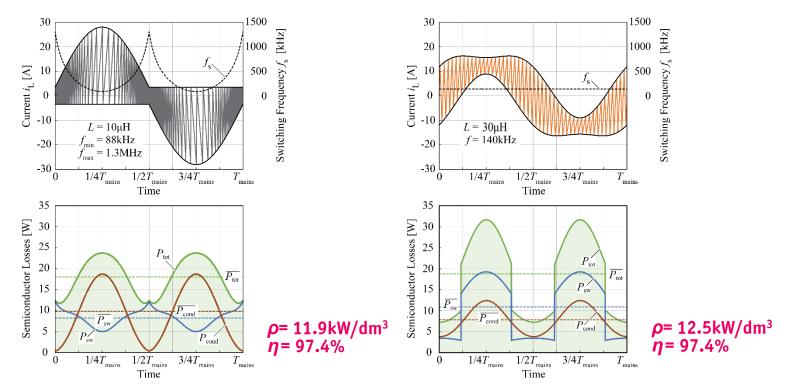
PWM -- Const. Sw. Frequency & Lower Conduction Losses PWM @ Large Current Rippel -- ZVS in Wide Intervals





#### Little Box 1.0 -- TCM $\rightarrow$ PWM

- Optimization for GaN GIT & No Interleaving
- Resulting Opt. Inductance of Output Inductor L=10µH (TCM), L=30µH (PWM@140 kHz)



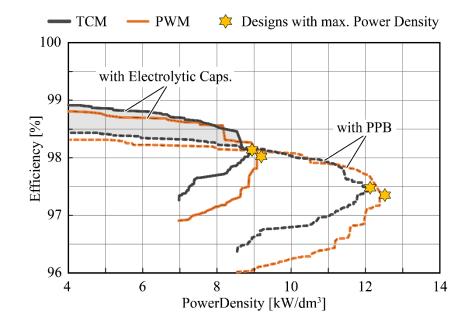
• PWM vs. TCM  $\rightarrow$  Slightly Higher Max. Power Density @ Same Efficiency





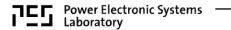
# Little Box 1.0 -- Electrolytic Cap. / Active PPB

- Analysis for Google Little Box Challenge Specification ΔV/V < 3%</li>
   Efficiency Benefit of PPB only for ρ > 9kW/dm<sup>3</sup>



- **Electrolytics Favorable for High Efficiency** @ Moderate Power Density ( $\Delta \eta$ = +0.5%)
- Electrolytics Show Lower Vol. & Lower Losses if Large △V/V is Acceptable (e.g. for PFC Rectifiers)





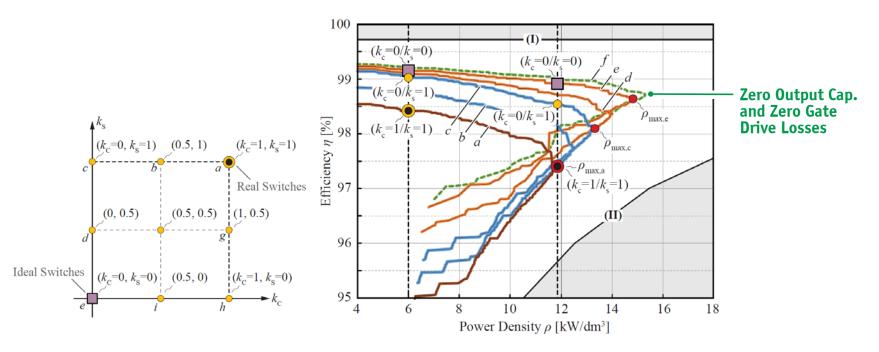
#### The Ideal Switch is Not Enough (!)





## Little Box 1.0 @ Ideal Switches -- TCM

- Multi-Objective Optimization of Little-Box 1.0 (X6S Power Pulsation Buffer)
- Step-by-Step Idealization of the Power Transistors Ideal Switches:  $k_c$ = 0 (Zero Cond. Losses);  $k_s$ = 0 (Zero Sw. Losses)



Analysis of Improvement of Efficiency @ Given Power Density & Maximum Power Density

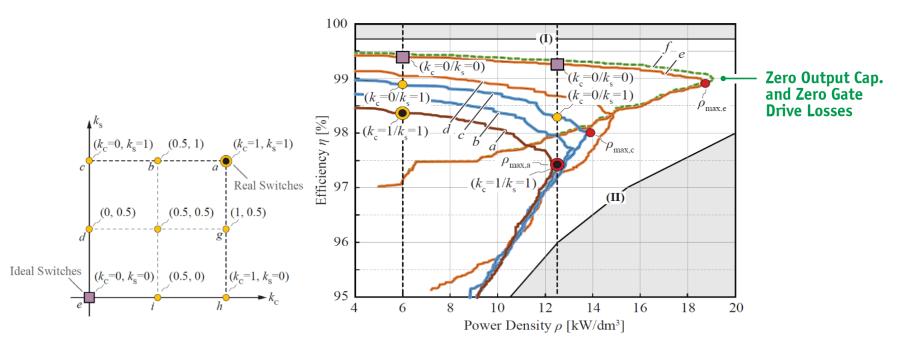




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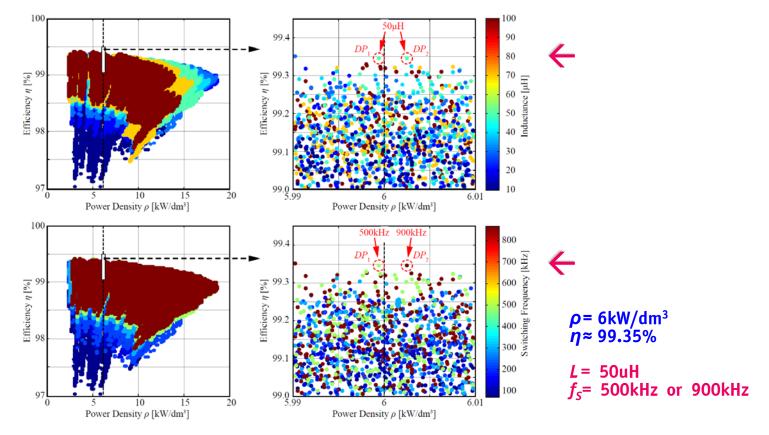


Analysis of Improvement of Efficiency @ Given Power Density & Maximum Power Density



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Little Box 1.0 @ Ideal Switches -- PWM

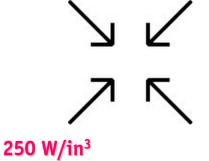


*L* & *f<sub>s</sub>* are Independent Variables (Dependent for TCM) Large Design Space Diversity (Mutual Compensation of HF and LF Loss Contributions)

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# Little Box 2.0



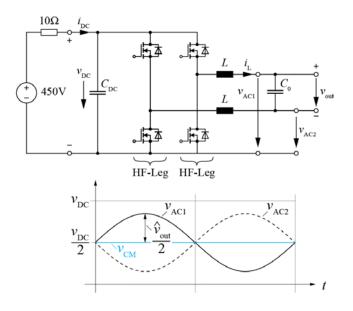
DC/ AC Converter + Unfolder PWM vs. TCM incl. Interleaving ηρ-Pareto Limits for Non-Ideal Switches Preliminary Exp. Results Final 3D-CAD





## Little Box 2.0 -- New Converter Topology (1)

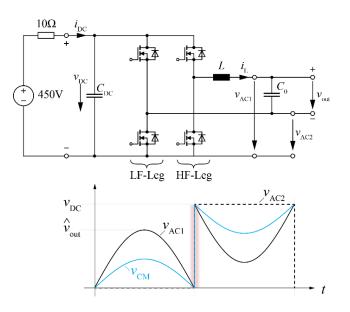
- Alternative Converter Topology  $\rightarrow$  Only Single HF Bridge Leg + 60Hz-Unfolder
- DC/ AC Buck Converter + Full-Bridge Unfolder OR HF Half-Bridge & Half-Bridge Unfolder



- *v*<sub>AC1</sub>, *v*<sub>AC2</sub> More Diff. to Gen. but Add. DOF
   Higher Sw. & Gate Drive Losses

ETHzürich

- Zero Low-Frequ. CM-Noise (DC Comp. Only)
- C<sub>CM</sub> Not Limited by Allowed Gnd Current

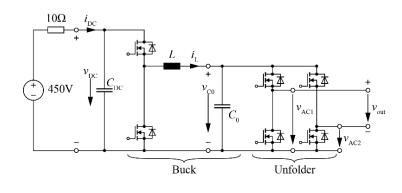


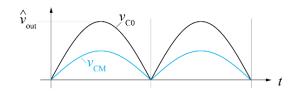
- *v*<sub>AC1</sub> More Difficult to Generate/Control
- Lower Conduction Losses
- Higher CM-Noise (DC and n x 120Hz-Comp.)
- C<sub>CM</sub>=150nF Allowed for 50mA Gnd Current



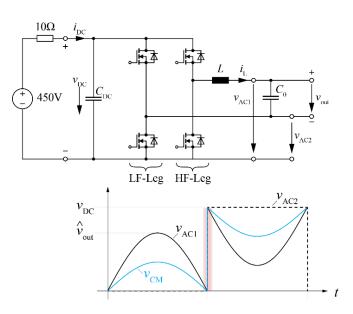
## Little Box 2.0 -- New Converter Topology (2)

- Alternative Converter Topology  $\rightarrow$  Only Single HF Bridge Leg + 60Hz-Unfolder
- DC/ AC Buck Converter + Full-Bridge Unfolder OR HF Half-Bridge & Half-Bridge Unfolder





- *v*<sub>c0</sub> Easy to Generate/Control
   Higher Cond. Losses Due to FB-Unfolder
- Lower CM-Noise (DC & n x 120Hz-Comp.)
- C<sub>CM</sub>=700nF Allowed for 50mA Gnd Current



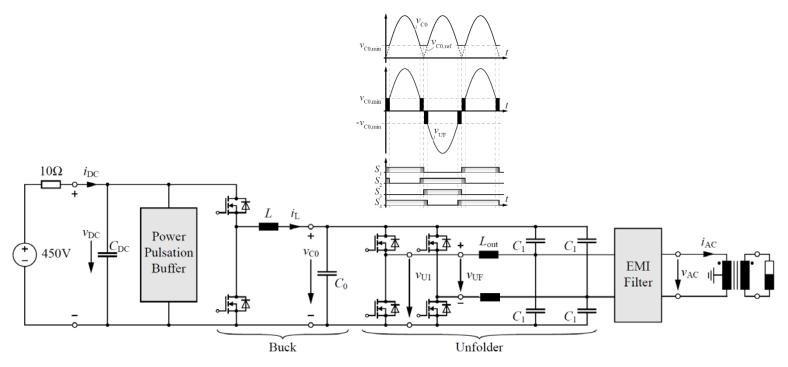
- *v*<sub>AC1</sub> More Difficult to Generate/Control
   Lower Cond. Losses
- Higher CM-Noise (DC and n x 120Hz-Comp.)
- C<sub>CM</sub>=150nF Allowed for 50mA Gnd Current





## Little Box 2.0 -- New Converter Topology (3)

- Alternative Converter Topology DC/ | AC | Buck Converter + Unfolder 60Hz-Unfolder (Temporary PWM for Ensuring Cont. Current Control) TCM or PWM of DC/ | AC | Buck-Converter



Full Optimization of All Converter Options for Real Switches / X6S Power Pulsation Buffer

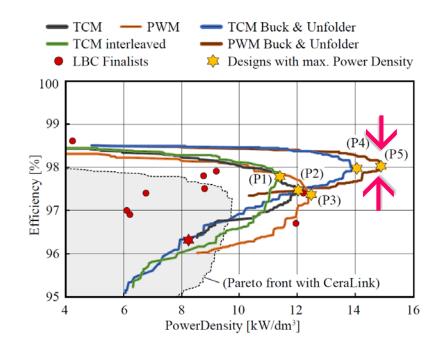




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## Little Box 2.0 -- Multi-Objective Optimization

- DC/ AC Buck Converter (Single PWM Bridge Leg) + Unfolder Shows Best Performance Full-Bridge Would Employ 2 Switching Bridge Legs Larger Volume & Losses Interleaving Not Advantageous Lower Heatsink Vol. / Larger Vol. of Switches and Inductors

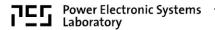


•  $\rho$ = 250W/in<sup>3</sup> (15kW/dm<sup>3</sup>) @  $\eta$ = 98% Efficiency Achievable for Full Optimization



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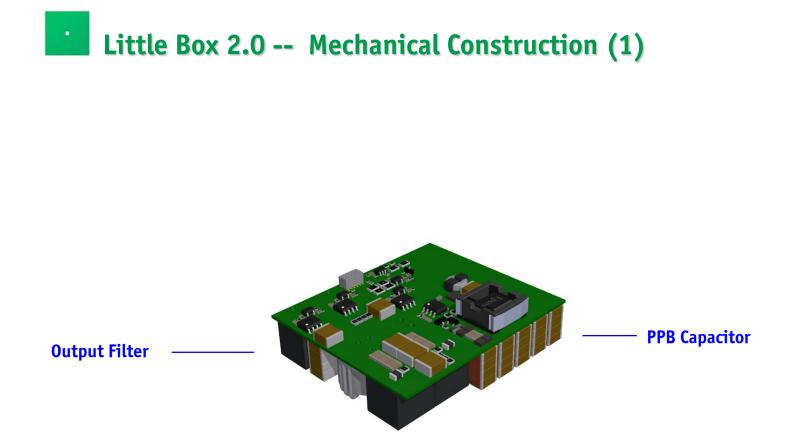






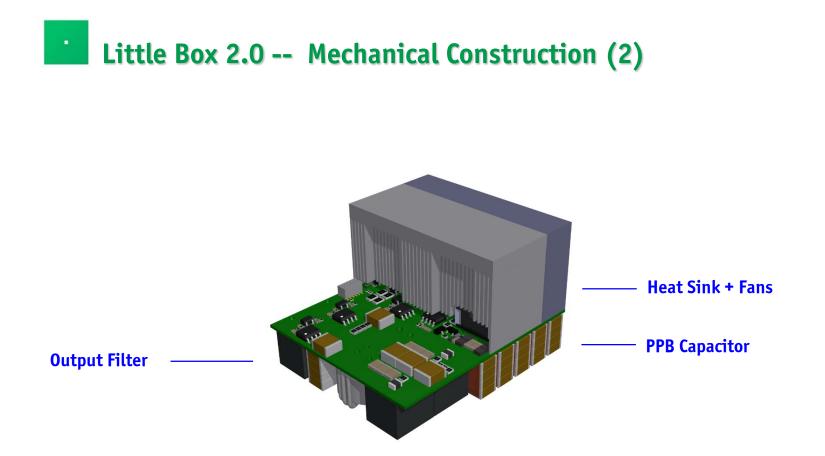






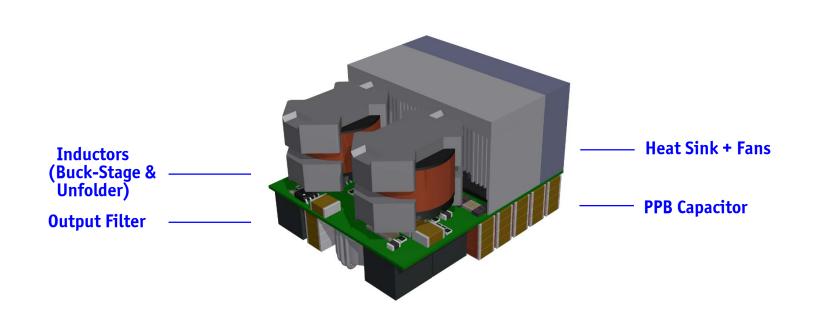








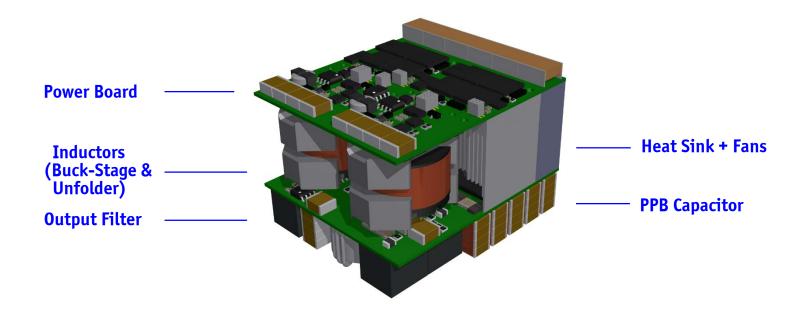










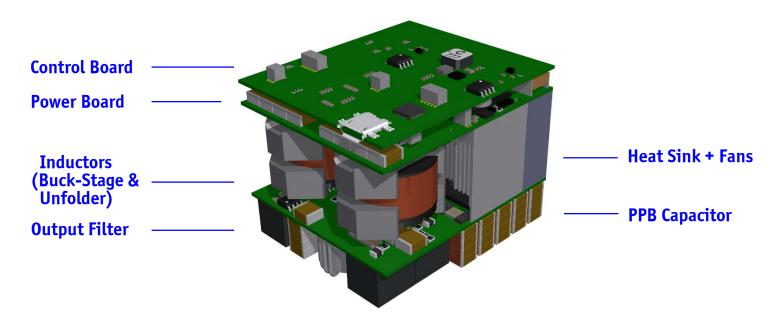






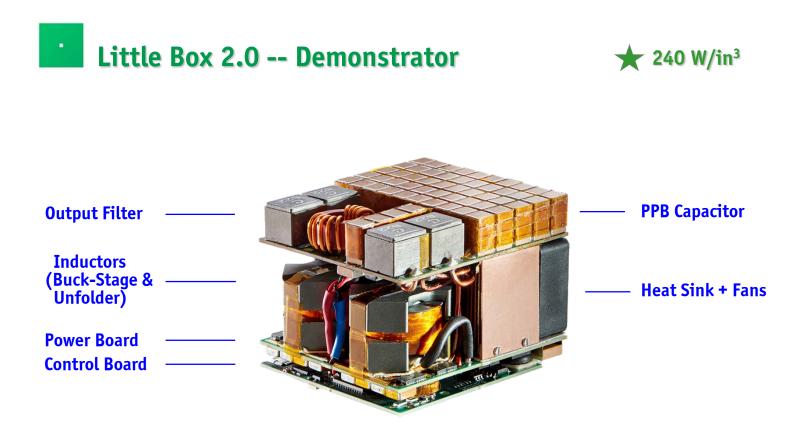
**ETH** zürich

Little Box 2.0 -- Mechanical Construction (5)



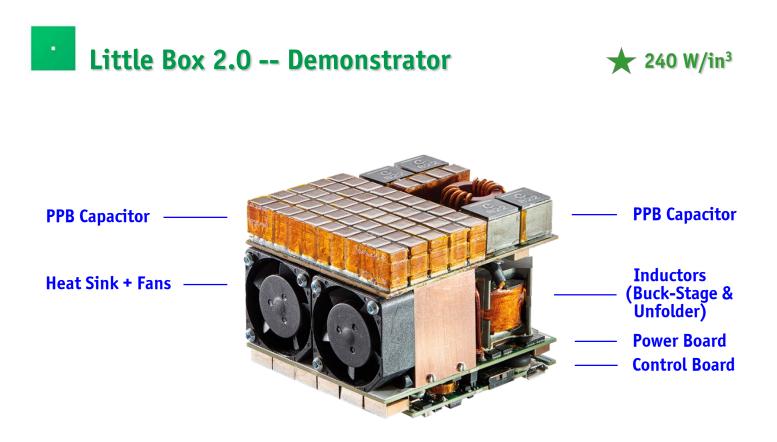
















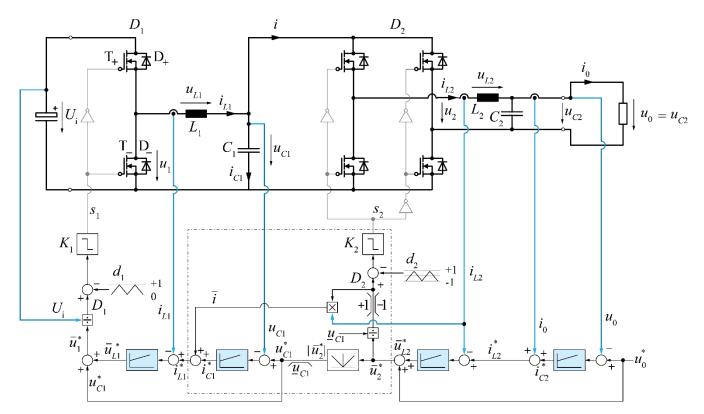
#### Experimental Results

Control Block Diagram Output Voltage / Input Current Quality Efficiency





Little Box 2.0 – Control Structure



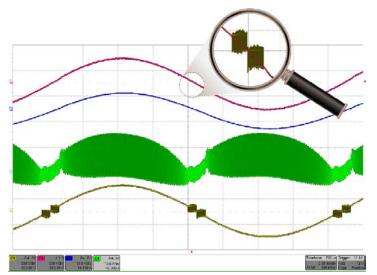
Each Stage (Buck & Unfolder) Controlled with Cascaded Current and Voltage Loop
 Without Switching of Unfolder Control Like for Conventional Boost PFC Rectifier

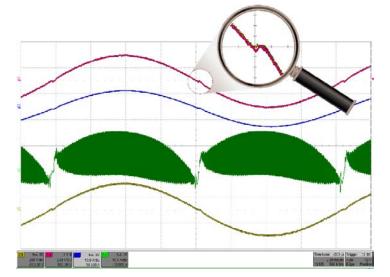




## Little Box 2.0 – Experimental Results (1)

• Voltage Zero Crossing Behavior With (Right) & Without (Left) Switching of Unfolder





Output Voltage (200V/div) Output Current (10A/div) Buck Inductor Current (10A/div) Unfolder Output Voltage (200V/div)

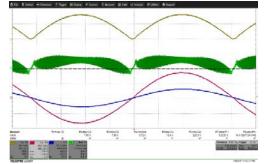
- Output Voltage & Current Fully Controlled Around Voltage Zero Crossings
- Slope of Buck Conv. Outp. Curr. can be Decreased Adv. for React. Loads (No Step-Change of DC Curr.)

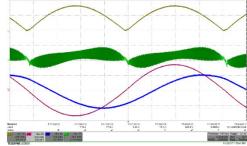
**ETH** zürich

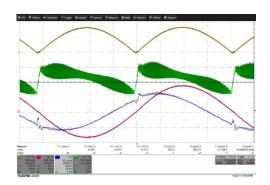


# Little Box 2.0 – Experimental Results (2)

• DC/|AC| Buck-Stage Output Voltage & Inductor Current







Resistive Load

Inductive Load

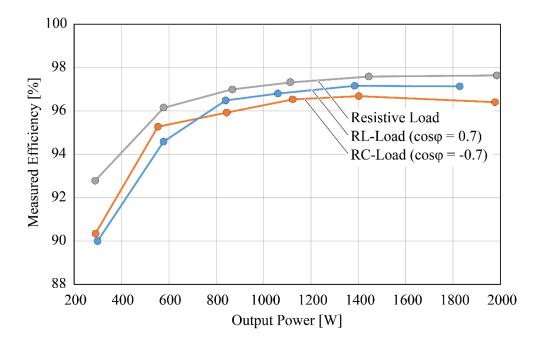
Capacitive Load





## Little Box 2.0 – Experimental Results (3)

- **Performance of First DC**/ | AC | Buck Converter + Unfolder Prototype
- PWM Operation
- Without Power Pulsation Buffer



■ 98% for Res. Load Achievable if Cond. Losses of PCB (Copper Cross Sect.) & Unfolder (*R*<sub>ds,on</sub>) are Red.

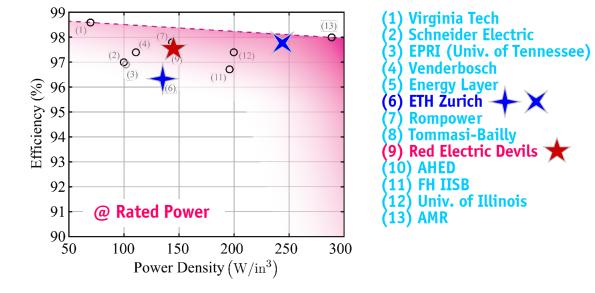




#### Litte Box 2.0 - Performance Comparison

**18 Finalists (3 No-Shows)** 7 Groups of Consultants / 7 Companies / 4 Universities

#### *Note:* Numbering of **Teams is Arbitrary**

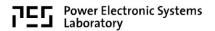


#### 70...300 W/in<sup>3</sup>

- 35 kHz... 500kHz... 1 MHz (up to 1MHz: 3 Teams)
- Full-Bridge or DC/|AC|Buck Converter + Unfolder
- Mostly Buck-Type Active Power Pulsation Filters (Ceramic Caps of Electrolytic Caps)
- GaN (11 Teams) / SiC (2 Teams) / Si (2 Teams)

ETHzürich







Source: whiskeybehavior.info



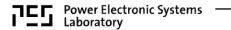


## Performance Limits / Future Requirements

- 220...250W/in<sup>3</sup> for Two-Level Bridge Leg + Unfolder
- 250...300W/in<sup>3</sup> for Highly Integrated Multi-Level Approach
- Isol. Distance Requirements Difficult to Fulfill
- Fulfilling Industrial Inp. Overvoltage Requirem. would Signific. Reduce Power Density
- Low Frequency (20kHz...120kHz) SiC vs. HF (200kHz...1.2MHz) GaN
- Multi-Cell Concepts for LV Si (or GaN) vs. Two-Level SiC (or GaN)
- New Integr. Control Circuits and *i*=0 Detection for Sw. Frequency >1MHz
- Integrated Gate Drivers & Switching Cells
- High Frequency Low Loss Magnetic Materials
- High Bandwidth Low-Volume Current Sensors
- Low Loss Ceramic Capacitors Tolerating Large AC Ripple
- Passives w. Integr. Heat Management and Sensors
- 3D Packaging
- New U-I-Probes Required for Ultra-Compact Conv. R&D
- Specific Systems for Testing  $\rightarrow$  Devices Equipped with Integr. Measurement Functions
- Convergence of Sim. & Measurem. Tools  $\rightarrow$  Next Gen. Oscilloscope
- New Multi-Obj. Multi-Domain Simulation/Optim. Tools



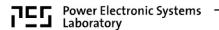




# **Thank You!**















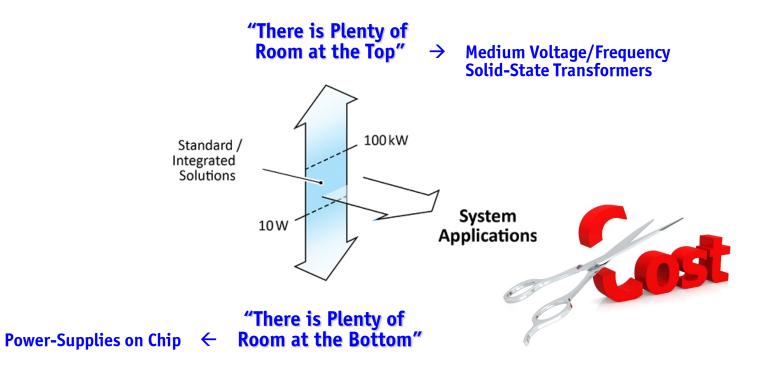






#### **Future Development 1/2**

- **Commoditization / Standardization**
- Extreme Cost Pressure (!)



**Key Importance of Technology Partnerships of Academia & Industry** 

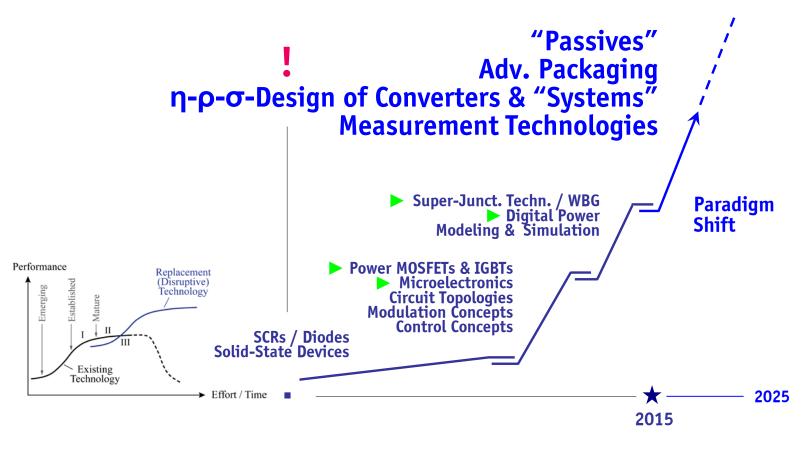




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#### **Future Development 2/2**

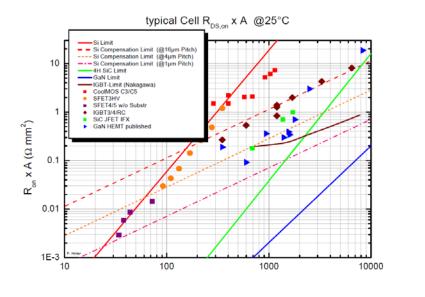
**Extrapolation of Technology S-Curve** 



STS-

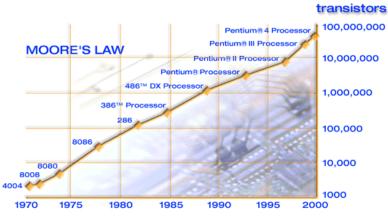
#### **Technology Progress – Technology Push**

- WBG Semiconductor Technology
   Microelectronics
- → Higher Efficiency, Lower Complexity
   → More Computing Power



 $\rightarrow$  + Advanced Packaging (!)

**ETH** zürich

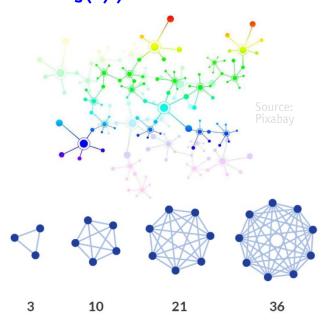


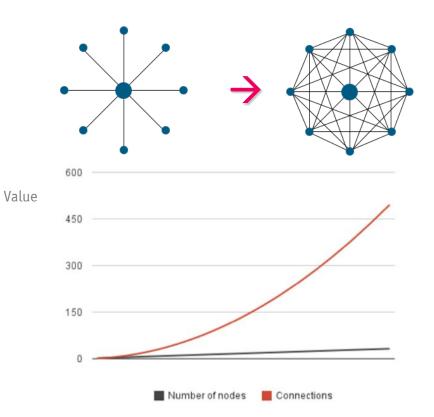
→ Moore's Law



#### System / Smart Grid Drivers

- Metcalfe's Law
- Moving form Hub-Based Concept to Community Concept Increases Potential Network Value Exponentially (~n(n-1) or ~n log(n) )

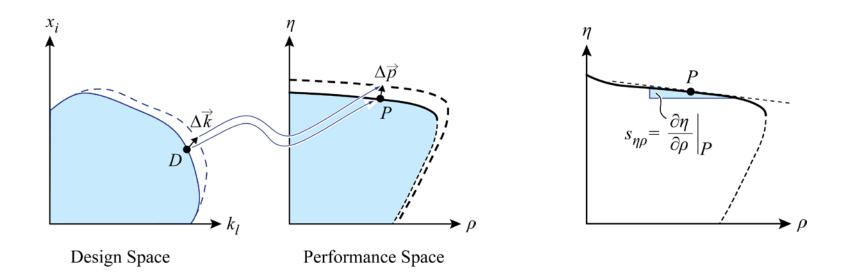






#### Technology Sensitivity Analysis Based on η-ρ-Pareto Front

# Sensitivity to Technology Advancements Trade-off Analysis

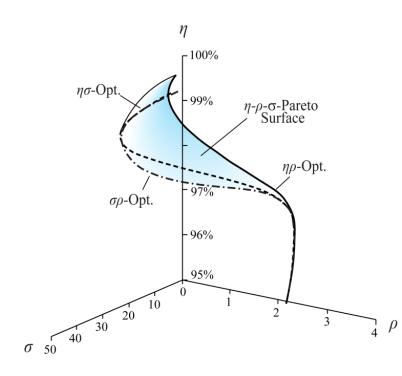


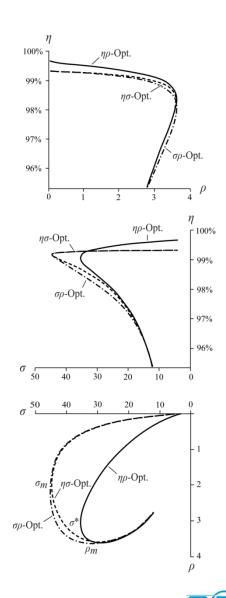




# Converter Performance Evaluation Based on $\eta$ - $\rho$ - $\sigma$ -Pareto Surface

**σ**: kW/\$

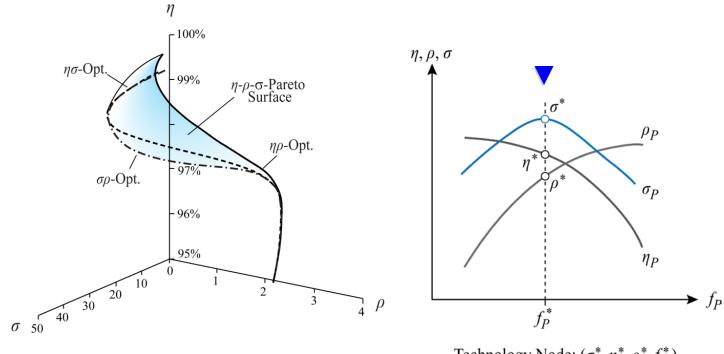






# Converter Performance Evaluation Based on $\eta$ - $\rho$ - $\sigma$ -Pareto Surface

#### 'Technology Node'



Technology Node:  $(\sigma^*, \eta^*, \rho^*, f_P^*)$ 





#### **Future Development**

	<ul> <li>Minimize / Avoid Packages → (PCB) Embedding</li> <li>Integrate Driver Stage</li> <li>Integrate Sensors / Monitoring</li> <li>Multiple Use of Isolated Gate Drive Communication Channel</li> <li>Offer Test Devices with Integrated Measurement Function</li> <li>Facilitate (Double Sided) Heat Extraction</li> </ul>
Converters	<ul> <li>Standardized Very Low Cost Building Blocks</li> <li>"Application Specific" = Wide Operating Range Standardized Blocks</li> <li>Self-Parametrization</li> <li>Bidirectional Converters</li> </ul>
5	<ul> <li>AC and DC Distribution</li> <li>Single Converter vs. Combination of Modules / Cells</li> <li>Initial Costs / Life Cylce Cost Trade-off</li> <li>Grid 4.0</li> </ul>
-	<ul> <li>Minimize Design Time / Fully Computerized</li> <li>Maximize Design Flexibility for Appl. Specific Solution (PCB)</li> <li>Maximize Design Insight for Trade-off Analysis</li> <li>Design for Manufacturing (Planar / PCB Based)</li> </ul>
Literature	- More & More "White Noise"



