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Winter School 02/27 – 03/03/2017 Villach, Austria

## Multi-Objective Optimization in Power Electronics

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### **Power Electronic Systems Laboratory** @ **ETH Zurich**



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## Examples of Research Activities in **Mechatronics**

- Ultra High Speed DrivesBearingless Machines





### **Ultra High Speed Drive Systems**

### World Record !

100W @ 1'000'000 rpm

- ▶ µm-Scale PCB Drilling
- Dental Technology
- Laser Measurement Technology
- Turbo-Compressor Systems
- ► Air-to-Power
- Artificial Muscles
- Mega Gravity Science







# Ultra High Speed Magnetically Levitated Drive Systems

### World Record !

500'000 rpm

- Laser Measurement Technology
   Active Damping of Air Bearings
   Satellite Attitude Control







### **Bearingless Motors**

- Maximum Speed 2000rpm
- High Acceleration Capability (3.8s from 0 → 2000rpm)
- Żmm Air Gap
- Two Phase Winding Configurations
- Adaptive Unbalance Compensation Control





### **PES Research Scope**



Actuators / EL. Machines

- Airborne Wind Turbines
- Micro-Scale Energy Systems
  Wearable Power
- Exoskeletons / Artificial Muscles
  Hybrid Systems
  Pulsed Power





### **Industry Collaboration**







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### **Outline**

- **Global Megatrends**
- Resulting Requirements for Power Electronics
   Multi-Objective Optimization Approach
   Optimization Application Examples
   Power Electronics 2.0

- **Summary**

D. Bortis R. Bosshard R. Burkart F. Krismer

1/64



Acknowledgement



### Global Megatrends



Climate Change Digitalization Sustainable Mobility Urbanization Alleviate Poverty Etc.





### Global Megatrends



Climate Change \_\_\_\_\_ Digitalization Sustainable Mobility Urbanization Alleviate Poverty Etc.





### Climate Change



Reduce CO<sub>2</sub> Emissions Intensity (CO<sub>2</sub>/GDP) to Stabilize Atmospheric CO<sub>2</sub> Concentration
 1/3 in 2050 → less than 1/10 in 2100 (AIST, Japan @ IEA Workshop 2007)





2/64

### Climate Change

- **CO**<sub>2</sub> **Concentration & Temperature Development Evidence from Ice Cores**



Source: H. Nilsson Chairman IEA DSM Program

Reduce CO<sub>2</sub> Emissions Intensity (CO<sub>2</sub>/GDP) to Stabilize Atmospheric CO<sub>2</sub> Concentration
 1/3 in 2050 → less than 1/10 in 2100 (AIST, Japan @ IEA Workshop 2007)





### $\rightarrow$ Utilize Renewable Energy (1)

- **Enabled by Power Electronics**
- Higher Reliability (!)Lower Costs

Source: M. Prahm / Flickr

Medium-Voltage Power Collection and Connection to On-Shore Grid





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 $\rightarrow$  Utilize Renewable Energy (2)

**Enabled by Power Electronics** 

- Extreme Cost Pressure (!)
- Higher EfficiencyHigher Power Density



- **Photovoltaics Power Plants**
- Up to Several MW Power Level Future Hybrid PV/Therm. Collectors





### $\rightarrow$ Utilize Renewable Energy (3)

#### Enabled by Power Electronics



Source: www.r-e-a.net



### Global Megatrends



#### Climate Change Digitalization Sustainable Mobility Urbanization Alleviate Poverty Etc.





### Digitalization

- Internet of Things (IoT) / Cognitive Computing
- Ubiquitous Computing / BIG DATA
- Fully Automated Manufacturing / Industry 4.0
- Autonomous Cars
- Etc.

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 Moving form Hub-Based to Community Concept Increases Potential Network Value Exponentially (~n(n-1) or ~n log(n))





Metcalfe's Law



7/64



#### Enabled by Power Electronics

- Ranging from Medium Voltage to Power-Supplies-on-Chip
- Short Power Supply Innovation Cycles
   Modularity / Scalability
- Higher Power Density (!)
  Higher Efficiency (!)
  Lower Costs

Server-Farms up to 450 MW 99.9999%/<30s/a \$1.0 Mio./Shutdown

> Since 2006 Running Costs > Initial Costs





60 Watts



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8/64



#### Enabled by Power Electronics

- Ranging from Medium Voltage to Power-Supplies-on-Chip
- Short Power Supply Innovation Cycles
- Modularity / Scalability
- Higher Power Density (!)
- Higher Efficiency (!)
- Lower Costs









### → Fully Automated Manufacturing – Industry 4.0

#### Enabled by Power Electronics

- Lower Costs (!)Higher Power Density
- Self-Sensing etc.







10/64

TESLA MOTORS

Source:

### → Fully Automated Raw Material Extraction

- **Enabled by Power Electronics**
- High Reliability (!)
  High Power Density (!)

Source: matrixengineered.com



ABB's Future Subsea Power Grid  $\rightarrow$  "Develop" All Elements for a Subsea Factory"





### Global Megatrends



Climate Change Digitalization Sustainable Mobility Urbanization Alleviate Poverty

Etc.





### Sustainable Mobility

- EU Mandatory 2020 CO<sub>2</sub> Emission Targets for New Cars
- 147g CO<sub>2</sub>/km for Light-Commercial Vehicles
   95g CO<sub>2</sub>/km for Passenger Cars
   100% Compliance in 2021





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 $\rightarrow$  Electric Vehicles (1)

### **Enabled by Power Electronics** - Drivetrain / Aux. / Charger

 $\langle \! \rangle \! \rangle$ 

- Higher Power Density
- Extreme Cost Pressure (!)

#### Faraday Future

FF-ZER01 750kW / 322km/h 1 Motor per Wheel 300+ Miles Range Lithium-Ion Batteries along the Floor



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### $\rightarrow$ Electric Vehicles (2)

### **Enabled by Power Electronics** - Drivetrain / Aux. / Charger

- Higher Power Density
- Extreme Cost Pressure (!)

Source: PCIM 2013



Typ. 10% / a Cost Reduction
 Economy of Scale !







### $\rightarrow$ Futuristic Mobility Concepts (1)

#### Enabled by Power Electronics

- Hyperloop
- San Francisco  $\rightarrow$  Los Angeles in 35min



POD COMPETITION www.spacex.com/hyperloop



Low Pressure Tube
 Magnetic Levitation
 Linear Ind. Motor
 Air Compressor in Nose

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#### Enabled by Power Electronics

- Cut Emissions Until 2050 \_\_\_\_
  - \* **CO**<sub>2</sub> by 75%,

  - \* NO<sup>\*</sup><sub>x</sub> by 90%, \* Noise Level by 65%



Future Hybrid Distributed Propulsion Aircraft



- **Eff. Optim. Gas Turbine** 1000Wh/kg Batteries **Distrib.** Fans (E-Thrust)
- **Supercond.** Motors Med. Volt. Power Distrib.





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### → Futuristic Mobility Concepts (3)

#### Enabled by Power Electronics





### Global Megatrends



Climate Change Digitalization Sustainable Mobility Urbanization Alleviate Poverty Etc.





### Urbanization

- 60% of World Population Exp. to Live in Urban Cities by 2025
- **30 MEGA Cities Globally by 2023**



**>** Selected Current & Future MEGA Cities  $2015 \rightarrow 2030$ 





### Enabled by Power Electronics

- Masdar = "Source"
- Fully Sustainable Energy Generation \* Zero CO<sub>2</sub> \* Zero Waste

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- EV Transport / IPT Charging
   to be finished 2025











19/64

### Enabled by Power Electronics

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20/64

### Global Megatrends



Climate Change Digitalization Sustainable Mobility Urbanization Alleviate Poverty Etc.





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### Alleviate Poverty

- 2 Billion "Bottom-of-the-Pyramid People" are Lacking Access to Clean Energy
- Rural Electrification in the Developing World



Urgent Need for Village-Scale Solar DC Microgrids etc.
 2 US\$ for 2 LED Lights + Mobile-Phone Charging / Household / Month (!)

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21/64





Source: whiskeybehavior.info




## Current / New Application Areas (1)

- Power Electronics Covers an Extremely Wide Power / Voltage / Frequency Range
- **Extensions for** *SMART xxx* / Mobility Trends / Availability Requirements



#### **Future Extensions of Power Electronics Application Areas**



## Current / New Application Areas (2)

- **Commoditization / Standardization for High Volume Applications**
- Extension to Microelectronics-Technology (Power Supply on Chip)
- **Extensions to MV/MF**



- Cost Pressure as Common Denominator of All Applications (!)
- Key Importance of Technology Partnerships of Academia & Industry

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## Future "Big-Bang" Disruptions

- "Catastrophic" Success of Disruptive New (Digital) Technologies No Bell-Curve Technology Adoption / Technology S-Curve
- "Shark Fin"-Model



#### Consequence: Market Immediately & Be Ready to Scale Up — and Exit — Swiftly (!)





#### **Power Converter Design Challenges**



#### Mutual Coupling of Performances New Integration Technologies





#### **Power Converter Design Challenges**



# *Mutual Coupling of Performances* ——> *New Integration Technologies*





### Required Power Electronics Performance Improvements





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## Multi-Objective Design Challenge (1)

- Counteracting Effects of Key Design Parameters
- Mutual Coupling of Performance Indices → Trade-Offs



- → Large Number of Degrees of Freedom / Multi-Dimensional Design Space
- → Full Utilization of Design Space only Guaranteed by Multi-Objective Optimization





## Multi-Objective Design Challenge (1)

- **Counteracting Effects of Key Design Parameters**
- Mutual Coupling of Performance Indices  $\rightarrow$  Trade-Offs



- → Large Number of Degrees of Freedom / Multi-Dimensional Design Space
   → Full Utilization of Design Space only Guaranteed by Multi-Objective Optimization





## Multi-Objective Design Challenge (2)





## Remark: Visualization of Multiple Performances ;-)



► H. Chernoff (Stanford): "The Use of Faces to Represent Points in K-Dimensional Space Graphically"





#### **Power Converter Design Challenges**



#### Mutual Coupling of Performances New Integration Technologies





## **Advanced Technologies / Extreme Integration**

- Industry Is Leading the Field (!)
- Cutting Edge Converters (up to 1.5kW) 3D-Integrated (!)
- PCB Based Demonstrators Do NOT (any more) Provide Much Information (!)





- Future Role of Universities in Question (!)
- Not Any More Many "Low Hanging" Fruits
- Solution of Non-Problems vs. Non-Solution of Problems  $\leftarrow$  Citation: L.H. Fink
- Industry Technology Partnership for Technology Access
- "Fab-Less" Research @ Universities?
- Research on Multi-Objective Design / Virtual Prototyping as Natural Consequence (!)







## Multi-Objective Optimization

Abstraction of Converter Design Design Space / Performance Space Pareto Front Sensitivities / Trade-Offs





#### Abstraction of Power Converter Design



→ *Mapping* of "*Design Space*" into System "*Performance Space*"





→ Multi-Objective Optimization - Guarantees Best Utilization of All Degrees of Freedom (!)



## Multi-Objective Optimization (1)

- Ensures Optimal Mapping of the "Design Space" into the "Performance Space" Identifies Absolute Performance Limits  $\rightarrow$  Pareto Front / Surface





 $\rightarrow$  Clarifies Sensitivity  $\Delta \vec{p} / \Delta \vec{k}$  to Improvements of Technologies  $\rightarrow$  Trade-off Analysis



## **Determination of the** $\eta$ - $\rho$ -Pareto Front (a)

- **Comp.-Level Degrees of Freedom of the Design**

- Core Geometry / Material
  Single / Multiple Airgaps
  Solid / Litz Wire, Foils
  Winding Topology
  Natural / Forced Conv. Cooling
- Hard-/Soft-Switching
- Si / SiC
- etc. - etc.
- etc.
- System-Level Degrees of Freedom
- Circuit Topology
  Modulation Scheme
- Switching Frequ.
- etc.
- etc.

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Only η-ρ-Pareto Front Allows Comprehensive **Comparison of Converter Concepts** (!)







#### Determination of the η-ρ-Pareto Front (b)

**Example:** Consider Only  $f_P$  as Design Parameter



## Multi-Objective Optimization (2)

- Design Space Diversity
- **Equal Performance for Largely Different Sets of Design Parameters**



Design Space

Performance Space

→ E.g. Mutual Compensation of Volume and Loss Contributions (e.g. Cond. & Sw. Losses)
 → Allows Optimization for Further Performance Index (e.g. Costs)





#### **Converter Performance Evaluation** Based on $\eta - \rho - \sigma$ -Pareto Surface

- **Definition of a Power Electronics** "*Technology Node*"  $\rightarrow$  ( $\eta^*, \rho^*, \sigma^*, f_{\rho^*}$ ) Maximum  $\sigma$  [kW/\$], Related Efficiency & Power Density



- → Specifying Only a Single Performance Index is of No Value (!)
   → Achievable Perform. Depends on Conv. Type / Specs (e.g. Volt. Range) / Side Cond. (e.g. Cooling)





#### **Converter Performance Evaluation** Based on $\eta - \rho - \sigma$ -Pareto Surface

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## Remark: Comparison to "Moores Law"

- "Moores Law" Defines Consecutive Techn. Nodes Based on Min. Costs per Integr. Circuit (!)
- **Complexity for Min. Comp. Costs Increases approx. by Factor of 2 / Year**



 $\rightarrow$  Definition of " $\eta^*, \rho^*, \sigma^*, f_{\rho}^*$ -Node" Must Consider Conv. Type / Operating Range etc. (!)



#### Multi-Objective Optimization Application Examples

**Comparative Converter Evaluation** Impact of Technology Progress Design Space Diversity





#### Multi-Objective Optimization Application Examples

Comparative Converter Evaluation Impact of Technology Progress Design Space Diversity











#### Wide Input Voltage Range Isolated DC/DC Converter

Structure of "Smart Home" DC Microgrid



- Universal Isolated DC/DC Converter
- Bidirectional Power Flow
- Galvanic Isolation

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- Wide Voltage Range
- High Partial Load Efficiency



- Advantages
- Reduced System Complexity
- Lower Overall Development Costs
- Economy of Scale





### Comparative Evaluation of Converter Topologies

Conv. 3-Level Dual Active Bridge (3L-DAB)



Advanced 5-Level Dual Active Bridge (5L-DAB)









#### Optimization Results - Pareto Surfaces





#### Example #2

#### Performance & Life-Cycle-Costs of Si vs. SiC







## • Multi-Objective $\eta$ - $\rho$ - $\sigma$ -Comparison of Si vs. SiC

- Three-Phase PV Inverter System
  - Typical Residential Application
  - Single-Input/Single-MPP-Tracker Multi-String PV Inverter
  - DC/DC Boost Converter for Wide MPP Voltage Range
  - Output EMI Filter



- $\rightarrow$  Exploit Excellent Hard- AND Soft-Switching Capabilities of SiC
- → Find Useful Sw. Frequency and Current Ripple Ranges
- $\rightarrow$  Find Appropriate Core Material





#### **Topologies** - Converter Stages







44/64 ·

### Optimization Results - Pareto Surfaces







- No Pareto-Optimal Designs for f<sub>sw,min</sub>> 60 kHz
- No METGLAS Amorphous Iron Designs

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- Pareto-Optimal Designs for Entire Considered f<sub>sw</sub> Range
- No METGLAS Amorphous Iron Designs
- Pareto-Optimal Designs for Entire Considered f<sub>sw</sub> Range
- METGLAS Amorphous Iron and Ferrite Designs



#### **Optimization Results –** *Investigations Along Pareto Surfaces*









### Extension to Life-Cycle Cost (LCC) Analysis

- Performance Space Analysis
- 3 Performance Measures:  $\eta$ ,  $\rho$ ,  $\sigma$  Reveals Absolute Performance Limits / **Trade-Offs Between Performances**

- Life-Cycle Cost Analysis
- Post-Processing of Pareto-Optimal Designs
- Determination of Min.-LCC Design
- Arbitrary Cost Function Possible



- $\rightarrow$  Which is the Best Solution Weighting  $\eta$ ,  $\rho$ ,  $\sigma$ , e.g. in Form of Life-Cycle Costs (LCC)?
- $\rightarrow$  How Much Better is the Best Design?
- $\rightarrow$  Optimal Switching Frequency?





### **Post-Processing**

Life-Cycle Cost Analysis (10 years)

- Best System 2L-PWM SiC Converter @ 44kHz & 50% Current Ripple
  - 22% Lower LCC than 3L-PWM
  - 5% Lower LCC than 2L-TCM

  - Simplest Design Probably Highest Reliability
  - Lower Vol. (Housing) Not Yet Considered!
- Application of SiC Justified on "System Level"  $\rightarrow$



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### Multi-Objective Optimization of 5kW Prototype

Design Process Taking All Performance Aspects into Account





#### — 49/64

#### • $\eta$ - $\alpha$ - $\beta$ -Pareto Coil Optimization



ightarrow Pareto-Optimization Allows to Study Influence of Key Design Parameters







M. Loyd, 1980





### ► Airborne Wind Turbine (AWT) - Google X

- Power Kite → On-Board Turbine / Generator / Power Electronics Power Transmitted to Ground Electrically
- Minimum of Mechanical Support











52/64

### AWT Electrical System Structure

- **Rated Power** Operating Height Ambient Temp. Power Flow

100kW 800...1000m 40°C **Motor & Generator** 

Airborne Wind Turbine



 → El. System Target Weight
 → Efficiency (incl. Tether)
 → Turbine / Motor 20 100kg 90% 2000/3000rpm

Turbines, Generators, and **Power Electronics** 





### Overall AWT System Performance

Efficiencies and power-to-weight ratios at the 2 design points	3
marked in Fig. $24(a)$ (calculated for nominal operation).	

Total system	Generator,	VSR, and DAB converte	er
$\begin{array}{l} \gamma = 1.37  \mathrm{kW/kg} \\ \eta = 90.0\% \end{array}$	Generator:	$\gamma_{\rm G}=3.11\rm kW/kg,$	$\eta_{\rm G} = 95.4\%$
	VSR:	$\gamma_{\rm VSR} = 18.3\rm kW/kg,$	$\eta_{\rm VSR}=98.6\%$
	DAB:	$\gamma_{\rm DAB} = 4.60\rm kW/kg,$	$\eta_{\rm DAB}=97.1\%$
$\begin{aligned} \gamma &= 1.00  \mathrm{kW/kg} \\ \eta &= 91.7\% \end{aligned}$	Generator:	$\gamma_{\rm G} = 2.14\rm kW/kg,$	$\eta_{\rm G} = 96.9\%$
	VSR:	$\gamma_{\rm VSR} = 18.3\rm kW/kg,$	$\eta_{\rm VSR}=98.6\%$
	DAB:	$\gamma_{\rm DAB}=3.53\rm kW/kg,$	$\eta_{\rm DAB}=97.4\%$

 $\eta$  (%)





**Final Step: System Control Consideration** 





#### Multi-Objective Optimization Application Examples

Comparative Converter Evaluation Impact of Technology Progress & Design Space Diversity









- Design / Build the 2kW 1- $\Phi$  Solar Inverter with the Highest Power Density in the World Power Density > 3kW/dm<sup>3</sup> (50W/in<sup>3</sup>) Efficiency > 95% Case Temp. < 60°C

- EMI FCC Part 15 B



 $\rightarrow$ Push the Forefront of New Technologies in R&D of High Power Density Inverters





# Selected Converter Topology

- Interleaving of 2 Bridge Legs per Phase
- Active DC-Side Buck-Type Power Pulsation Buffer
- 2-Stage EMI AC Output Filter



- → ZVS of All Bridge Legs @ Turn-On/Turn-Off in Whole Operating Range (4D-TCM-Interleaving)
   → Heatsinks Connected to DC Bus / Shield to Prevent Cap. Coupling to Grounded Enclosure





# Little-Box 1.0 Prototype

- Performance
- 8.2 kW/dm<sup>3</sup>

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- 96,3% Efficiency @ 2kW
   T<sub>c</sub>=58°C @ 2kW
- **Design Details**

- 600V IFX Normally-Off GaN GIT
  Antiparallel SiC Schottky Diodes
  Multi-Airgap Ind. w. Multi-Layer Foil Wdg
  Triangular Curr. Mode ZVS Operation
  CeraLink Power Pulsation Buffer



Analysis of Potential Performance Improvement for "Ideal Switches"  $\rightarrow$ 



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  CeraLink Power Pulsation Buffer



→ Analysis of Potential Performance Improvement for "Ideal Switches"



# Little Box 1.0 @ Ideal Switches (TCM)

- Multi-Objective Optimization of Little-Box 1.0 (X6S Power Pulsation Buffer)
- Step-by-Step Idealization of the Power Transistors
- **Ideal Switches:**  $k_c = 0$  (Zero Cond. Losses);  $k_s = 0$  (Zero Sw. Losses)



→ Analysis of Improvement of Efficiency @ Given Power Density & Maximum Power Density → The Ideal Switch is NOT Enough (!)







*L* &  $f_s$  are Independent Degrees of Freedom Large Design Space Diversity (Mutual Compensation of HF and LF Loss Contributions) 





### Conclusions

Future Power Electronics Development Future Virtual Prototyping "Stairway to Heaven"





### Future Development

- Megatrends Renewable Energy / Energy Saving / E-Mobility / "SMART XXX" Power Electronics will Massively Spread in Applications



- → More Application Specific Solutions
- $\rightarrow$  Mature Technology Cost Optimization @ Given Performance Level
- Design / Optimize / Verify (All in Simulation) Faster / Cheaper / Better  $\rightarrow$



### Future "Virtual Prototyping"

- Offers Incredible Design Insight -
- Quantifies Trade-Offs / Technology Sensitivities (!)
- Extends Theory of Components "Theory of Systems"
- Reduces Time-to-Market
- Cuts Design Time from Weeks to Hours



- → Main Research Challenges in Modeling (EMI, Reliability, Reduced Order Models etc.)
- → Main Practical Challenge is the Implementation in Industry & Academia ;-)









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Power Electronics 2.0

- Design Considering Converters as "Integrated Circuits" (PEBBs)
- Extend Analysis to Converter Clusters / Power Supply Chains / etc.
- "Converter" "Time" "Power"
- → "Systems" (Microgrid) or "Hybrid Systems" (Automation / Aircraft)
   → "Integral over Time"
   → "Energy"

$$p(t) \rightarrow \int_{0}^{t} p(t) dt$$

- Power Conversion → Energy Management / Distribution
- Converter Analysis
- Converter Stability
- Cap. Filtering
- Costs / Efficiency
- etc.

- - → System Analysis (incl. Interactions Conv. / Conv. or Load or Mains)
     → System Stability (Autonom. Cntrl of Distributed Converters)
     → Energy Storage & Demand Side Management
     → Life Cycle Costs / Mission Efficiency / Supply Chain Efficiency





### New Power Electronics Systems Performance Figures/Trends













### Thank You !





