

# Solid-State Transformers

## Key Design Challenges, Applicability, and Future Concepts

**Johann W. Kolar, Jonas E. Huber**

Power Electronic Systems Laboratory  
ETH Zurich, Switzerland



[Download Full Slide Deck Here ►](#)



[www.pes.ee.ethz.ch](http://www.pes.ee.ethz.ch)

# Agenda

What Is An SST?

SST Design Challenges #1-6

SST Design Challenges #7-11

Future Concepts

References



SST Motivations



SST Applicability

Conclusions

## Contact Information

Prof. Dr. Johann W. Kolar  
Jonas E. Huber

[kolar@lem.ee.ethz.ch](mailto:kolar@lem.ee.ethz.ch)  
[huber@lem.ee.ethz.ch](mailto:huber@lem.ee.ethz.ch)

ETH Zurich  
Power Electronic Systems Lab  
Physikstrasse 3  
8092 Zürich  
Switzerland

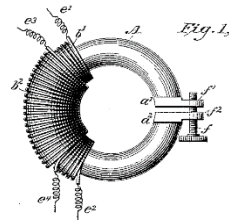
# What Is a SST?

*Transformer History and Basics*  
*SST Definition*

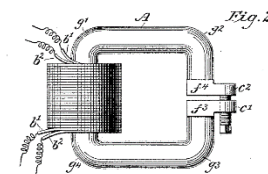
## ► Classical Transformer — History (1)

- 1830 Henry / Faraday
- 1878 Ganz Company (Hungary)
- 1880 Ferranti
- 1882 Gaulard & Gibbs
- 1884 Blathy / Zipernowski / Deri

- Property of Induction
- Toroidal Transformer (AC Incandescent Syst.)
- Early Transformer
- Linear Shape XFMR (1884, 2kV, 40km)
- Toroidal XFMR (Inverse Type)

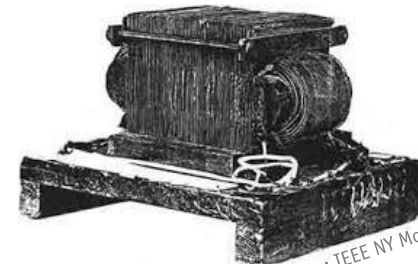


Patented Sept. 21, 1886.



No. 349,611.

W. STANLEY, Jr.  
INDUCTION COIL.



Img. Src.: IEEE NY Monitor

- 1885 Stanley (& Westinghouse)

- Easy Manufact. XFMR (1<sup>st</sup> Full AC Distr. Syst.)

[Stanley1886]

## ► Classical Transformer — History (2)



### UNITED STATES PATENT OFFICE.

MICHAEL VON DOLIVO-DOBROWOLSKY, OF BERLIN, GERMANY, ASSIGNOR TO  
THE ALLGEMEINE ELEKTRICITÄTS-GESELLSCHAFT, OF SAME PLACE.

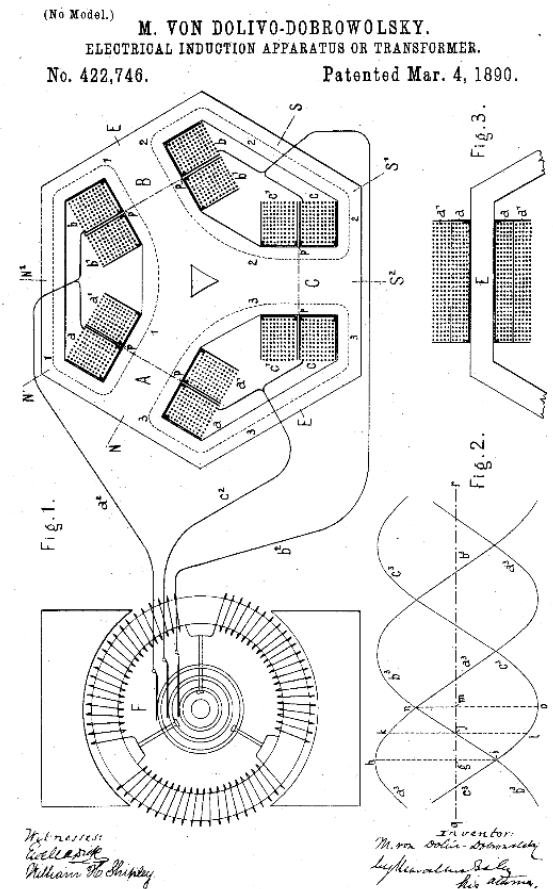
#### ELECTRICAL INDUCTION APPARATUS OR TRANSFORMER.

SPECIFICATION forming part of Letters Patent No. 422,746, dated March 4, 1890.

Application filed January 8, 1890. Serial No. 336,290. (No model.)

■ 1889 Dobrowolsky → 3-Phase Transformer

■ 1891 1<sup>st</sup> Complete AC System (Gen. + XFMR + Transm. + El. Motor + Lamps, 40Hz, 25kV, 175km)



[Dobrowolski1890]

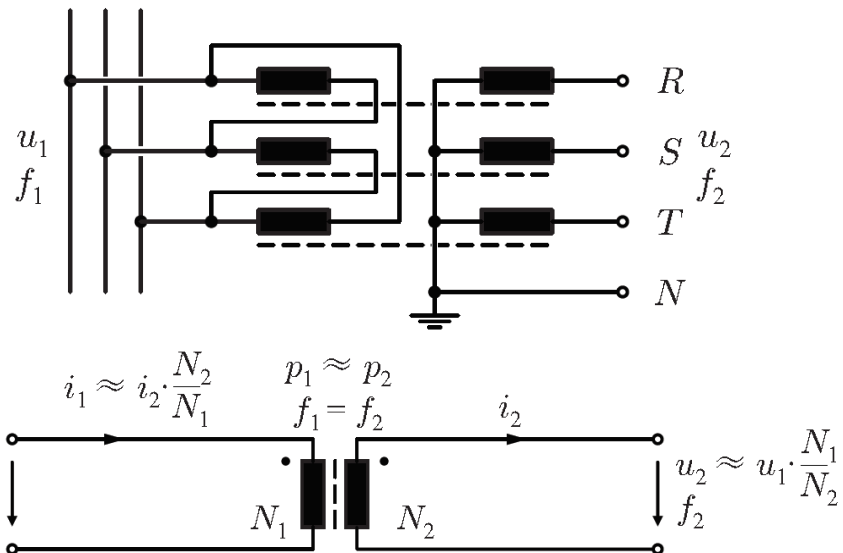
## ► Classical Transformer — Basics

- **Magnetic Core Material**
  - Silicon Steel / Nanocrystalline / Amorphous / Ferrite
- **Winding Material**
  - Copper or Aluminum
- **Insulation / Cooling**
  - Mineral Oil or Dry-type
- **Operating Frequency**
  - 50/60Hz (EL. Grid, Traction) or  $16\frac{2}{3}$ Hz (Traction)
- **Operating Voltage**
  - 10kV or 20kV (6...35kV)
  - 15kV or 20kV (Traction)
  - 400V

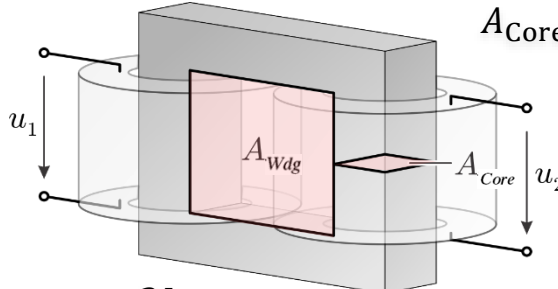
- **Voltage Transfer Ratio**
  - Fixed
- **Current Transfer Ratio**
  - Fixed
- **Active Power Transfer**
  - Fixed ( $P_1 \approx P_2$ )
- **Reactive Power Transfer**
  - Fixed ( $Q_1 \approx Q_2$ )
- **Frequency Ratio**
  - Fixed ( $f_1 = f_2$ )

■ **Magnetic Core Cross Section** 
$$A_{\text{Core}} = \frac{1}{\sqrt{2}\pi} \frac{U_1}{\hat{B}_{\text{max}} f} \frac{1}{N_1}$$

■ **Winding Window** 
$$A_{\text{Wdg}} = \frac{2I_1}{k_W J_{\text{rms}}} N_1$$



## ► Transformer Scaling Laws (1)

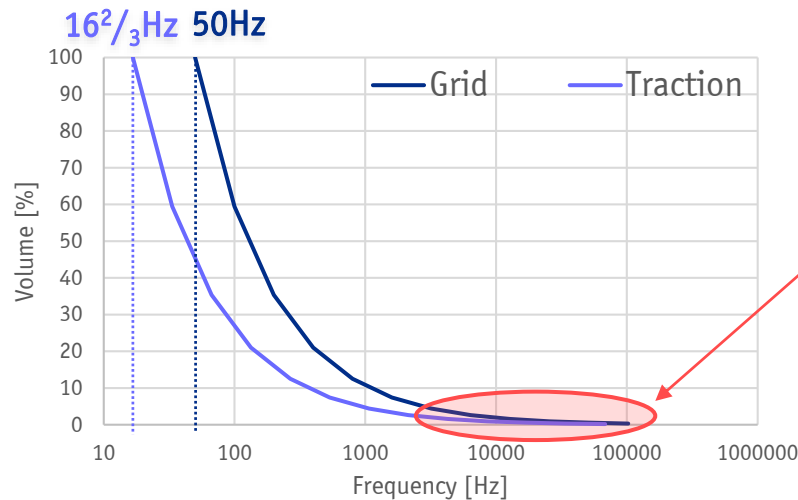


$$A_{\text{Core}} = \frac{1}{\sqrt{2}\pi} \frac{U_1}{\hat{B}_{\text{max}} f} \frac{1}{N_1}$$

$$A_{\text{Wdg}} = \frac{2I_1}{k_W J_{\text{rms}}} N_1$$

■ Area Product:  $A_{\text{Core}} A_{\text{Wdg}} = \frac{\sqrt{2}}{\pi} \frac{P_t}{k_W J_{\text{rms}} \hat{B}_{\text{max}} f}$

■ Volume:  $V \propto (A_{\text{Core}} A_{\text{Wdg}})^{\frac{3}{4}} \propto \frac{1}{f^{\frac{3}{4}}}$



■ **Caution: Too Optimistic!**

- Constant Isolation Material Thickness
- Lower Fill Factor ( $k_W$ ) because of Litz Wires

- Gain of Frequency Increase Depends on Grid Frequency

## ► Transformer Scaling Laws (2)

### ■ Scaling of Core Losses

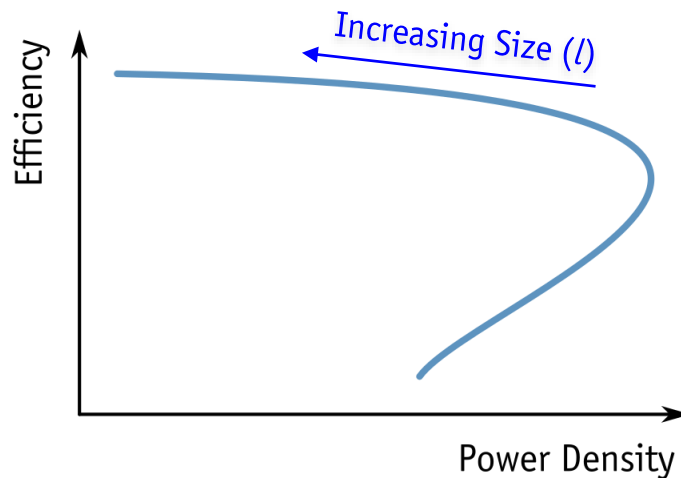
$$P_{\text{Core}} \propto f_P \left( \frac{\Phi}{A} \right)^2 V$$

$$P_{\text{Core}} \propto \left( \frac{1}{l^2} \right)^2 l^3 \propto \frac{1}{l}$$

### ■ Scaling of Winding Losses

$$P_{\text{Wdg}} \propto I^2 R \propto \frac{I^2 l_{\text{Wdg}}}{\kappa A_{\text{Wdg}}}$$

$$P_{\text{Wdg}} \propto \frac{1}{l}$$



- Higher Relative Volumes (Lower kVA/m<sup>3</sup>)  
Allow to Achieve Higher Efficiencies

## ► Classical Transformer — Summary (1)

### ■ Advantages

- Relatively Inexpensive
- **Highly Robust / Reliable**
- **Highly Efficient (98.5%...99.5% Dep. on Power Rating)**
- Short Circuit Current Limitation

### ■ Weaknesses

- Voltage Drop Under Load
- **Losses at No Load**
- Sensitivity to Harmonics
- Sensitivity to DC Offset Load Imbalances
- Provides No Overload Protection
- Possible Fire Hazard
- Environmental Concerns
- **Low Frequency → Large Weight / Volume**



Img.: <http://www.hieco-electric.com>

## ► Classical Transformer — Summary (2)

### ■ Advantages

- Relatively Inexpensive
- **Highly Robust / Reliable**
- Highly Efficient (98.5%...99.5% Dep. on Power Rating)
- Short Circuit Current Limitation

Source: <http://www.africancrisis.org>



# What Is a SST?

*Transformer History and Basics*  
***SST Definition***

# United States Patent Office

3,517,300

Patented June 23, 1970

1

## POWER CONVERTER CIRCUITS HAVING A HIGH FREQUENCY LINK

William McMurray, Schenectady, N.Y., assignor to General Electric Company, a corporation of New York

Filed Apr. 16, 1968, Ser. No. 721,817

Int. Cl. H02m 5/16, 5/30

U.S. Cl. 321—60

14 Claims

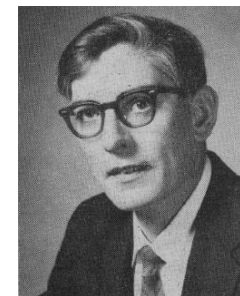
### ABSTRACT OF THE DISCLOSURE

Several single phase solid state power converter circuits have a high frequency transformer link whose windings are connected respectively to the load and to a D-C or low frequency A-C source through inverter configuration switching circuits employing inverse-parallel pairs of controlled turn-off switches (such as transistors or gate turn-off SCR's) as the switching devices. Filter means are connected across the input and output terminals. By synchronously rendering conductive one switching device in each of the primary and secondary side circuits, and alternately rendering conductive another device in each switching circuit, the input potential is converted to a high frequency wave, transformed, and reconstructed at the output terminals. Wide range output voltage control is obtained by phase shifting the turn-on of the switching devices on one side with respect to those on the other side by  $0^\circ$  to  $180^\circ$ , and is used to effect current limiting, current interruption, current regulation, and voltage regulation.

1968!

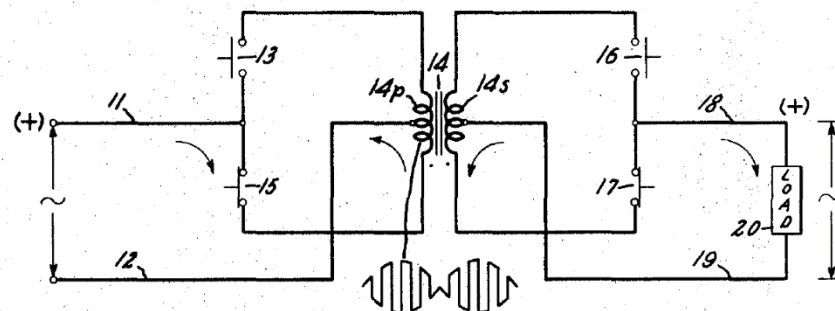


Filed April 16, 1968



Inventor:  
William McMurray,  
by Donald R. Campbell  
His Attorney.

Fig. 1a



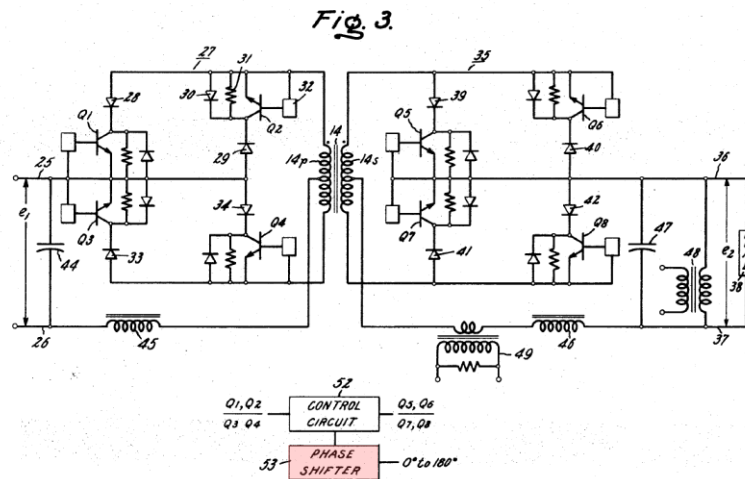
### ■ Electronic Transformer ( $f_1 = f_2$ )

### ■ AC or DC Voltage Regulation & Current Regulation / Limitation / Interruption

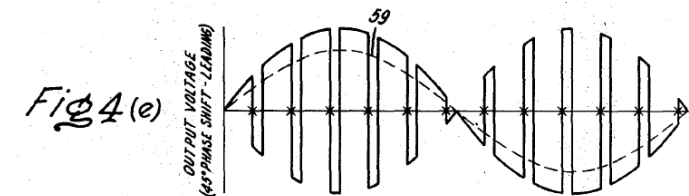
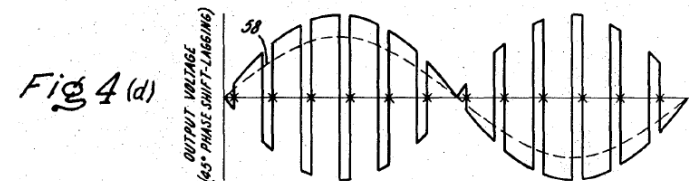
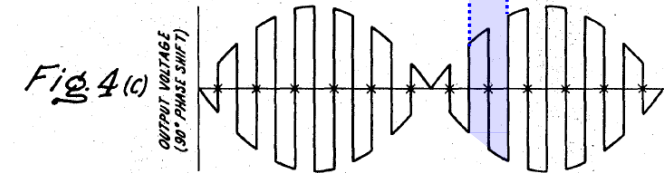
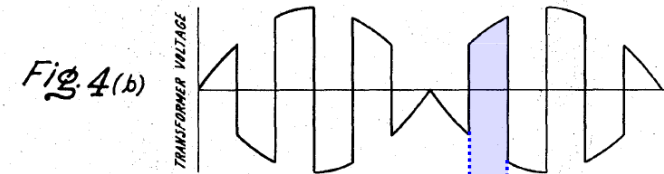
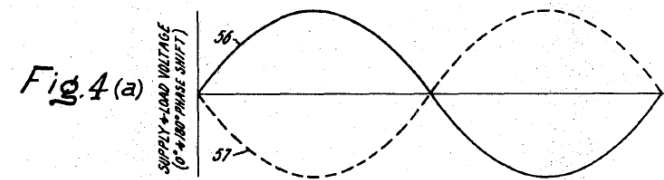
[McMurray1968]

## ► Electronic Transformer

- Inverse-Paralleled Pairs of Turn-off Switches
- 50% Duty Cycle of Input and Output Stage



- $f_1 = f_2 \rightarrow$  Not Controllable (!)
- Voltage Adjustment by Phase Shift Control (!)



[McMurray1968]

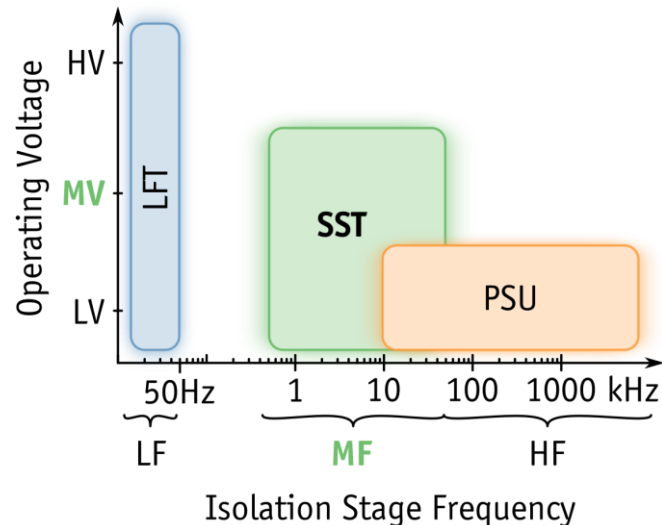
## ► What is a Solid-State Transformer (SST)?

- Power Electronics Interface
- Medium Voltage Connection
- Medium Frequency Isolation Stage
- Communication Link

### ■ I/O Quantities

- DC/DC
- AC/DC
- $AC_{f1}/AC_{f1}$
- $AC_{f1}/AC_{f2}$
- 1ph, 3ph, var.  $f$ , etc.
- MV/LV, MV/MV

### ■ Terminology



McMurray  
Brooks  
EPRI  
ABB  
Borojevic  
Wang  
etc.

Electronic Transformer (1968)  
**Solid-State Transformer (SST, 1980)**  
Intelligent Universal Transformer (IUT)  
Power Electronics Transformer (PET)  
Energy Control Center (ECC)  
Energy Router

[Brooks1980]

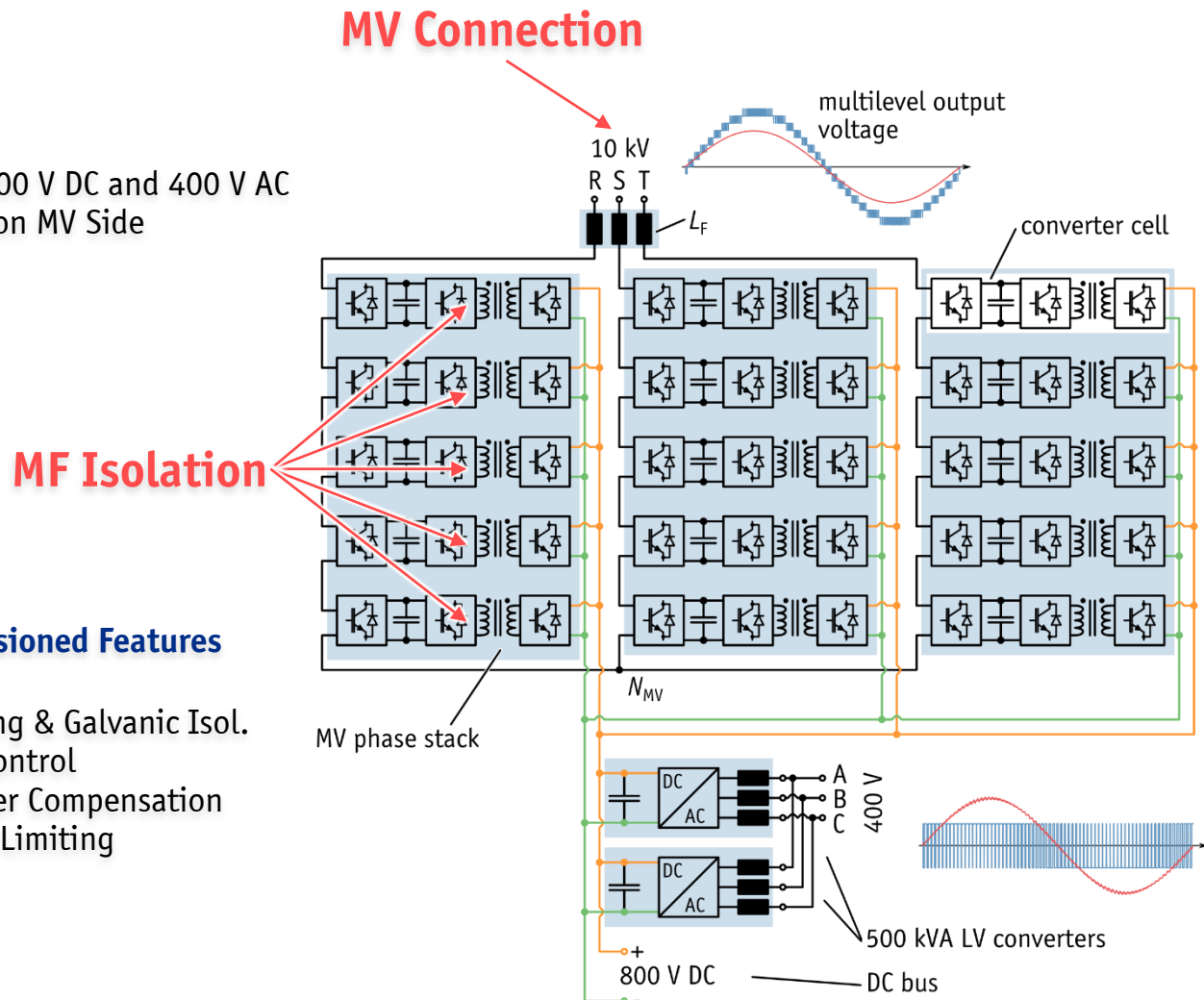
## ► Example SST System: ETH *MEGAlink* SST Concept

### ■ Specifications

- 1 MVA
- 10 kV AC to 800 V DC and 400 V AC
- 1700V IGBTs on MV Side

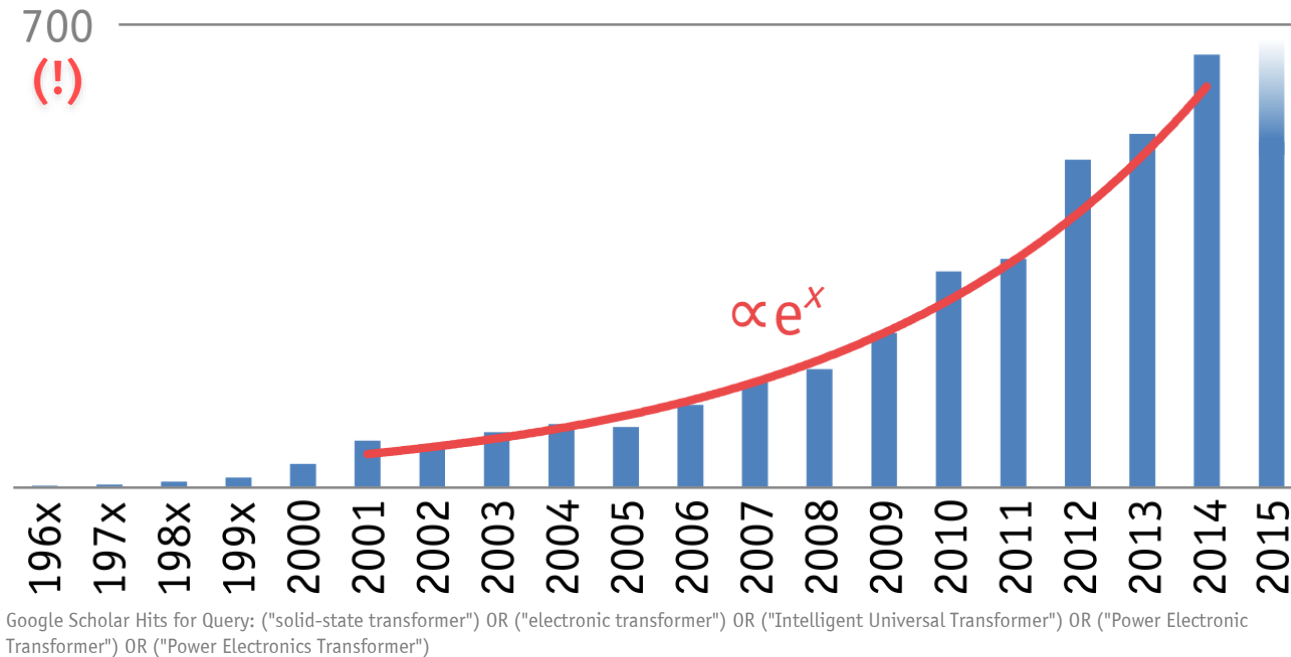
### ■ Commonly Envisioned Features

- Voltage Scaling & Galvanic Isol.
- Power Flow Control
- Reactive Power Compensation
- Fault Current Limiting
- DC Interface
- ...



## ► The Solid-State Transformer Hype

### ■ Evolution of # of SST Publications Per Year:



### ■ How To Keep An Overview?

- Identify Origin and Evolution of Key Concepts
- Narrow Down Feasible Solutions by Identifying Core Requirements, e.g., Modularity

# SST Concept Motivations

*Traction → Weight & Volume*

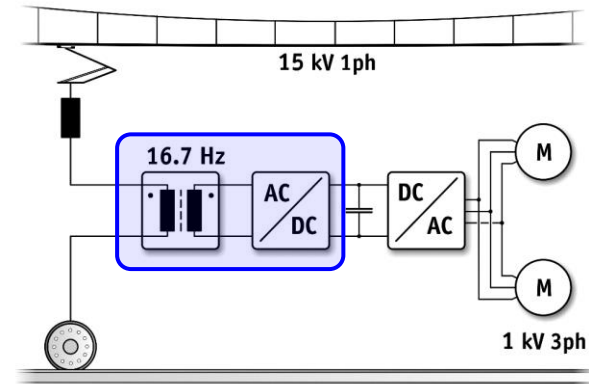
*Smart Grid → Controllability*

*DC-DC Conversion*

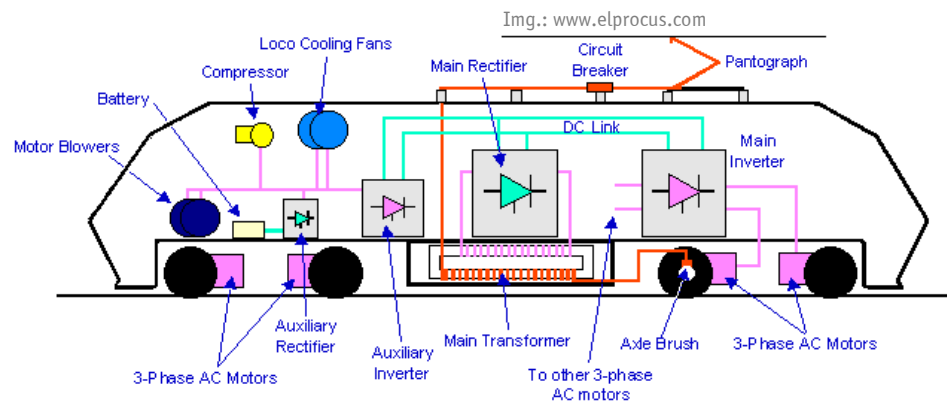
## ► Classical Locomotives (1)

- Catenary Voltage **15kV or 25kV**
- Frequency  $16\frac{2}{3}$  or 50Hz
- Power Level 1...10MW typ.

- Isolated **AC/DC** Conversion (!)
- Volume & Weight Constraints



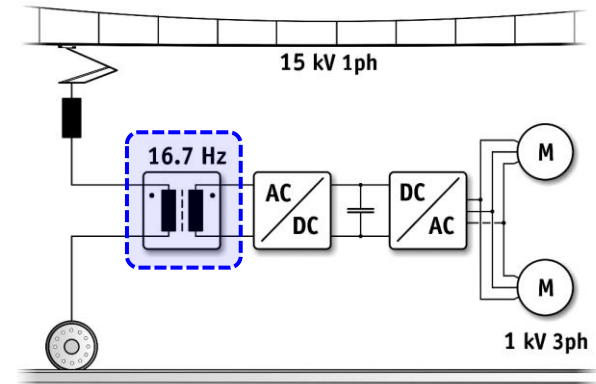
▲ Main Transformer



## ► Classical Locomotives (2)

- Catenary Voltage **15kV or 25kV**
- Frequency  $16\frac{2}{3}$  or 50Hz
- Power Level 1...10MW typ.

- Isolated **AC/DC** Conversion (!)
- Volume & Weight Constraints



- Traction Transformer 
$$A_{\text{Core}} A_{\text{Wdg}} = \frac{\sqrt{2}}{\pi} \frac{P_t}{k_W J_{\text{rms}} \hat{B}_{\text{max}} f}$$



Img.: www.abb.com

→ Volume/Weight Reduction By Increasing  $J_{\text{rms}}$

Efficiency	<b>90...95 %</b>	(99% Typ. for Distr. Transf.)
Current Density	6 A/mm <sup>2</sup>	(2A/mm <sup>2</sup> Typ. for Distr. Transf.)
Power Density	2...4 kg/kVA	

## ► Next Generation Traction Systems

### ■ It's Getting Tougher!

- Distributed Propulsion → Volume Constraints (Space for Add. Seats)
- Low-Floor Vehicles → Weight Constraints (Roof Mounting)
- High-Speed Trains → Weight Constraints (Higher Power at Same Max. Axle Load Limit)
- Impr. Energy Efficiency → Loss Constraints (No Further Increase of  $J_{rms}$ , etc.)

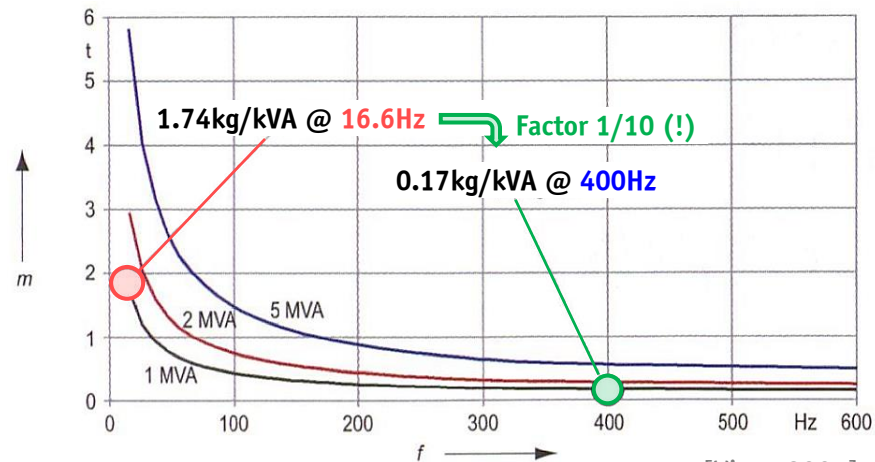
### ■ What Degrees of Freedom Are Left?

$$A_{Core}A_{Wdg} = \frac{\sqrt{2}}{\pi} \frac{P_t}{k_W J_{rms} \hat{B}_{max} f}$$

$$V \propto (A_{Core}A_{Wdg})^{\frac{3}{4}} \propto \frac{1}{f^{\frac{3}{4}}}$$



→ Frequency as DOF to Reduce Weight & Volume!

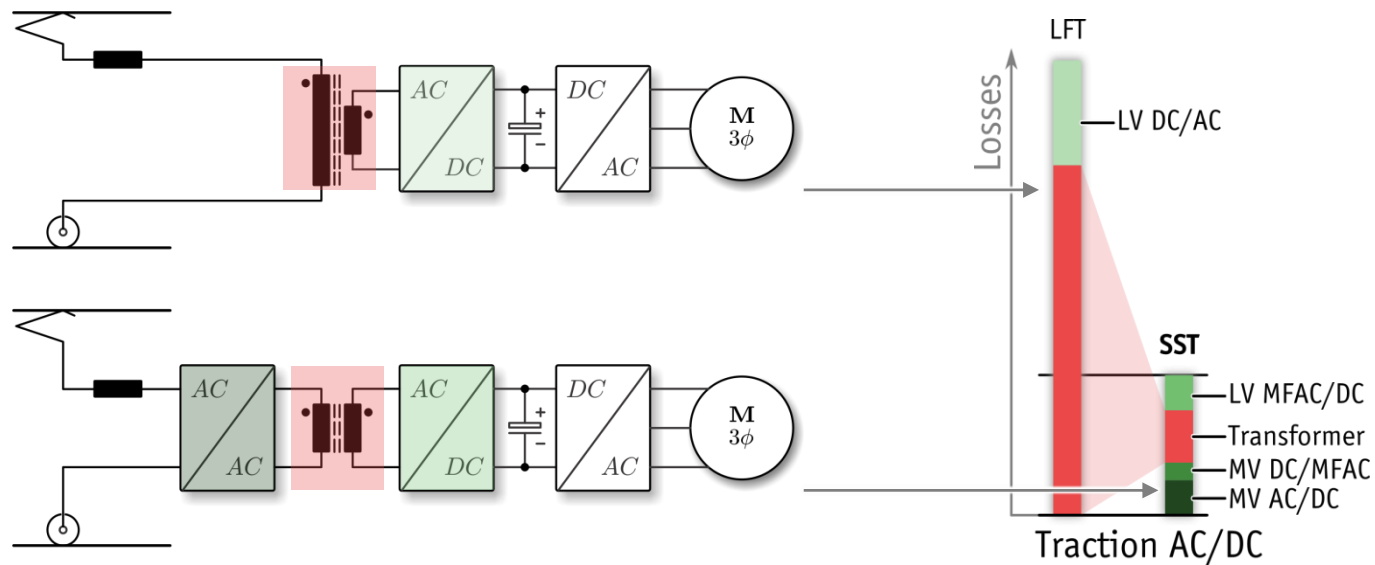


[Victor2005]

[Hazeltine1923]

## ► Next Generation Locomotives

### ■ Loss Distribution of Conventional & Next Generation Locomotives



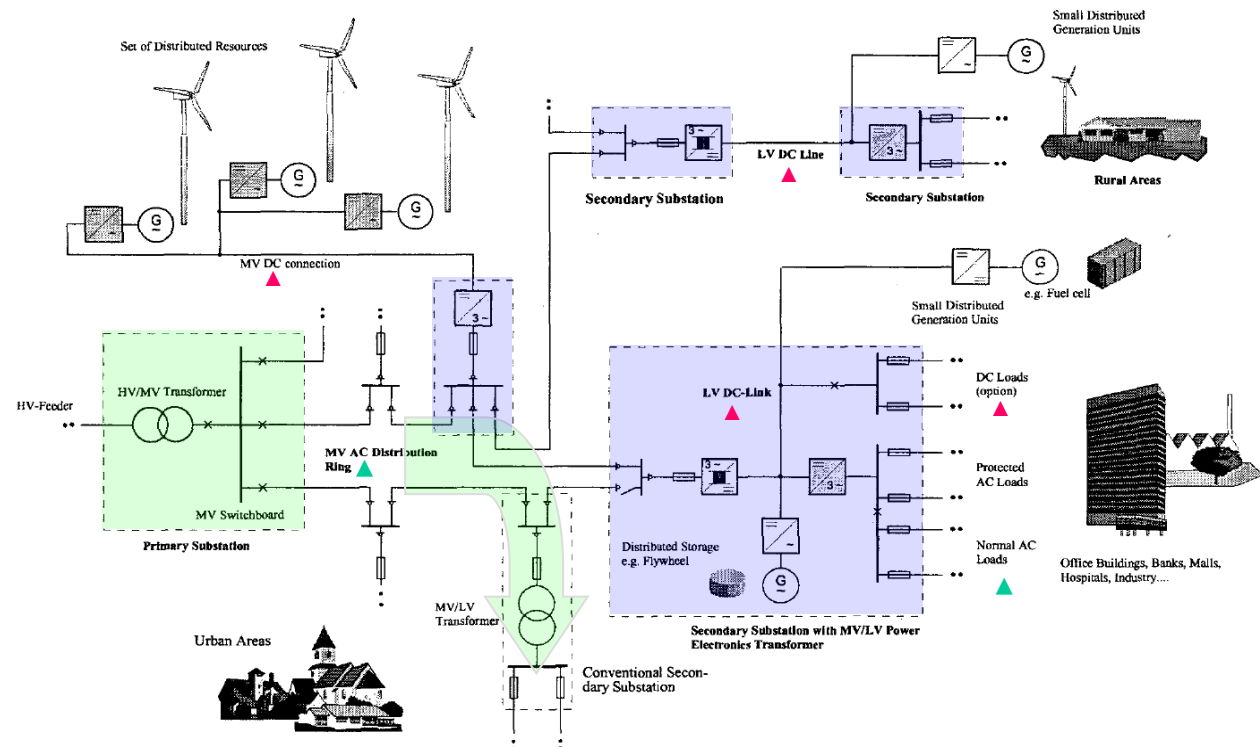
### ■ Medium Freq. Provides Degree of Freedom → Allows Loss Reduction AND Volume Reduction

# SST Concept Motivations

*Traction → Weight & Volume*  
**Smart Grid → Controllability**  
*DC-DC Conversion*

## ► Advanced (High Power Quality) Grid Concept

■ Heinemann (2001)



- **MV AC Distribution with DC Subsystems (LV and MV)** and Large Number of Distributed Resources
- MF AC/AC Conv. with DC Link Coupled to **Energy Storage** provide High Power Qual. for Spec. Customers

[Heinemann2001]

## ► Smart Grid Concept

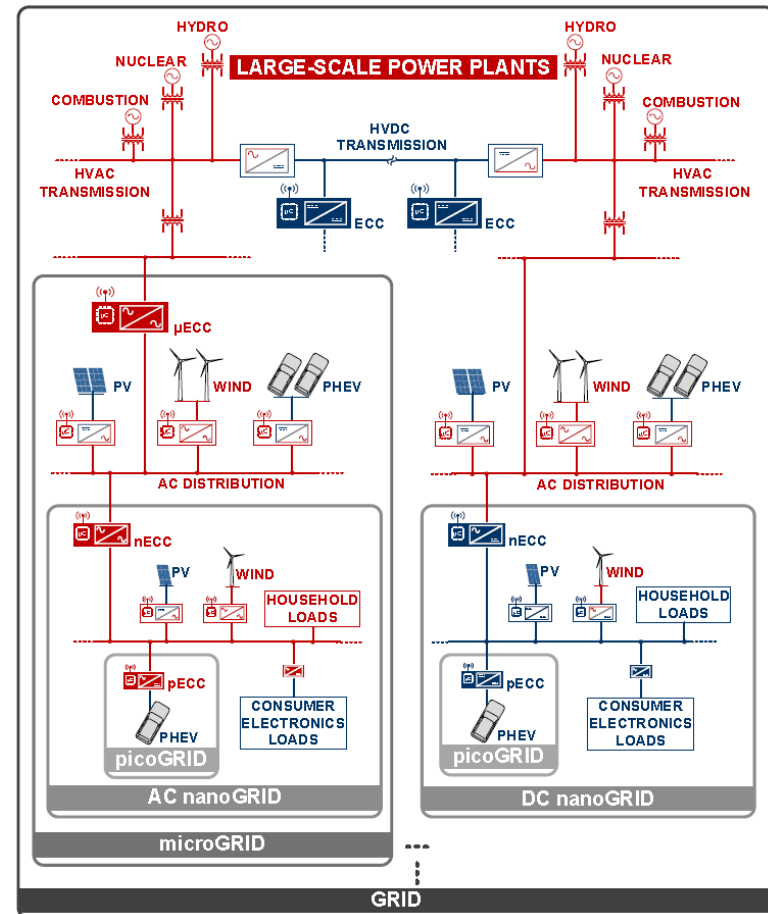
■ Boroyevich (2010)

### ■ Hierarchically Interconnected Hybrid Mix of AC and DC Sub-Grids

- Distr. Syst. of Contr. Conv. Interfaces
- Source / Load / Power Distrib. Conv.
- **Picogrid-Nanogrid-Microgrid-Grid Structure**
- **Subgrid Seen as Single Electr. Load/Source**
- **ECCs provide Dyn. Decoupling**
- Subgrid Dispatchable by Grid Utility Operator
- Integr. of Ren. Energy Sources

### ■ ECC = Energy Control Center

- Energy Routers
- Continuous Bidir. Power Flow Control
- Enable Hierarchical Distr. Grid Control
- Load / Source / Data Aggregation
- Up- and Downstream Communic.
- Intentional / Unintentional Islanding for Up- or Downstream Protection
- etc.



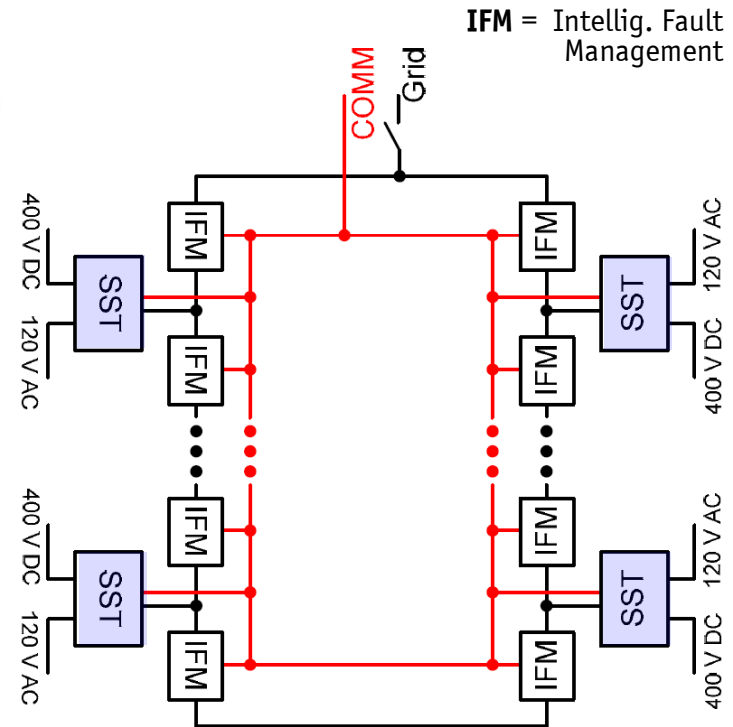
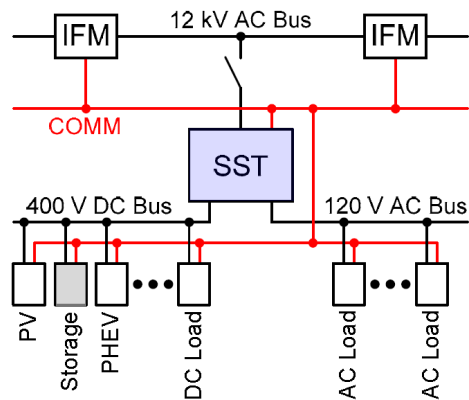
[Boroyevich2010]

## ► Future Ren. Electric Energy Delivery & Management (FREEDM) System

■ Huang et al. (2008)

### ■ SST as Enabling Technology for the “Energy Internet”

- Integr. of DER (Distr. Energy Res.)
- Integr. of DES (Distr. E-Storage) + Intellig. Loads
- Enables Distrib. Intellig. through COMM
- Ensure Stability & Opt. Operation



- **Bidirectional Flow of Power & Information** / High Bandw. Comm. → Distrib. / Local Autonom. Ctrl.

[Huang2009, Huang2011], Figs.: [Falcones2010]

## ► SST Functionalities

### ■ Protects Load from Power System Disturbance

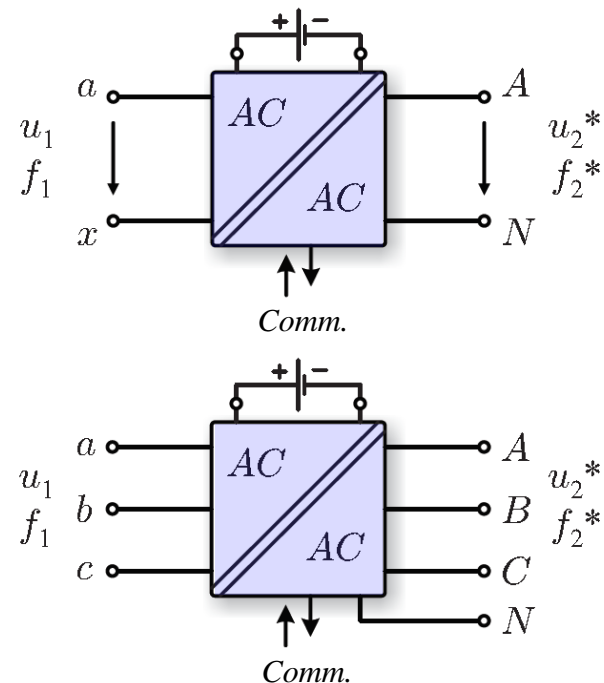
- Voltage Harmonics / Sag Compensation
- Outage Compensation
- Load Voltage Regulation (Load Transients, Harmonics)

### ■ Protects Power System from Load Disturbance

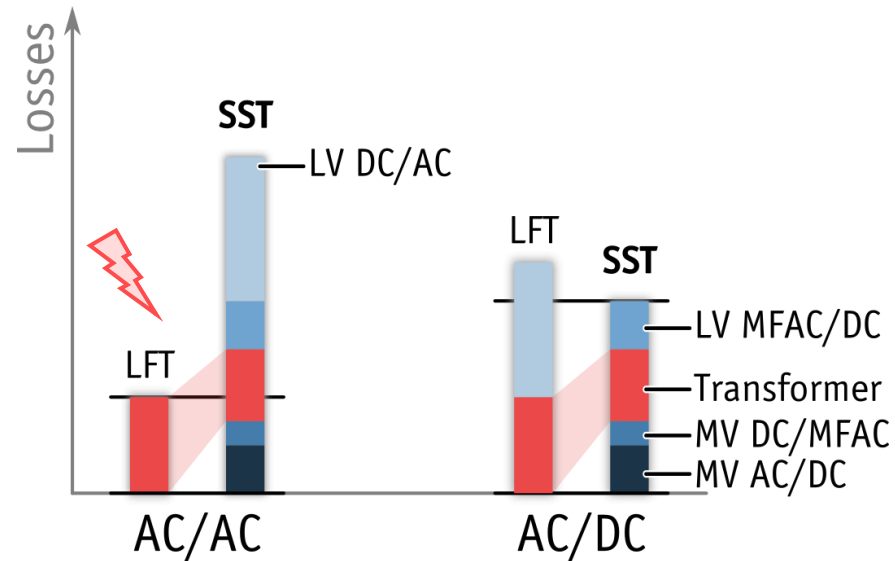
- Unity Imp. Power Factor Under Reactive Load
- Sinus. Imp. Curr. for Distorted / Non-Lin. Load
- Symmetrizes Load to the Mains
- Protection against Overload & Output Short Circ.

### ■ Further Characteristics

- **Operates on Distribution Voltage Level (MV-LV)**
- Integrates Energy Storage (Energy Buffer)
- DC Port for DER Connection
- **Medium Frequency Isolation → Low Weight / Volume**
- Definable Output Frequency (1-ph. AC, 3-ph. AC, DC)
- High Efficiency
- No Fire Hazard / Contamination
- Supervisory Control / Status Monitoring Interface



## ► “Efficiency Challenge” (Qualitative)



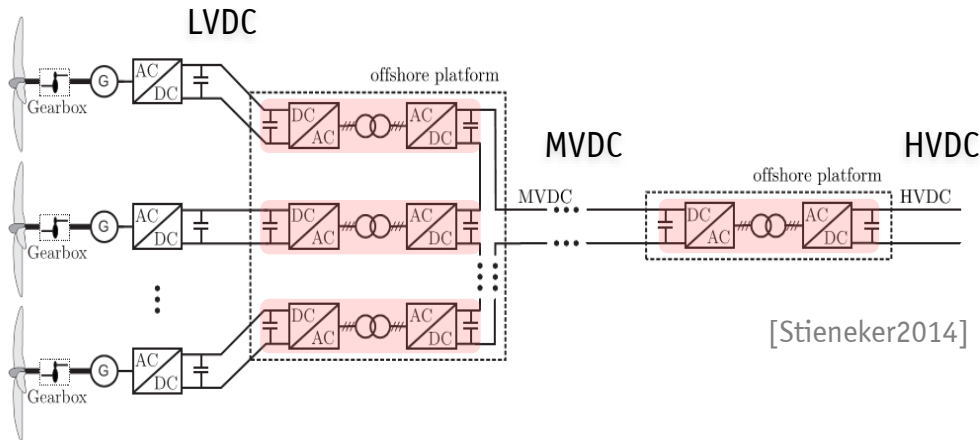
### ■ SSTs in Grid Applications – A Skeptic’s View

- **Efficiency** of LFT for AC/AC Very Hard To Attain
- Weight/Volume Typically Not an Issue In Stationary Grid Applications
- Robustness, Reliability?
- Cost?

# SST Concept Motivations

*Traction → Weight & Volume*  
*Smart Grid → Controllability*  
**DC-DC Conversion**

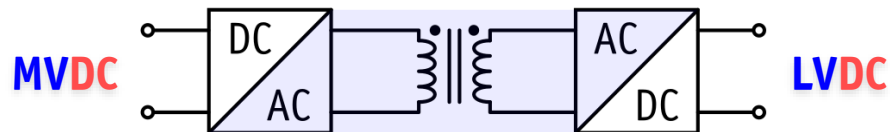
## ► Isolated DC-DC Applications



### ■ Examples

- In-Building DC Microgrids
- DC Collection Grids (Wind, PV)
- Future DC Grids in General

- DC Systems With Galvanic Separation Requirements → Isolated DC-DC Conversion = SST!
- Not Limited to MV Connection (Overlap With PSUs)



- Transformer Operating Frequency  
Can Be Freely Chosen!

# 11 Key Challenges of SST Design

---

1. *Handling of Medium Voltage*
2. *Topology Selection*
3. *Reliability*
4. *MF Isolated Power Converters*
5. *MF Transformer Design*
6. *Isolation Coordination*
7. *EMI*
8. *Protection*
9. *Control*
10. *Construction of Modular Conv.*
11. *Testing of MV Converters*

# Challenge #1/11

## Handling of Medium Voltage

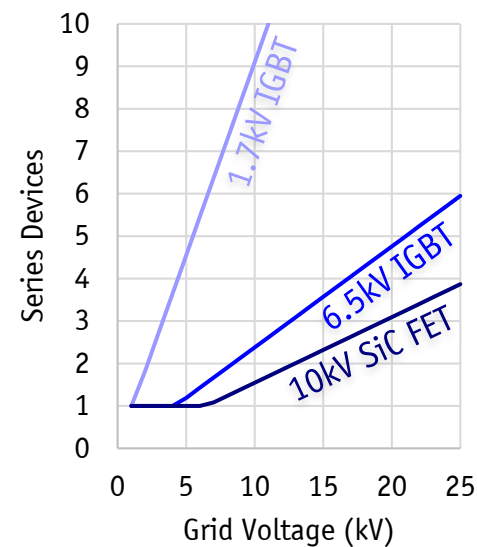
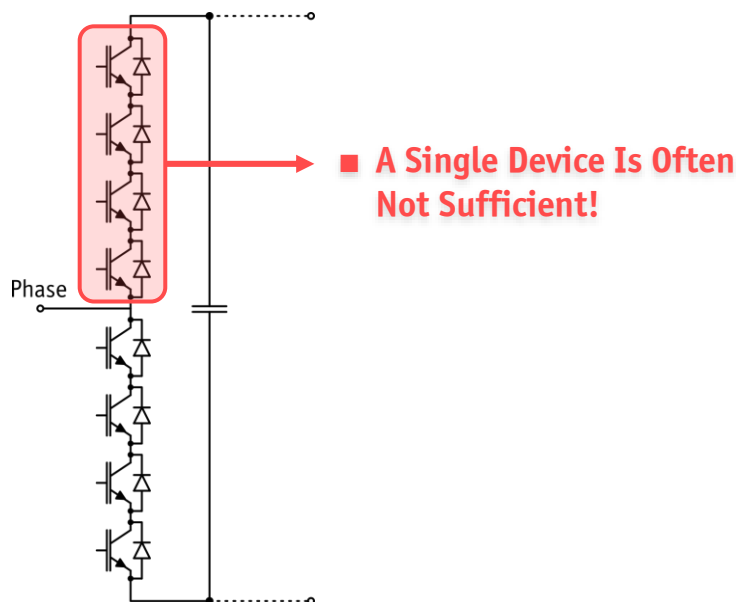
*Multi-Cell Approaches*  
*Optimum Blocking Voltage*  
*Single-Cell Approaches*  
*Outlook*

## ► Interfacing Medium Voltage With Power Electronics

### ■ Limited Blocking Voltages of Available Semiconductors

- **6.5kV** for Si IGBTs
- **10-15kV** for SiC FETs (**Prototype** Devices Only)

### ■ Feasible Blocking Voltage Utilization: Only **50-70%** (Cosmic Ray Induced Failures)



Mod. Index.: 0.8

Blocking Voltage Utilization: 0.66

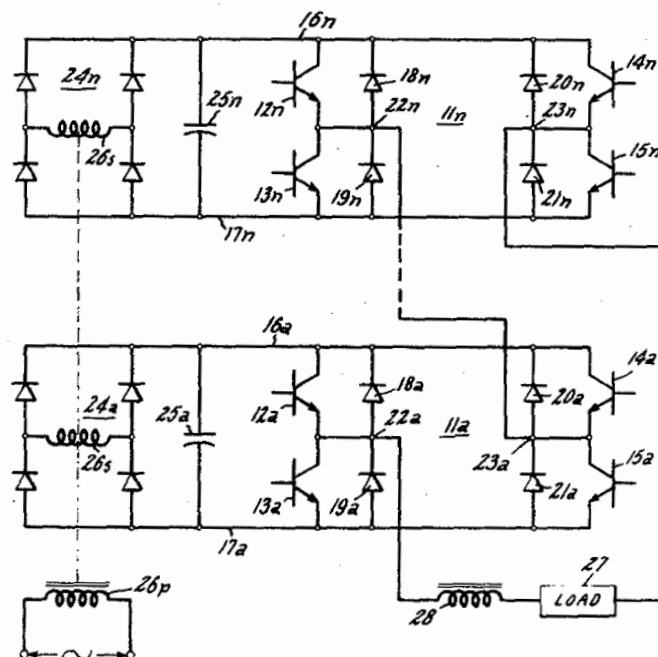
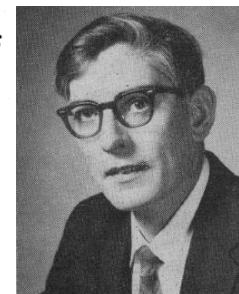
# United States Patent

[11] 3,581,212

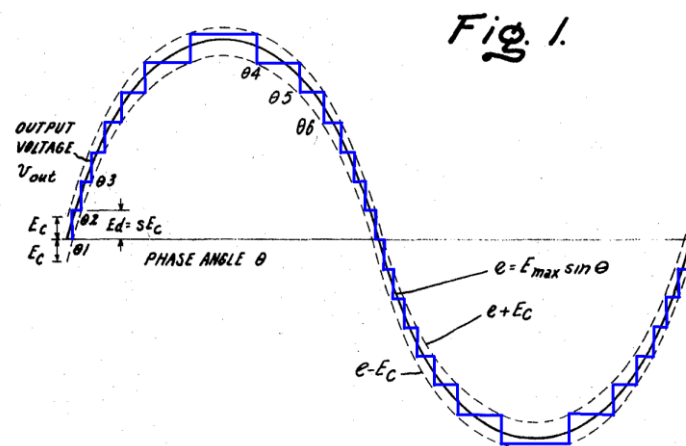
## [54] FAST RESPONSE STEPPED-WAVE SWITCHING POWER CONVERTER CIRCUIT

[72] Inventor **William McMurray**  
Schenectady, N.Y.  
[21] Appl. No. **846,354**  
[22] Filed **July 31, 1969** ← **1969 !**  
[45] Patented **May 25, 1971**  
[73] Assignee **General Electric Company**

*Inventor:*  
*William McMurray;*  
*by Donald R. Campbell*  
*His Attorney.*



- Cascading of Converter Cells
- Multilevel Output Voltage

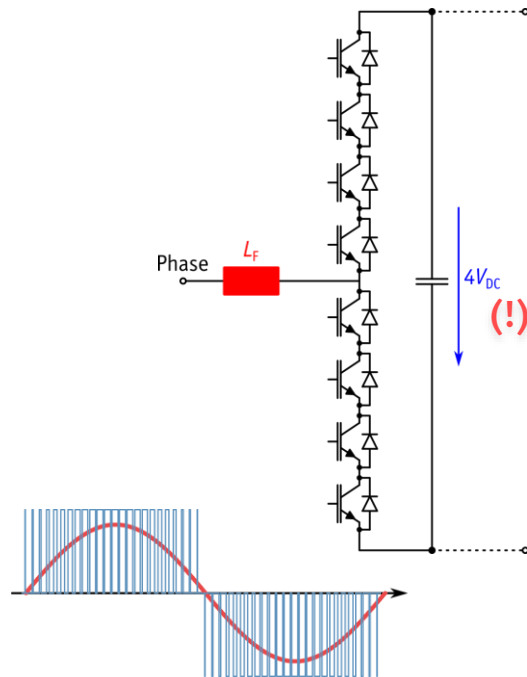


[McMurray1969]

## ► Cascaded Converter Cells Instead of Direct Series Connection

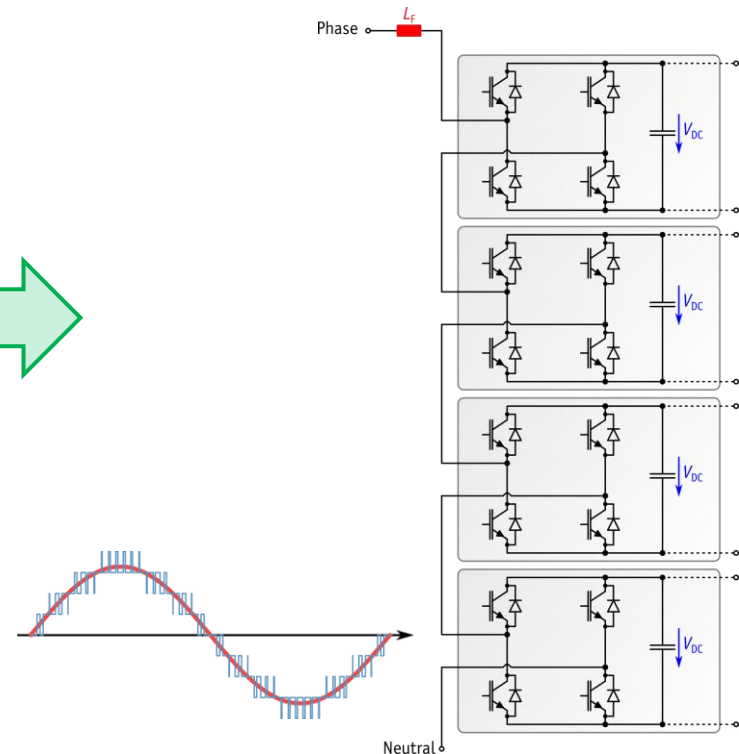
### ■ Direct Series Connection is Suboptimal

- Voltage Sharing (Static and Dynamic)
- Switching Synchronization
- No Add. Benefit of Multiple Switches



### ■ Added Value: Multiple Converter Cells

- Modularity, Redundancy
- Multilevel Output Voltage Waveform  
→  $f_s \propto 1/N_{\text{Cell}}^2$  for Same Filter Inductor



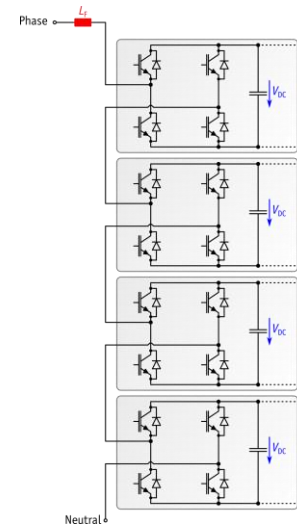
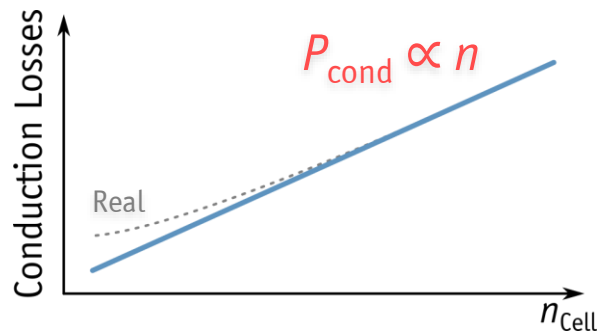
# Challenge #1/11

## Handling of Medium Voltage

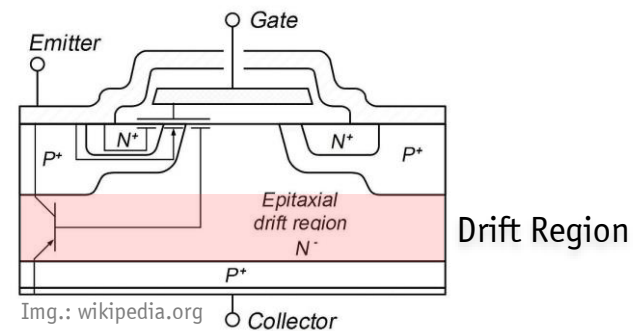
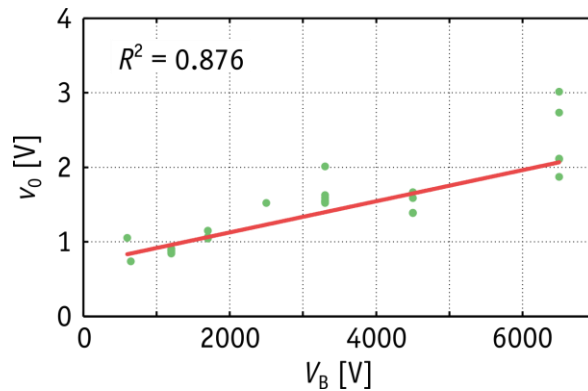
Multi-Cell Approaches  
**Optimum Blocking Voltage**  
Single-Cell Approaches  
Outlook

## ► Basic Trade-Offs: Conduction Losses

- More Cells, More Series Voltage Drops (**IGBTs**):



- **Reality:** Voltage Drop Increases with Blocking Voltage Due to Larger Drift Region

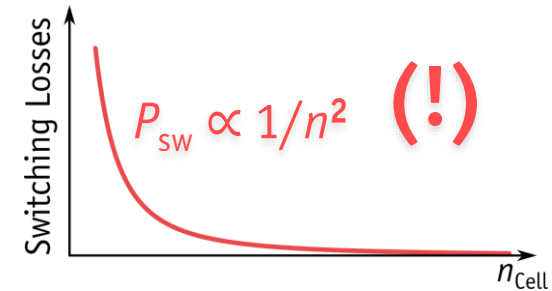


## ► Basic Trade-Offs: Switching Losses

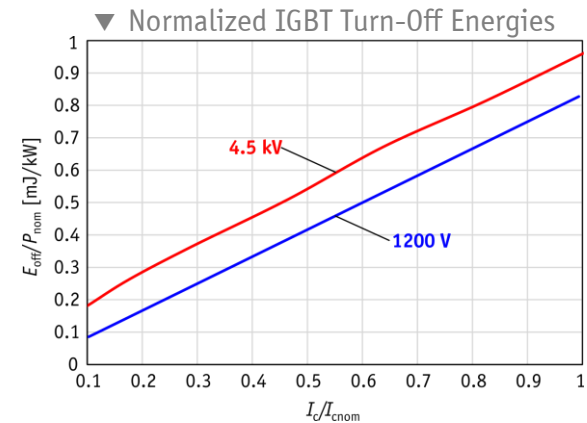
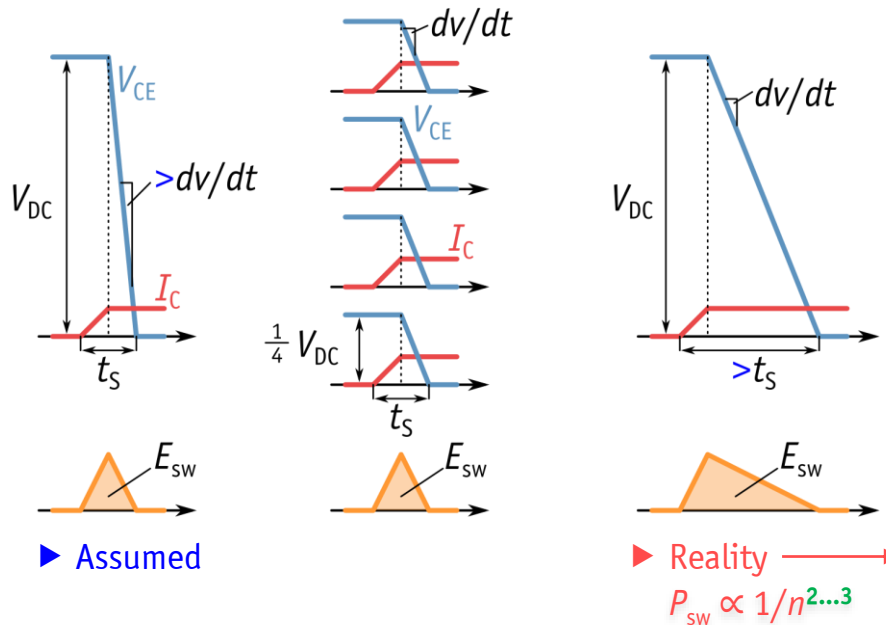
### ■ For Equal Current Ripple in Equal Filter Inductors

- Switching Frequency (per Cell):
- Cell DC Voltage:
- But: Number of Cells:

$$\begin{aligned} f_s &\propto 1/n^2 \\ V_{DC} &\propto 1/n \\ &\propto n \end{aligned}$$



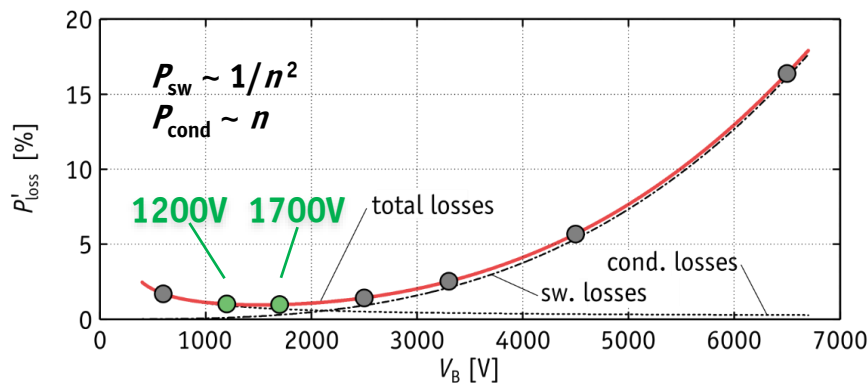
### ■ Switching Loss Modeling (Qualitative)



## ► Loss-Optimal Blocking Voltage Choice

- For Equal Current Ripple in **Equal Filter Inductors**

- 10kV Grid Voltage ► **There Is an Optimum Blocking Voltage**

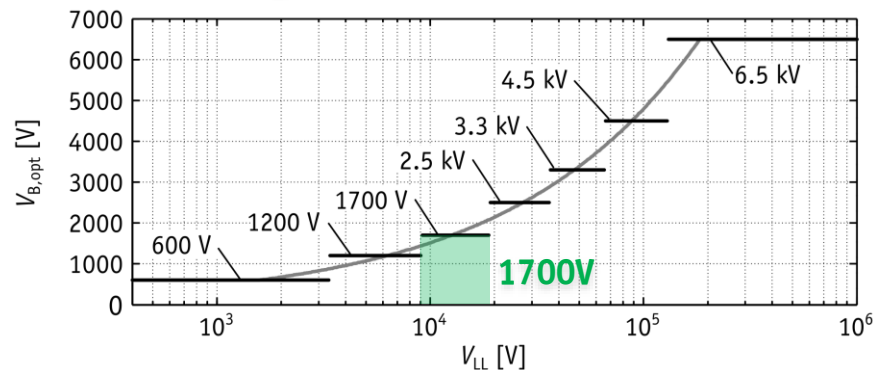


- Optimum Blocking Voltage



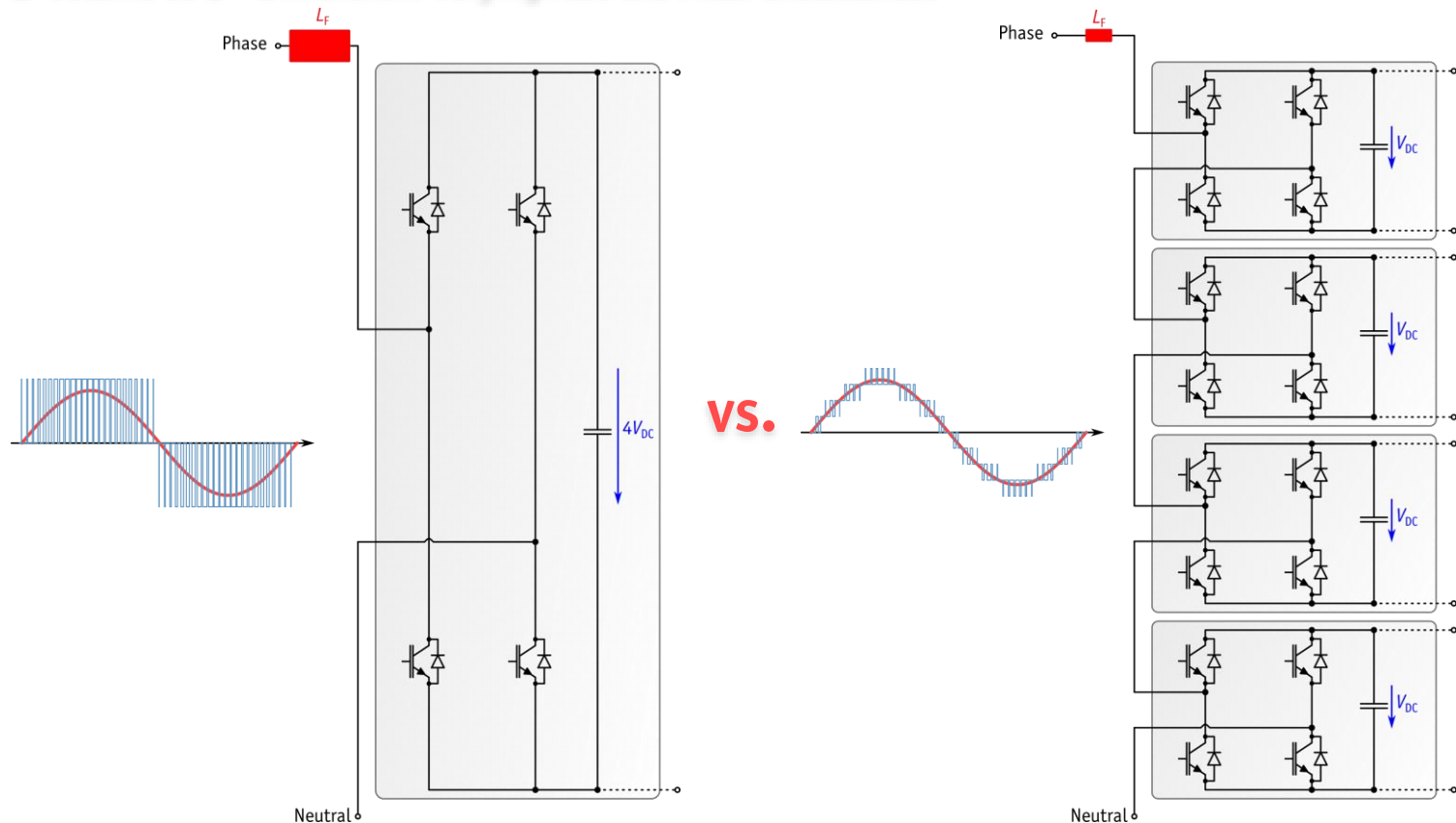
- Optimum Number of Cascaded Cells

- Other Grid Voltages



## ► Optimal (Efficiency & Power Density!) Blocking Voltage (1)

- Volume as 2<sup>nd</sup> Dimension: **Varying Also the Filter Inductance!**

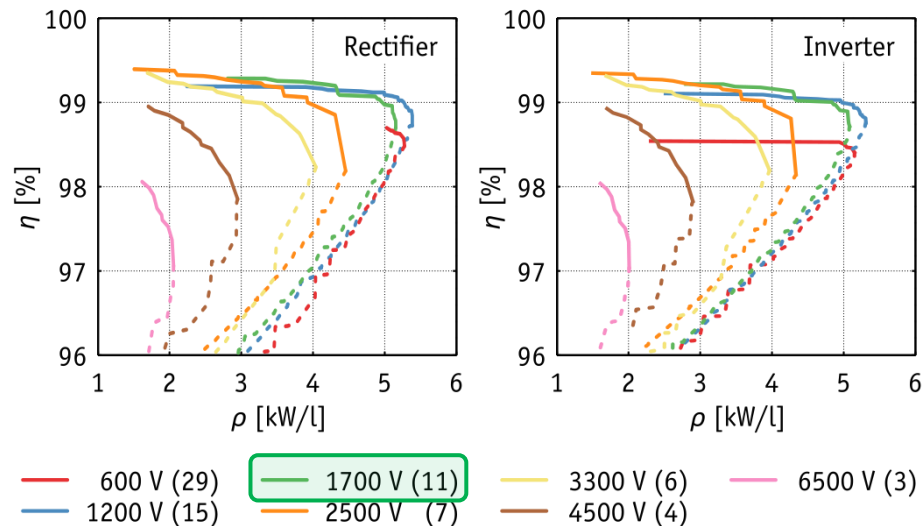


- Modeling of Component Losses and Volumes (Inductor, Heatsinks, Capacitors, IGBTs, etc.)

## ► Optimal (Efficiency & Power Density!) Blocking Voltage (2)

### ■ Volume as 2<sup>nd</sup> Dimension: Varying Also the Filter Inductance!

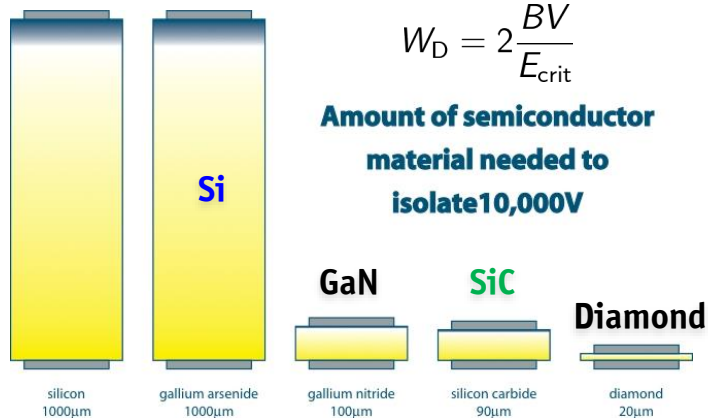
- Component Losses and Volumes (Inductor, Heatsinks, Capacitors, IGBTs, etc.)



- **Caution:** Minimum Filter Inductance Might be Defined By Application-Dependent **Protection Considerations**

Further Reading: ETH / [Huber2013b]

## ► Enter Silicon Carbide: Si vs. WBG (SiC/GaN) Semiconductors



Img.: <http://www.evincetechnology.com/whydiamond.html>

- Specific On-State Resistance

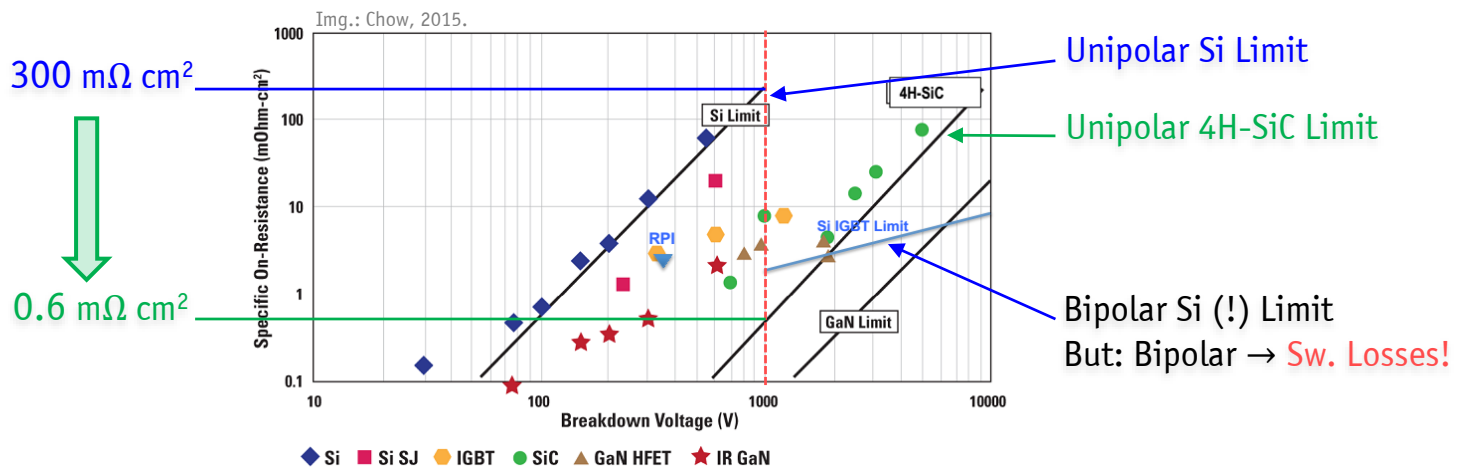
$$R_{on,sp} = \frac{4BV^2}{\epsilon\mu_n E_C^3}$$

Blocking Voltage

Critical Electric Field

- $E_C$  in SiC ca. 9x Larger Than in Si

- Lower  $R_{on,sp}$  For Given Blocking Voltage

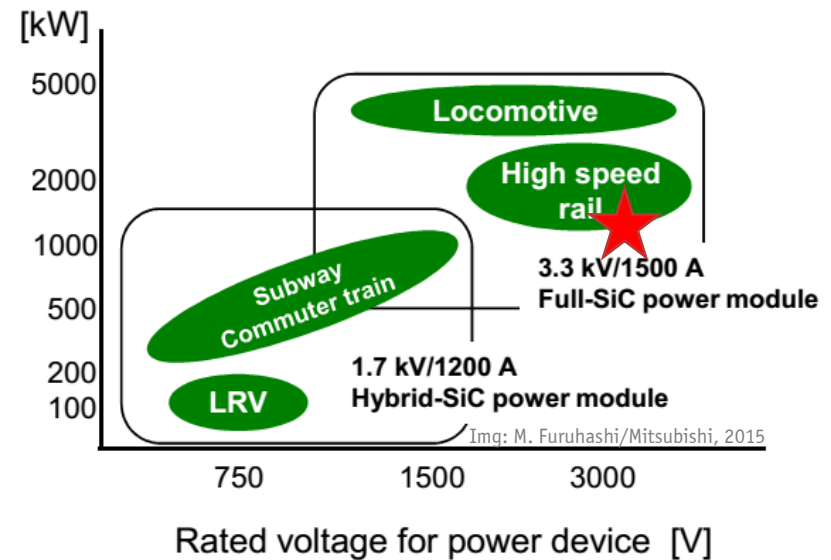
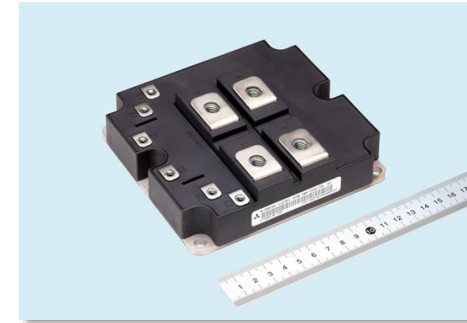


## ► Example: All-SiC Traction Inverter

### ■ Mitsubishi All-SiC Traction Inverter (2014)

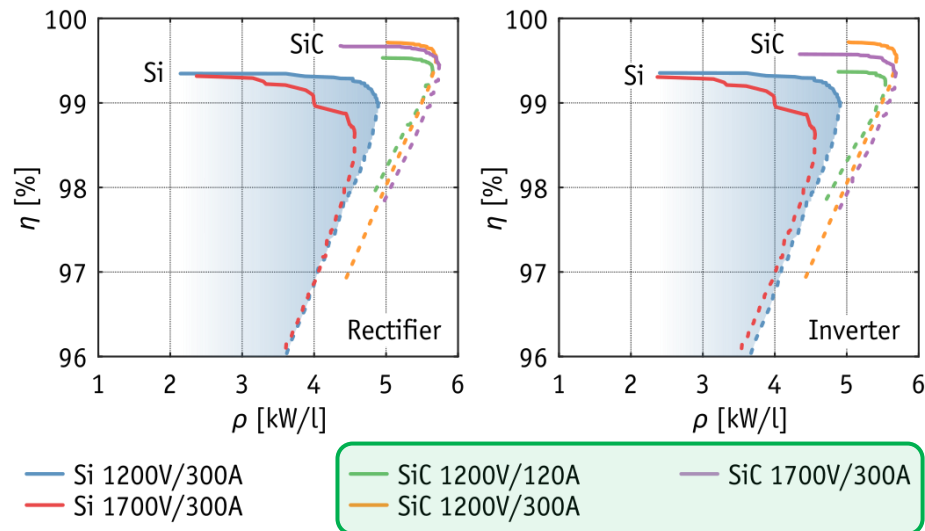
- **3.3kV/1.5kA** SiC Modules in All-SiC Traction Inverter

- **65%** Reduction of Size and Weight
- **55%** Loss Reduction



## ► Optimal (Efficiency & Power Density!) Blocking Voltage with SiC

### ■ 1200V and 1700V SiC FET Power Modules for Comparison



Wolfspeed 1200V/5m $\Omega$  ▼



■ **Caution:** Minimum Filter Inductance Might be Defined By Application-Dependent **Protection Considerations**

■ **Si IGBT → SiC Transition Yields Significant Benefits!**

Further Reading: ETH / [Huber2013b]

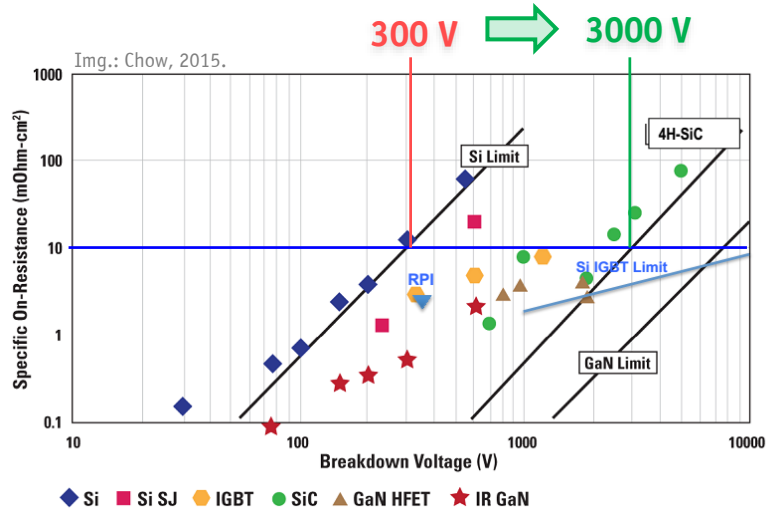
# Challenge #1/11

## Handling of Medium Voltage

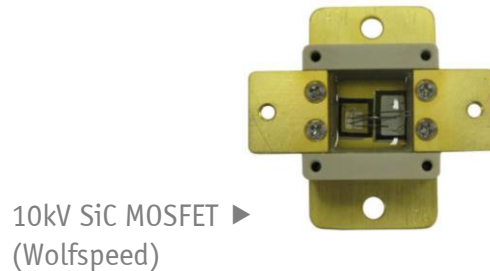
*Multi-Cell Approaches*  
*Optimum Blocking Voltage*  
***Single-Cell Approaches***  
*Outlook*

## ► Enter HV SiC Power Semiconductors

- $E_c$  in SiC ca. 9x Larger Than in Si
- Lower  $R_{on,sp}$  For Given Blocking Voltage
- Or: Higher Blocking Voltage for Given  $R_{on,sp}$



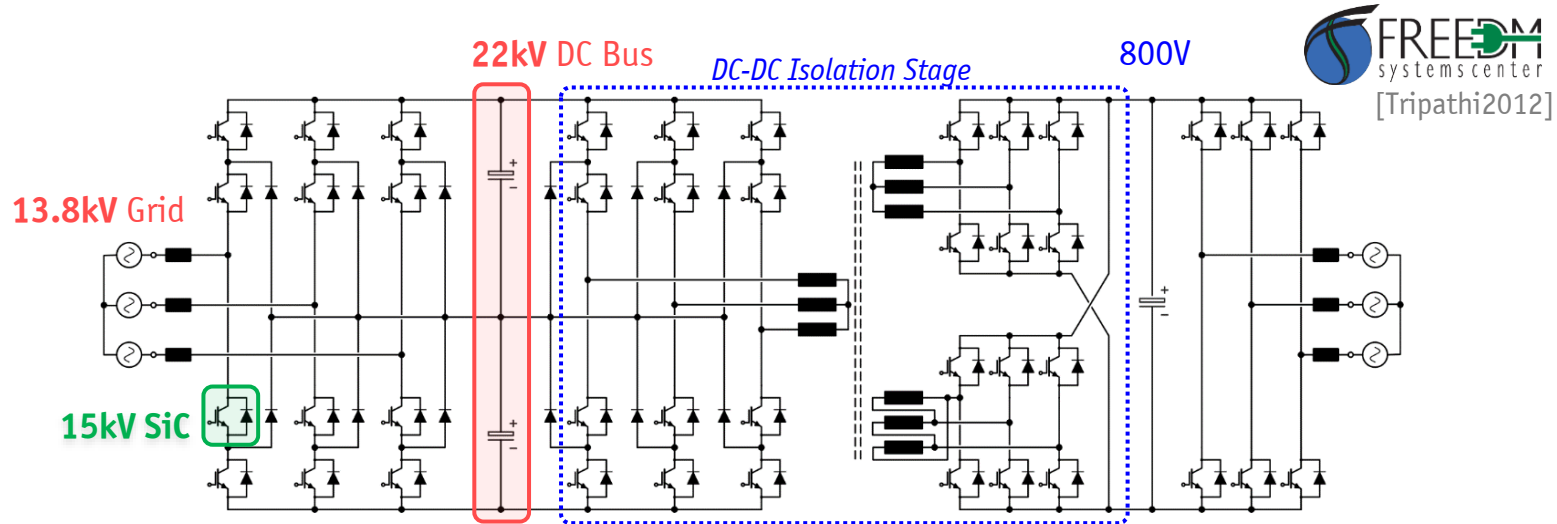
- 10...15kV Prototype Devices Are Available



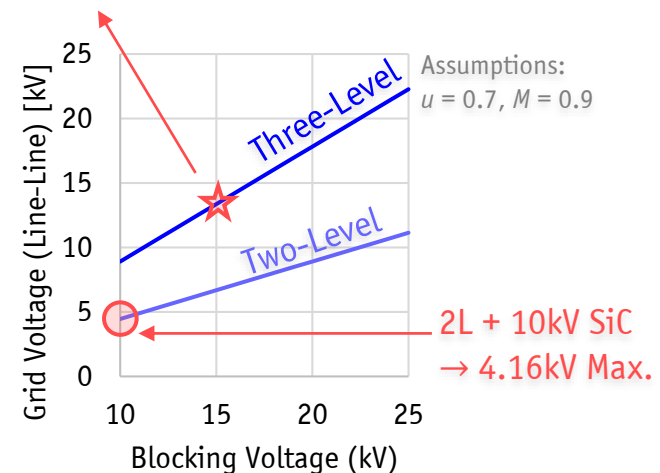
- Challenging HV Packaging



## ► Single-Cell Approach: The Positive Aspects

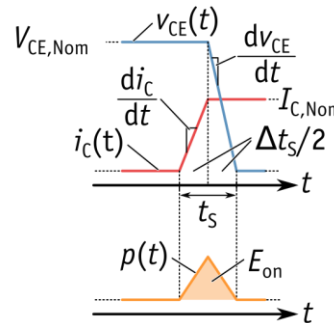
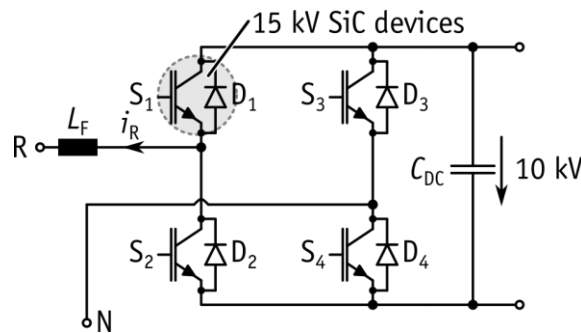


- Standard Inverter Topologies Can Be Employed (Two-Level, Three-Level)
- Comparably Low System Complexity
- Three-Phase Inverter Stage  
→ Constant Power Flow In Isolation Stage (!)
- Max. Feasible Grid Voltages Limited By Blocking Voltages

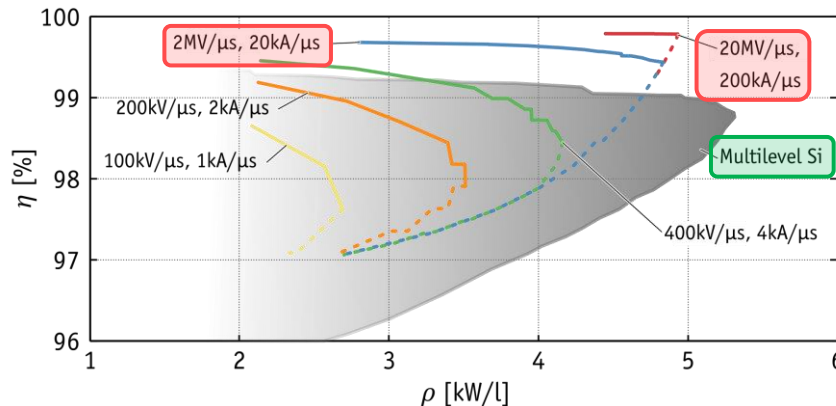


## ► Single-Cell Approach: The Challenging Aspects

- Low Number of Levels → Remember:  $f_s \propto 1/n^2$
- High Switching Frequency and/or Large Filter Inductor



- “Virtual” Devices By Adapting  $t_s$  (and thus  $di/dt$  and  $dv/dt$ )
- Pareto Optimization for Two-Level, Single-Phase (!) System



### ■ Very Fast Switching Transitions Required

- High  $dv/dt$  → CM Disturbances
- High  $di/dt$  → Overvoltages

Further Reading: ETH / [Huber2013b]

- Implementation of Redundancy?

# Challenge #1/11

## Handling of Medium Voltage

*Multi-Cell Approaches*  
*Optimum Blocking Voltage*  
*Single-Cell Approaches*  
**Outlook**

## ► Outlook: Single-Cell vs. Multi-Cell

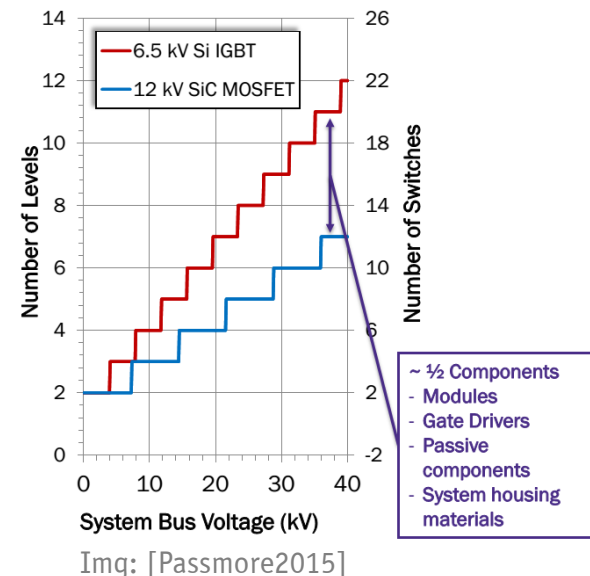
### ■ Strategies for Handling Medium Voltage Connection

- Multi-Cell Approach
  - + LV Devices, Multilevel Waveforms, Redundancy, “Divide et Impera”
  - Complexity, Phase-Modular Topologies
- Single-Cell Approach
  - + Simplification of Converter Structure, Three-Phase Topologies
  - Max. Grid Volt. Limited, 2L/3L w. Fast Switching Trans.

### ■ The Best of Both Worlds?

- **FEWER-Cells Approach**
  - Higher DC Voltage per Cell
  - Less Cells, Lower Complexity
  - Multilevel Waveforms
  - Redundancy

- Suitable Choice Depends on Application Voltage and Power Levels
- Careful Choice/Optimization of Blocking Voltage for Multi-Cell Systems



# Challenge #2/11

## Topology Selection

*Partitioning of AC/AC Power Conv.*  
*Partial or Full Phase Modularity*  
*Classification of SST Topologies*  
*Conclusion: Main SST Topologies*

## ► Partitioning of the AC/AC Power Conversion

### ■ 1<sup>st</sup> Degree of Freedom of Topology Selection

#### → Partitioning of the AC/AC Power Conversion

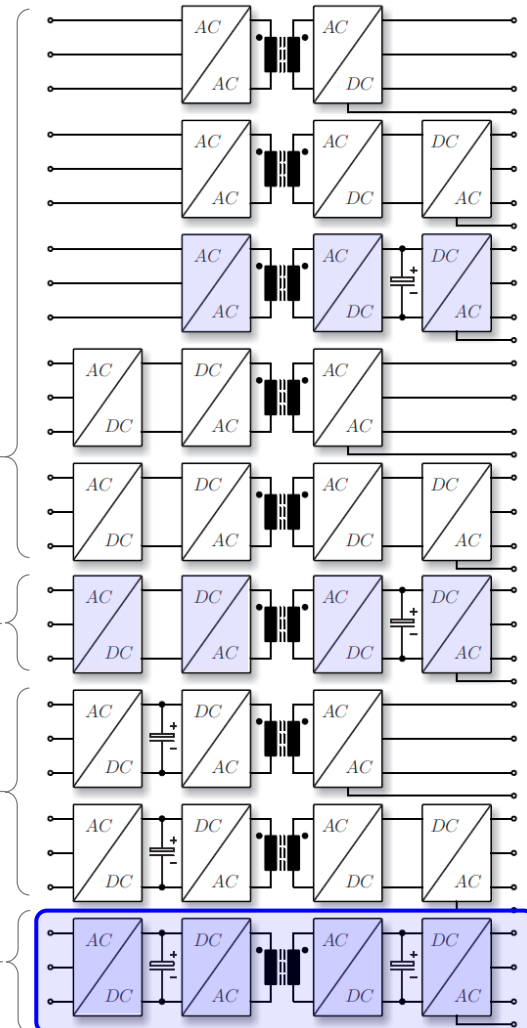
- DC-Link Based Topologies
- Direct/Indirect Matrix Converters
- Hybrid Combinations

- 1-Stage Matrix-Type Topologies

- 2-Stage with LV DC Link (Connection of Energy Storage)

- 2-Stage with MV DC Link (Connection to HVDC System)

- 3-Stage Power Conversion with MV and LV DC Link



## ► Partitioning of the AC/AC Power Conversion

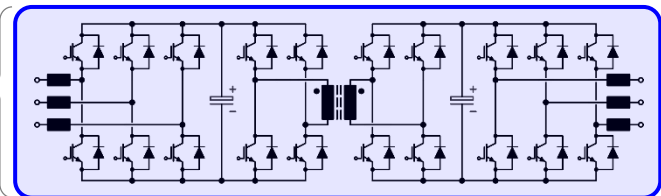
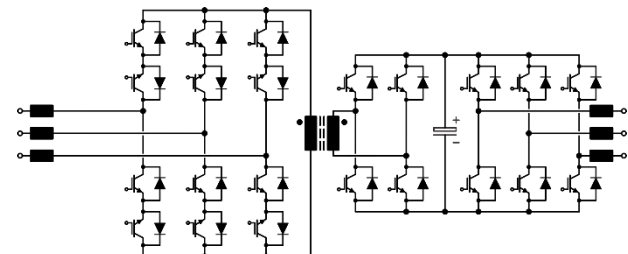
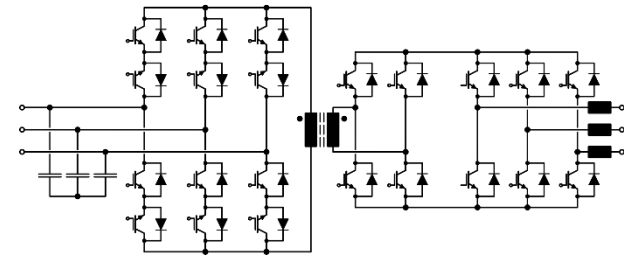
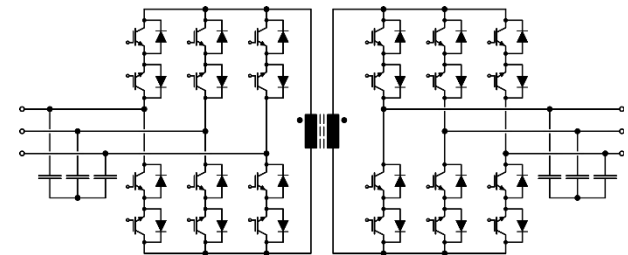
### ■ 1<sup>st</sup> Degree of Freedom of Topology Selection → Partitioning of the AC/AC Power Conversion

- DC-Link Based Topologies
- Direct/Indirect Matrix Converters
- Hybrid Combinations

- 1-Stage Matrix-Type Topologies

- 2-Stage with LV DC Link (Connection of Energy Storage)

- 3-Stage Power Conversion with MV and LV DC Link  
→ Requires HV Devices (!)



# Challenge #2/11

## Topology Selection

*Partitioning of AC/AC Power Conv.*

***Partial or Full Phase Modularity***

*Classification of SST Topologies*

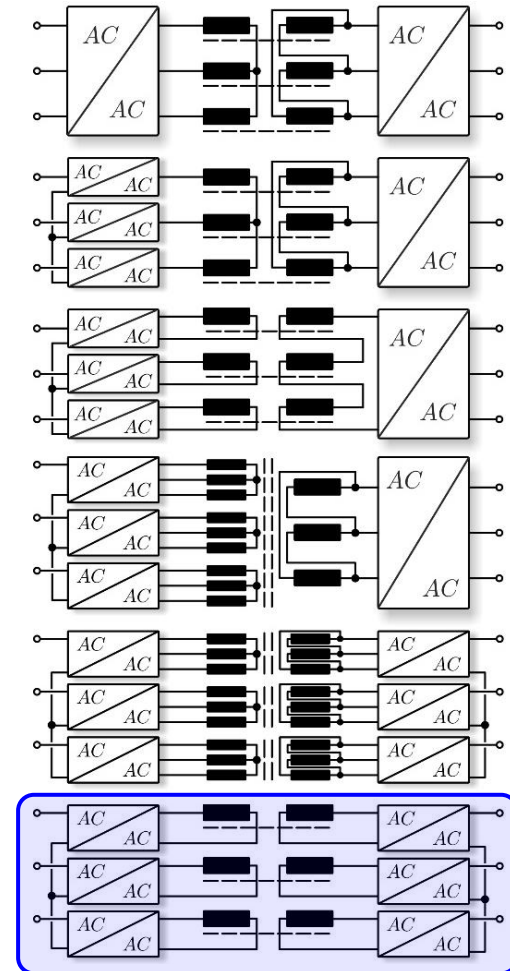
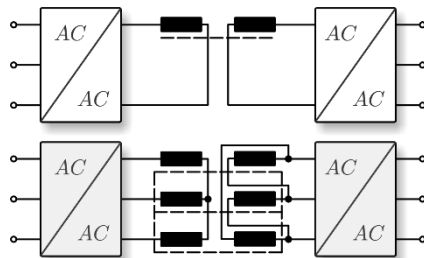
*Conclusion: Main SST Topologies*

## ► Partial or Full Phase Modularity

### ■ 2<sup>nd</sup> Degree of Freedom of Topology Selection → Partial or Full Phase Modularity

- Phase-Modularity of **Electric** Circuit
- Phase-Modularity of **Magnetic** Circuit

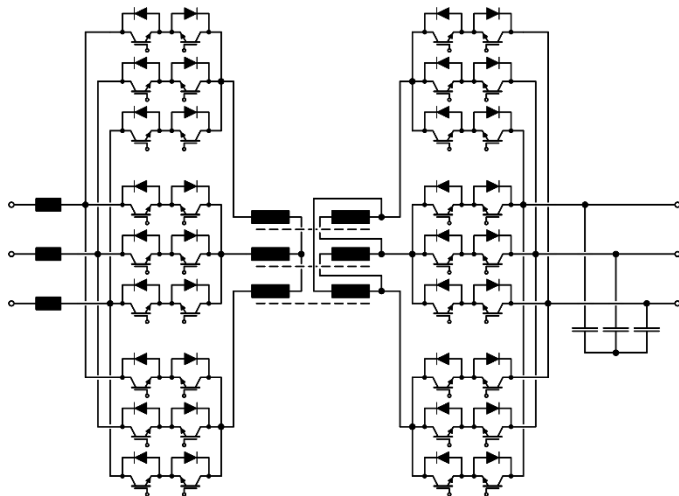
#### ▼ Phase-Integrated SST



## ► Partial or Full Phase Modularity: Examples

### ■ 2<sup>nd</sup> Degree of Freedom of Topology Selection → Partial or Full Phase Modularity

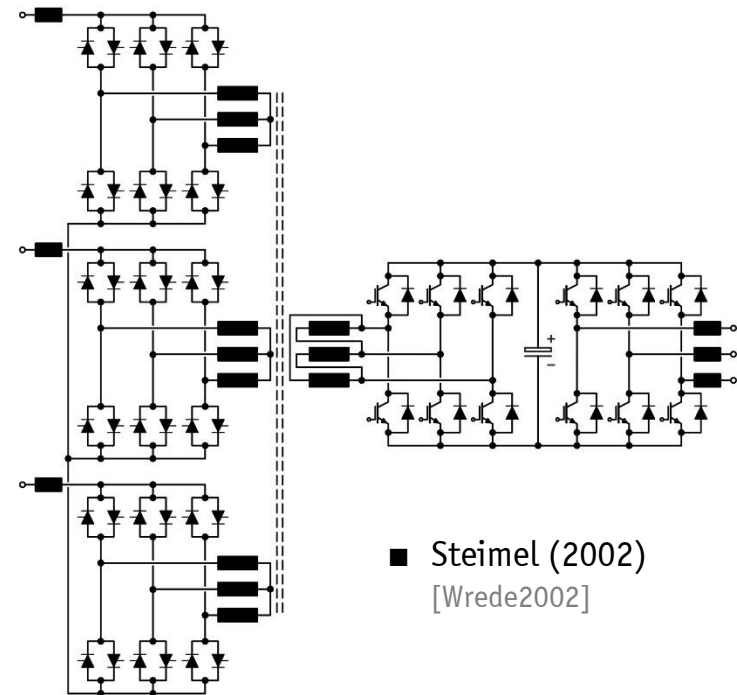
- Example of **Three-Phase Integrated** (Matrix) Converter & Magn. Phase-Modular Transf.



■ Enjeti (1997)

[Kang1999]

- Example of **Partly Phase-Modular** SST



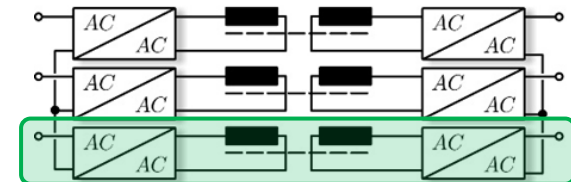
■ Steimel (2002)

[Wrede2002]

## ► Partitioning of Single-Phase AC/DC PFC Functionality

### ■ Required Functionality

- **F**: Folding of the AC Voltage Into a  $|AC|$  Voltage
- **CS**: Input Current Shaping
- **I**: Galvanic Isolation & Voltage Scaling
- **VR**: Output Voltage Regulation

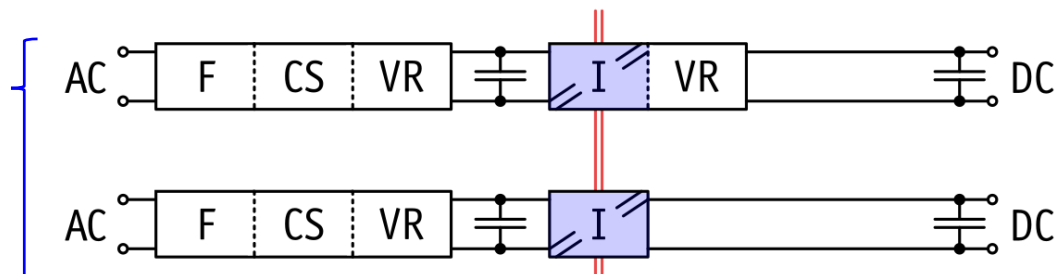


One Phase of Phase-Modular 3ph-SST  
→ Single-Phase System!

### ■ Isolated PFC Task Partitioning Variants

**Isolated Back End (IBE)** ►

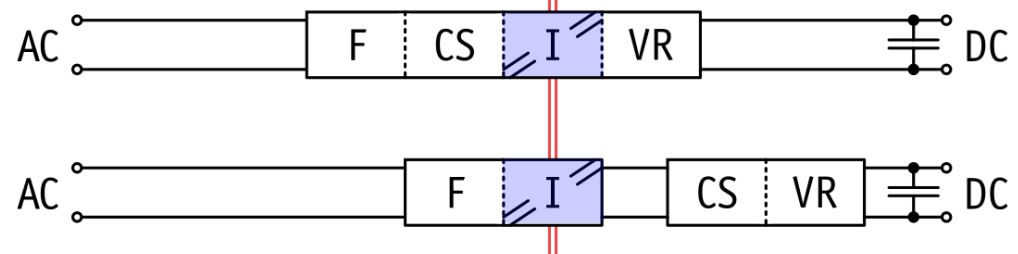
→ Broadly Analyzed and  
Employed in SSTs



Fully Integrated ►

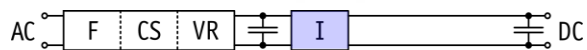
**Isolated Front End (IFE)** ►

→ Less Common Alternative

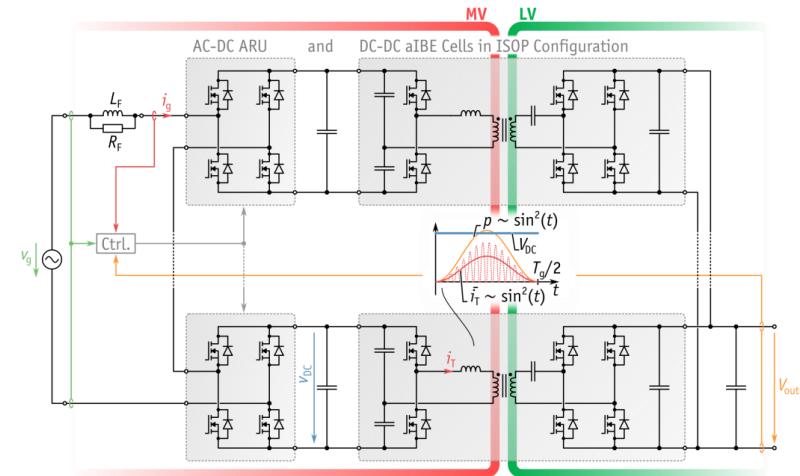


## ► Examples of Multi-Cell AC/DC SST Topologies

### ■ Isolated Back End (IBE)

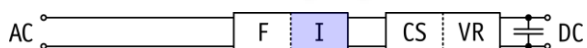


[Steiner1998], [Steiner2000]  
[Dujic2013], [Zhao2014]

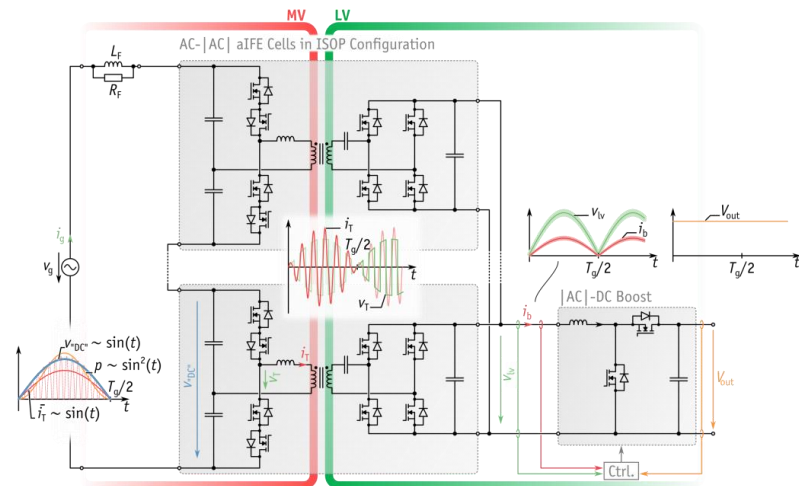


**Paper @ ECCE Asia:** J. E. Huber, D. Rothmund,  
and J. W. Kolar, “**Comparative Evaluation of  
Isolated Front End and Isolated Back End  
Multi-Cell SSTs**”.

### ■ Isolated Front End (IFE)



[Weiss1985], [Han2014],  
[Kolar2016], [Huber2016a]



# Challenge #2/11

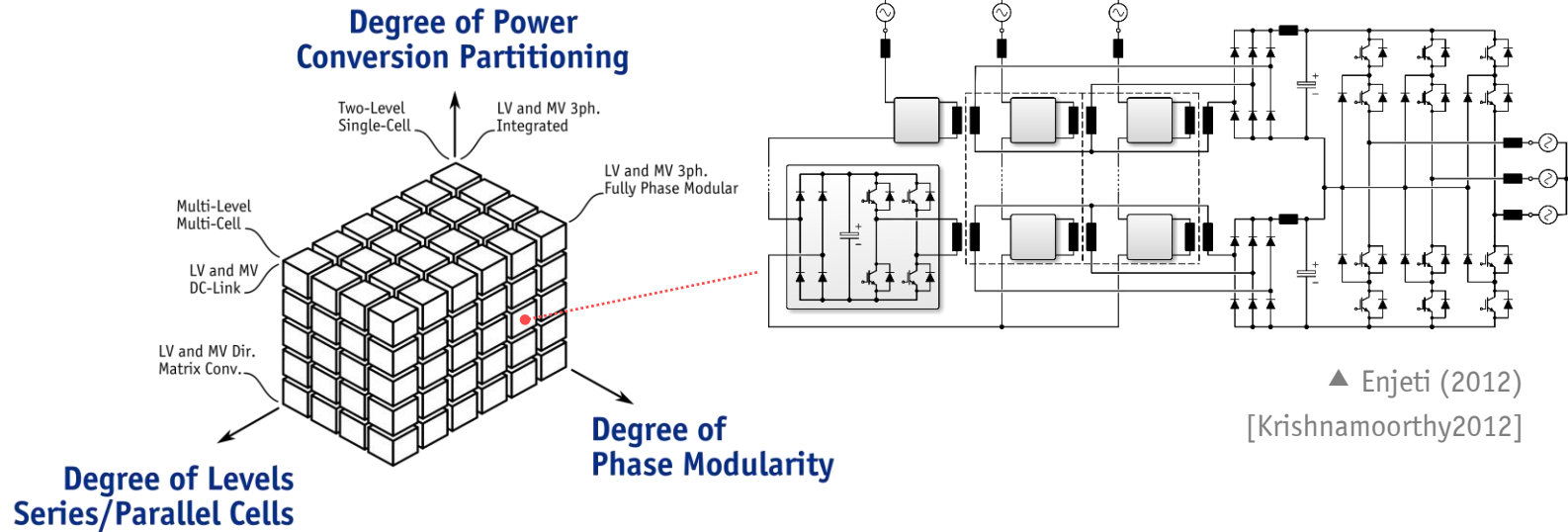
## Topology Selection

*Partitioning of AC/AC Power Conv.  
Partial or Full Phase Modularity*

***Classification of SST Topologies***

*Conclusion: Main SST Topologies*

## ► Classification of SST Topologies



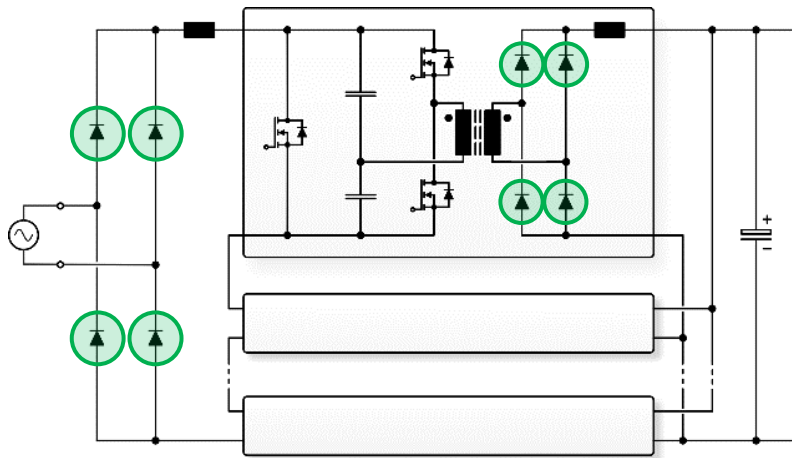
### ■ Very (!) Large Number of Possible Topologies

- Partitioning of Power Conversion
- Splitting of 3ph. System into Individual Phases
- Splitting of Medium Voltage into Lower Partial Voltages

- Matrix & DC-Link Topologies
- Phase Modularity
- Multi-Level/Cell Approaches

## ► Side Note: Unidirectional SSTs

- Simplification of Topologies for Unidirectional Power Flow
- SST As **MV-Connected Power Supply**



- Example Topology:  
**Unidirectional** Multi-Cell  
Boost Topology

### ■ Example Applications

- Direct Supply of 400V/48V DC System from 6.6kV AC
- Direct PV Energy Regeneration from 1kV DC into 6.6kV AC

[VanDerMerwe2009a]  
[VanDerMerwe2009b]  
ETH / [Rothmund2014]

# Challenge #2/11

## Topology Selection

*Partitioning of AC/AC Power Conv.  
Partial or Full Phase Modularity  
Classification of SST Topologies*

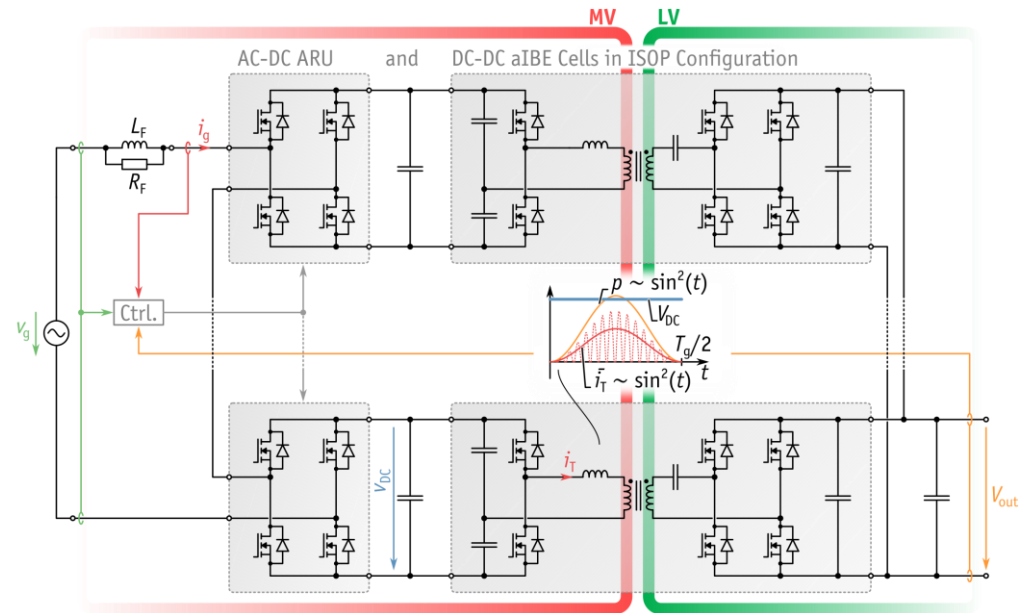
***Conclusion: Main SST Topologies***

## ► Main SST Topologies (1)

### ■ Multi-Cell Topologies

- **Isolated Back End**
  - Isolated Front End
  - Matrix-Type (AC/AC)
  - Modular-Multi-Level (M2LC)

### ■ Single-Cell Topologies



- Note: Specific Realizations May Vary (e.g., 3-Phase Configurations, AC/AC Conversion, NPC Cells, DC-DC Converter Type, Unidirectionality, etc.)

[Steiner1998]

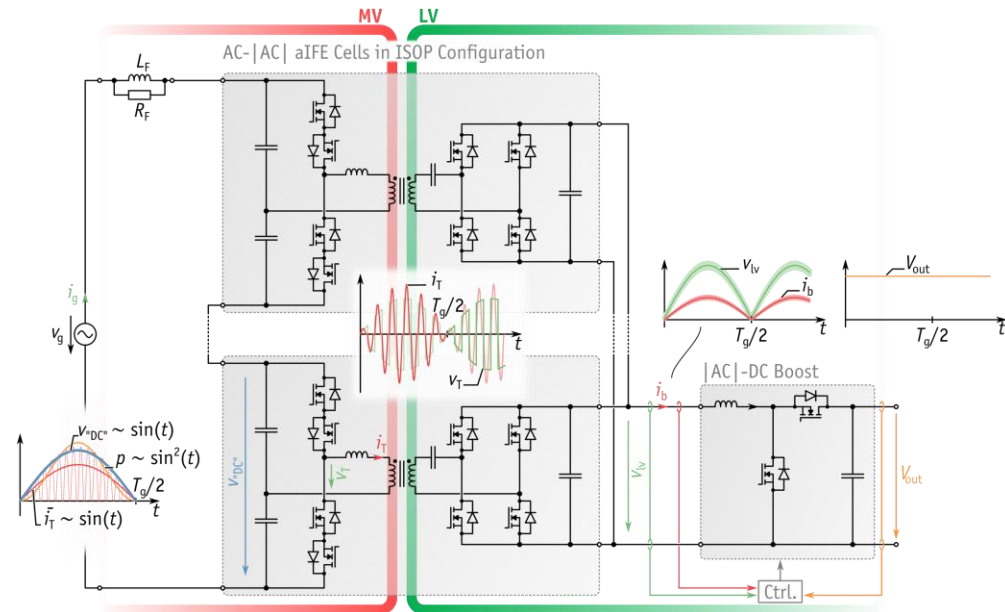
[Steiner2000]

[Dujic2013]

[Zhao2014]

## ► Main SST Topologies (2)

- Multi-Cell Topologies
  - Isolated Back End
  - **Isolated Front End**
  - Matrix-Type (AC/AC)
  - Modular-Multi-Level (M2LC)
- Single-Cell Topologies



- Note: Specific Realizations May Vary (e.g., 3-Phase Configurations, AC/AC Conversion, NPC Cells, Unidirectionality, etc.)

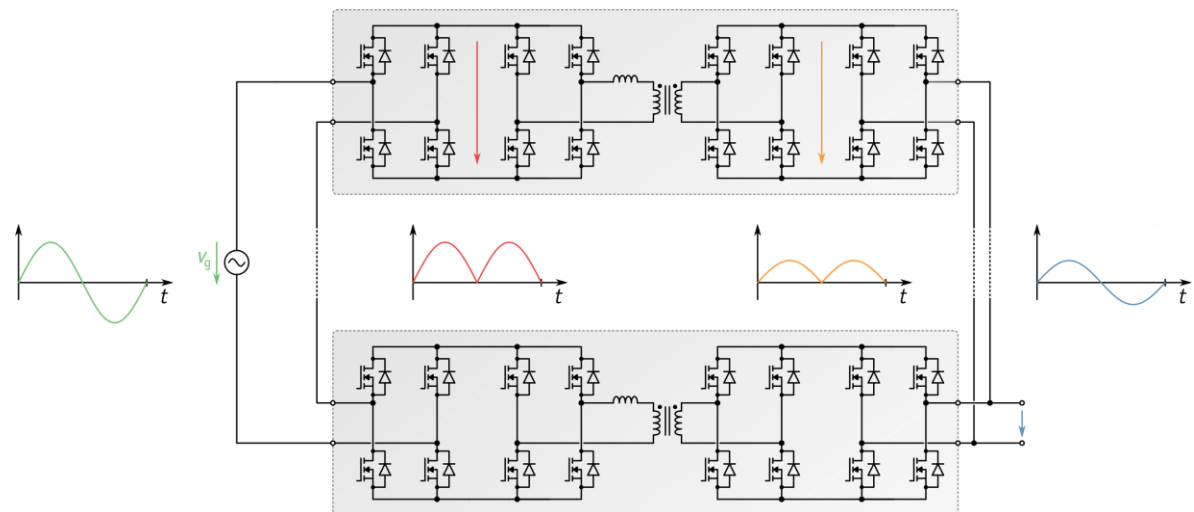
[Weiss1985]  
[Han2014]  
[Kolar2016]  
[Huber2016a]

## ► Main SST Topologies (3)

### ■ Multi-Cell Topologies

- Isolated Back End
- Isolated Front End
- **Matrix-Type (AC/AC)**
- Modular-Multi-Level (M2LC)

### ■ Single-Cell Topologies



- Note: Specific Realizations May Vary (e.g., **3-Phase Configurations**, NPC Cells, Unidirectionality, etc.)

[Das2011]

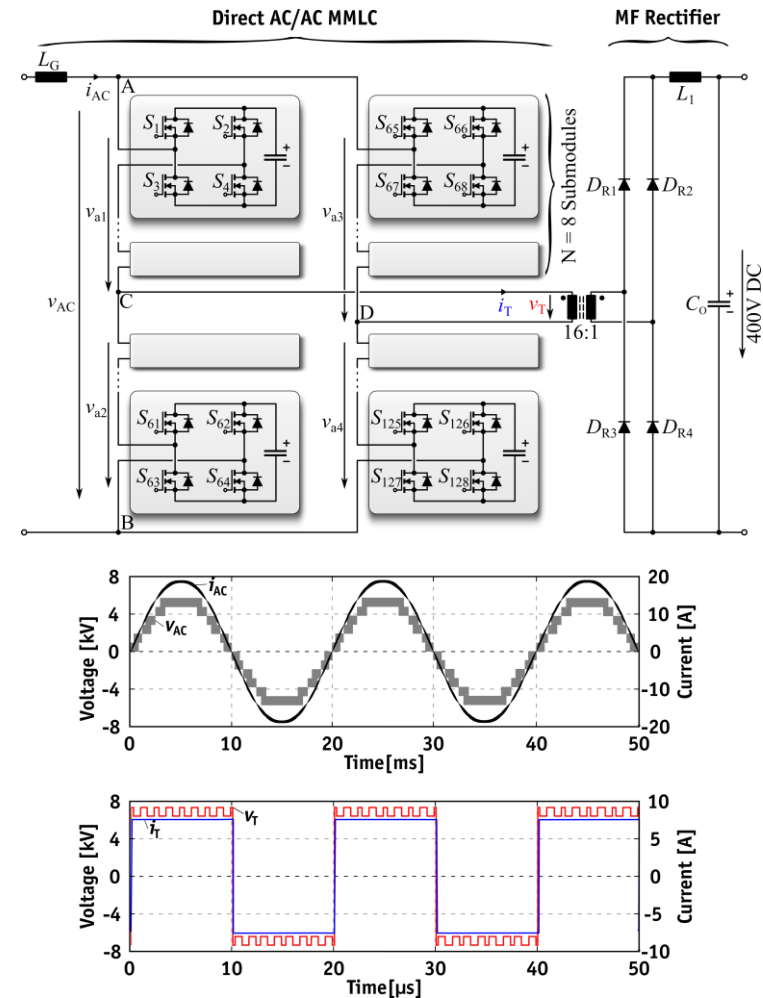
## ► Main SST Topologies (4)

### ■ Multi-Cell Topologies

- Isolated Back End
- Isolated Front End
- Matrix-Type (AC/AC)
- **Modular-Multi-Level (M2LC)**

### ■ Single-Cell Topologies

- Note: Specific Realizations May Vary  
(e.g., 3-Phase Configurations, AC/AC  
Conversion, Bidirectionality, etc.)

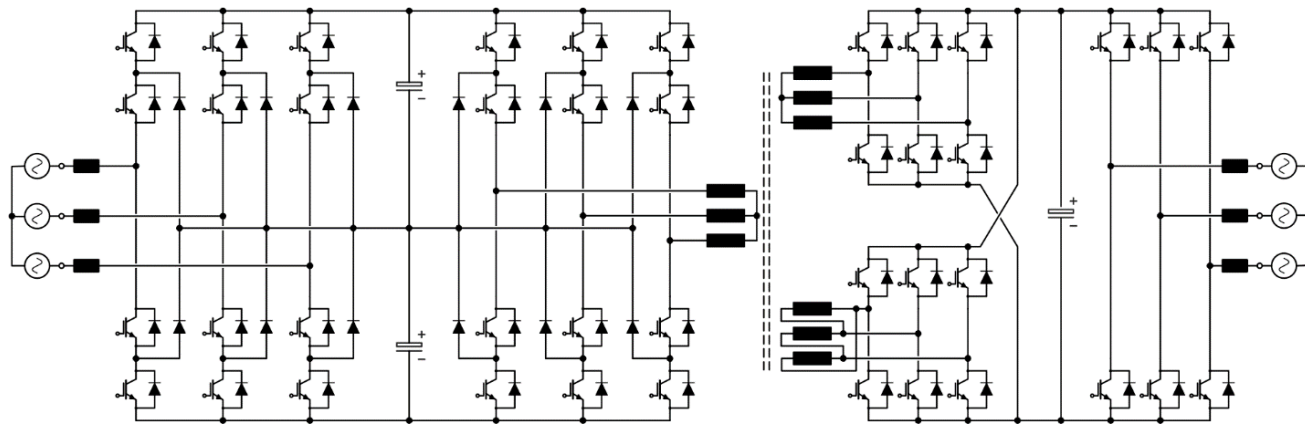


[Glinka2003], Img.: ETH / [Rothmund2014]

## ► Main SST Topologies (5)

- Multi-Cell Topologies
  - Isolated Back End
  - Isolated Front End
  - Matrix-Type (AC/AC)
  - Modular-Multi-Level (M2LC)

### ■ Single-Cell Topologies

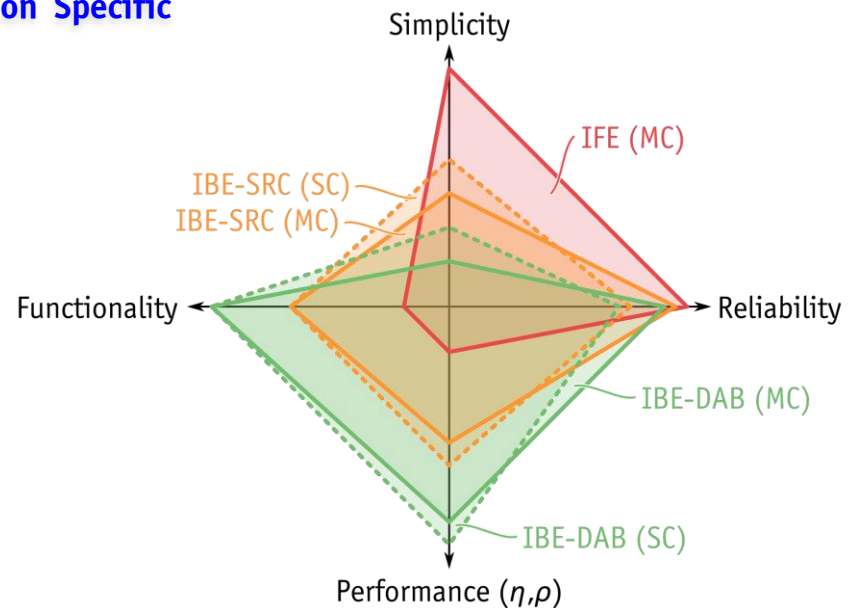


- Note: Specific Realizations May Vary  
(e.g., [DC-DC Converter Type](#), Unidirectionality, etc.)

[Tripathi2012]

## ► SST Topologies Summary & Outlook

- High Number of Possible SST Topologies  
→ **Optimum Topology Choice Depends on Specific Application Requirements!**



### ■ Trends And Outlook

- LV SiC Devices
- **HV SiC Devices / Single-Stage SSTs**
- Reliability Considerations Are Highly Important

# Challenge #3/11

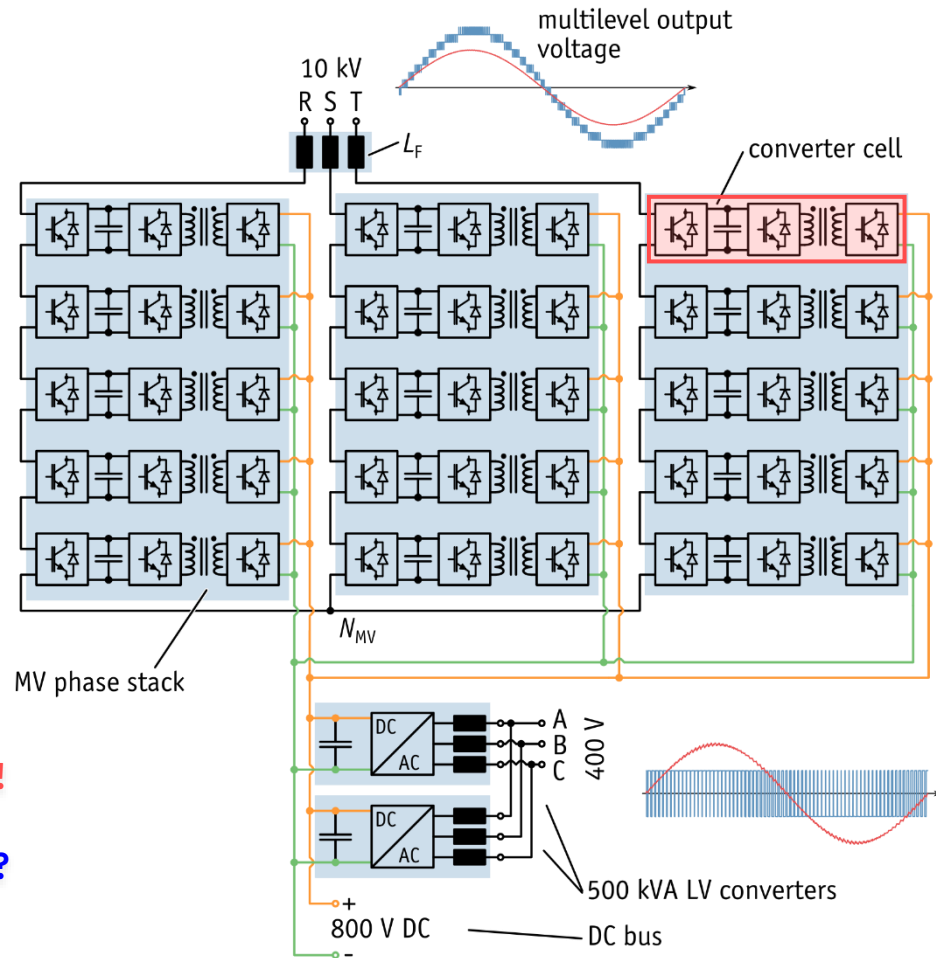
## Reliability

*Basics of Reliability Modeling  
Cell-Level Redundancy  
“Reliability Bottlenecks”*

## ► Example System: ETH *MEGAlink* Distribution SST

### ■ Specifications

- 1 MVA
- 10 kV AC to 800 V DC and 400 V AC
- 1700V IGBTs on MV Side



■ Modular System → **MANY Components!**

→ Can Such a System Still Be Reliable?

## ► Reliability Considerations for SST Design

### ■ Remember:

**Conventional Transformers are Highly Reliable and Robust**

- Copper, Iron and Oil

**VS.**

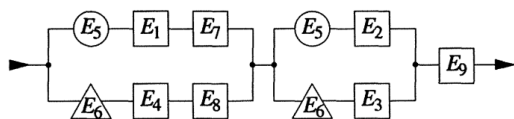
- High # of Semiconductors, Gate Drives, Measurement and Control Electronics, Cooling Systems, ... (!)



Source: <http://www.africancrisis.org>

### ■ Very High Reliability Requirements for Grid and Traction Equipment

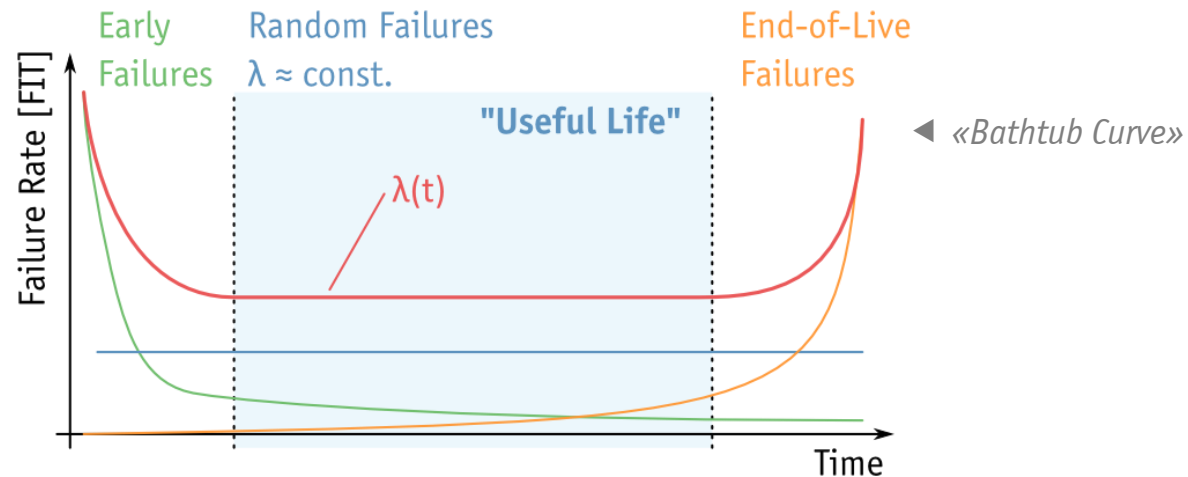
### ■ Include Reliability Considerations Early in the SST Design Process



Textbook: [Birolini1997]

- Reliability Block Diagrams
- Design for Reliability Approach [Wang2013]
- Etc.

## ► Modeling Reliability: The Failure Rate



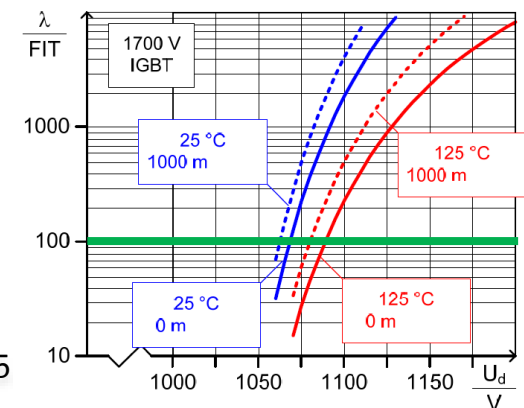
■ In General, the **Failure Rate  $\lambda(t)$**  is a Function of Time

■ Here, Only **Useful Life** is Considered

- Dominated by Random Failure Distribution
- Constant Failure Rate  $\lambda$
- $[\lambda] = 1 \text{ FIT}$  (1 Fail. in  $10^9 \text{ h}$ ) – Typ. Value for an IGBT Mod.: **100 FIT** ►

■ **Example Sources for Empirical Component Failure Rate Data**

- **MIL-HDBK-217F**, "Reliability Prediction of Electronic Equipment," 1995
- **IEC Standard 62380:2004 (E)**, "Reliability Data Handbook," IEC, 2004.
- Stds. Define Base Failure Rates for Comp. and Factors to Account for Stress Levels (e.g., Temperature)



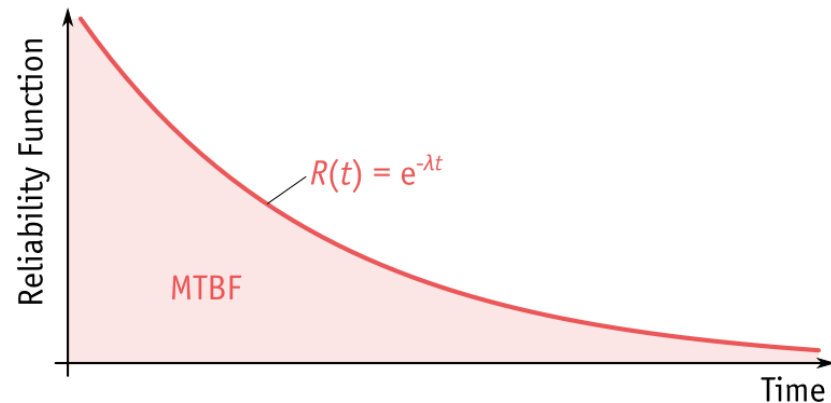
## ► Modeling Reliability: The Reliability Function

- Expresses **Probability of System Being Operational After  $t$  Hours**

- General Definition:

$$R(t) = e^{-\int_0^t \lambda(x) dx}$$

- During **Useful Life**:  $\lambda(t) = \text{const.} = \lambda$ :



- Mean Time Between Failures

$$MTBF = \int_0^{\infty} R(t) dt = \int_0^{\infty} e^{-\lambda t} dt = \frac{1}{\lambda}$$

**Caution: MTBF is Not the Time Before Which No Failure Occurs – It's All Statistics!**

- Average Availability:

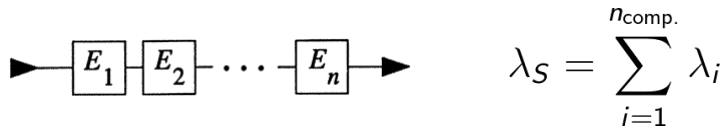
$$A = \frac{MTBF}{MTBF + MTTR}$$

Textbook: [Birolini1997]

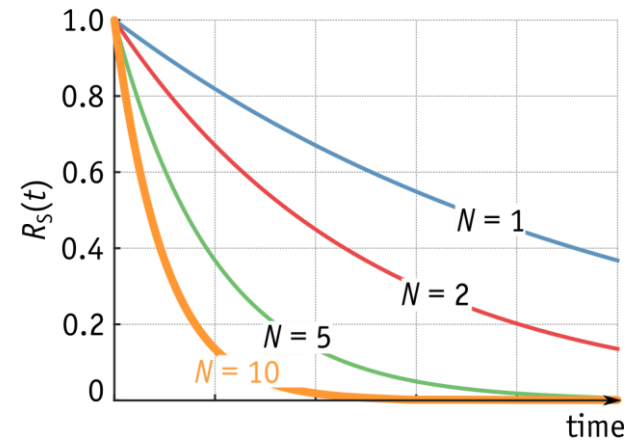
## ► Modeling Reliability: Basic Multi-Element Considerations

### ■ Series Structure

(e.g. Components of a Single Converter Cell)



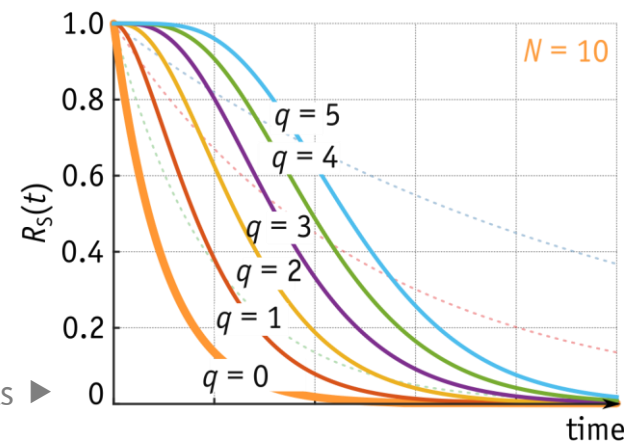
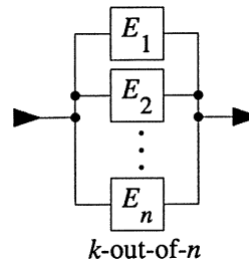
(General Assumption: Independent Elements with Equal Failure Rate.)



### ■ $k$ -out-of- $n$ Redundancy

(e.g., Redundancy of Cells in a Phase Stack)

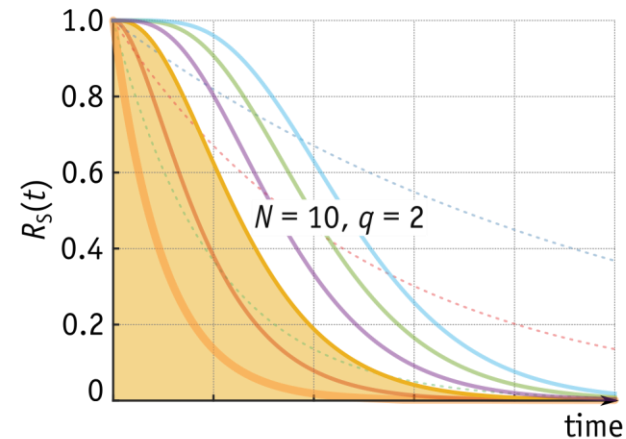
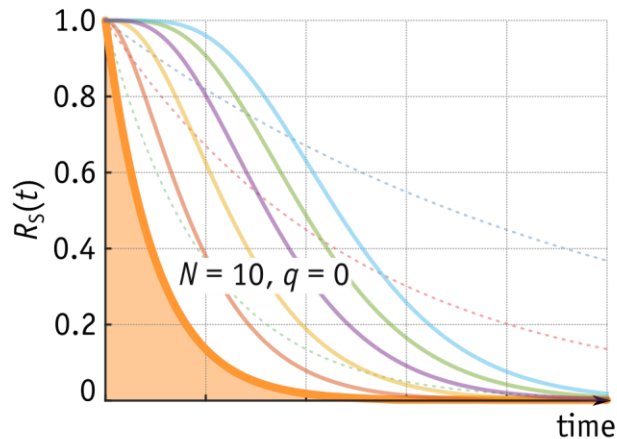
- System is Operational as Long as At Least  $k$  out of  $n$  Sub-systems (Cells) Are Operational



Effect of  $q$  Additional Redundant Cells ►

Textbook: [Birolini1997]

## ► The “Power of Redundancy” (1)

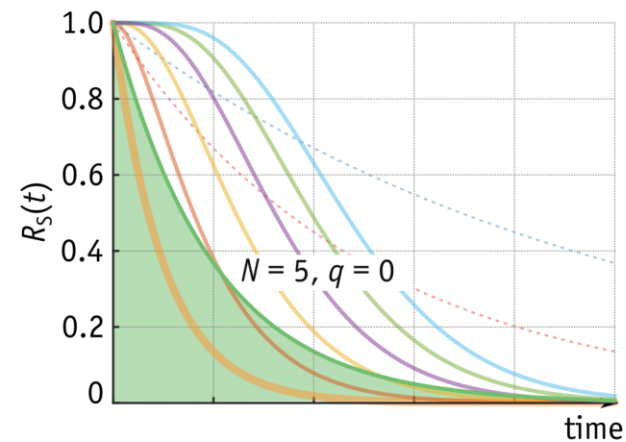


■ Remember:  $MTBF = \int_0^{\infty} R(t) dt$

- Area Below Reliability Function!

### ■ Redundancy Can Significantly Improve System Level Reliability

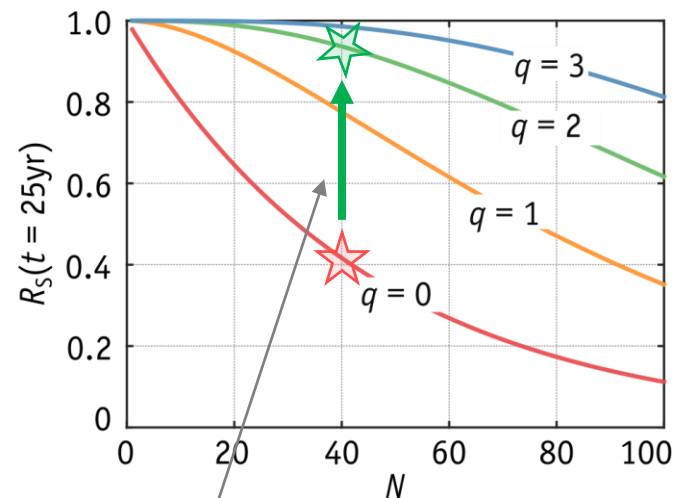
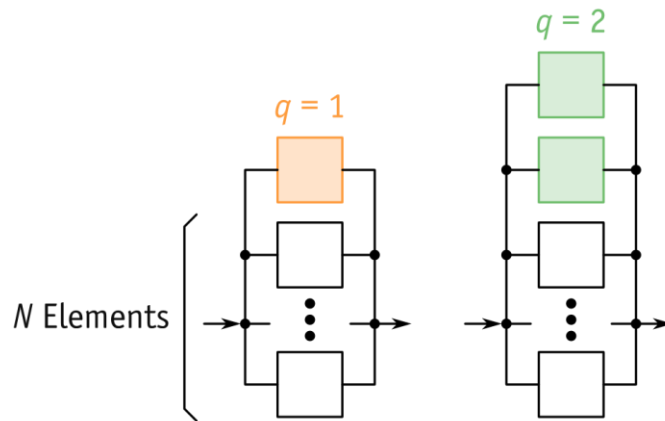
- 10 Elements + 2 Redundant: Reliability Higher than for 5 Elements!



## ► The “Power of Redundancy” (2)

### ■ Value of Reliability Function at $t = 25$ Years (Probability That System Is Operational After 25 Years)

- $N$  Elements
- $q$  Additional Redundant Elements



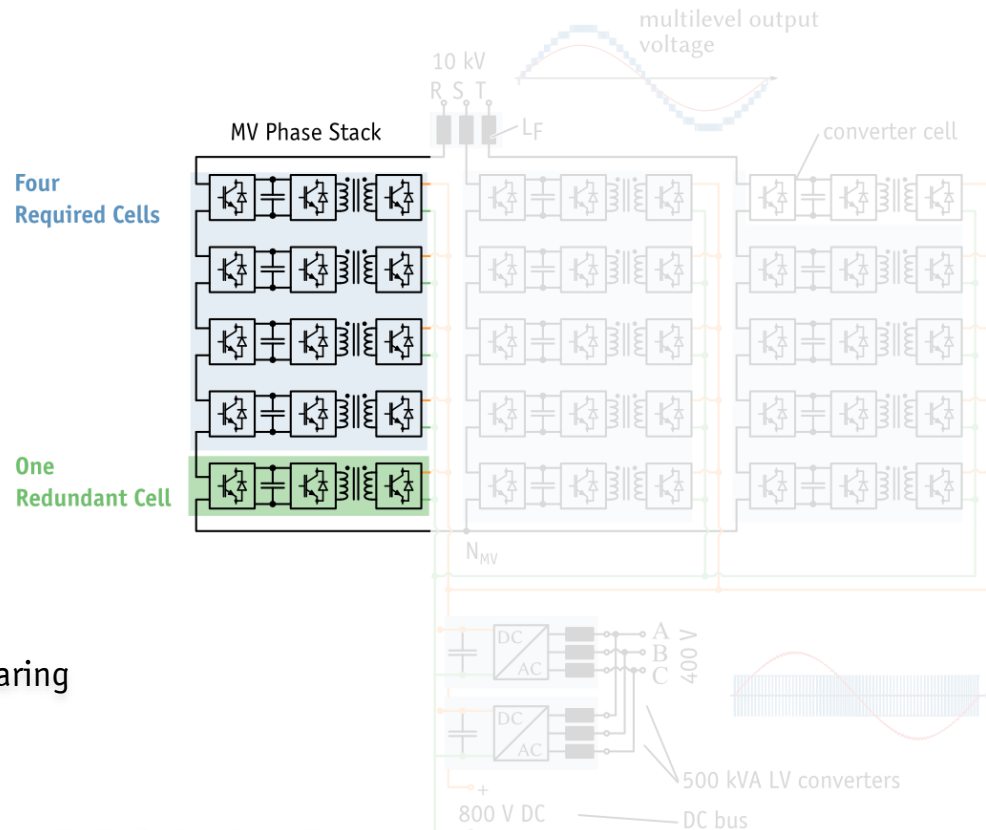
### ■ Redundancy Can Significantly Improve System Level Reliability

- E.g., for  $N = 40$ : from 40% to > 90% with 2 Additional Redundant Cells

## ► Example System: Cell Redundancy

### ■ Modular System → Simple Implementation of Cell Redundancy!

Example:  
4-out-of-5 Redundancy ►



### ■ Redundancy Concepts

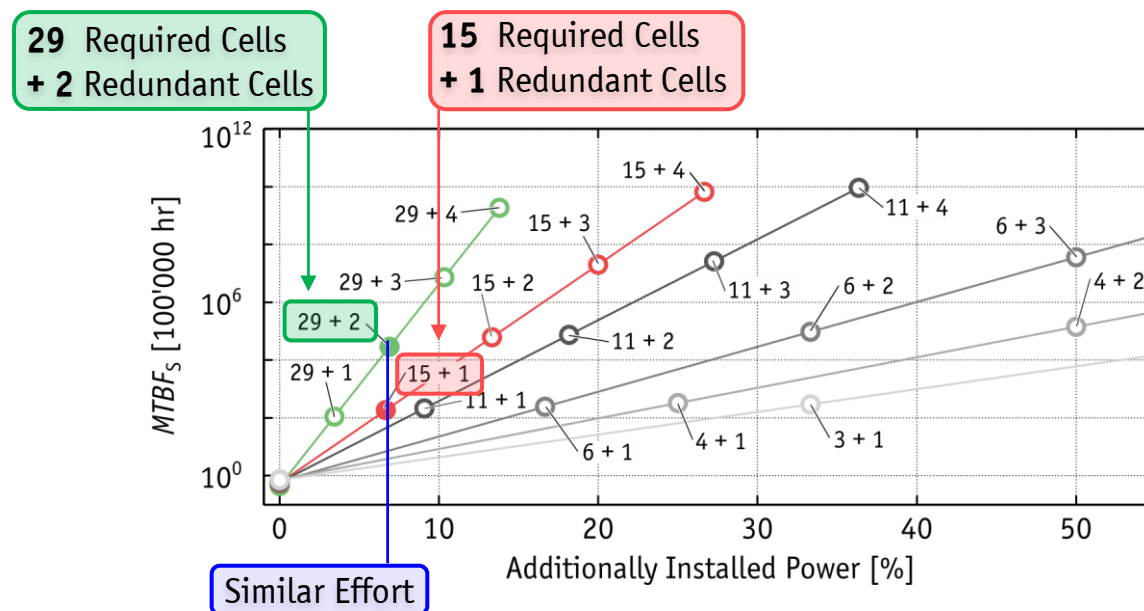
- Standby Redundancy
- Active Redundancy with Load Sharing

### ■ Basic Assumptions

- Failure Rate of a Cell:  $\lambda_{\text{cell}}$
- Failure Rate of Stack:  $\lambda_{\text{cell}} n_{\text{cell}}$  (w/o Redundancy)

## ► Example System: Cell Redundancy and Reparability

- **Reparability:** Faulty Cell Can Be Replaced On-Site; Possibly Even In a Hot-Swap Operation
  - Example: **Mean Time To Repair (MTTR)** of One Week Assumed



- **Multi-Cell Designs Can Be Made Highly Reliable By Adding Redundancy!**
- Preventive Maintenance Can Further Improve System Availability

**Note 1:** 50% Of Cell FIT Rate Is Assumed To Be Proportional To Blocking Voltage

**Note 2:** Absolute MTBFS Values Depend on FIT Rate Assumptions; Relative Results Stay The Same

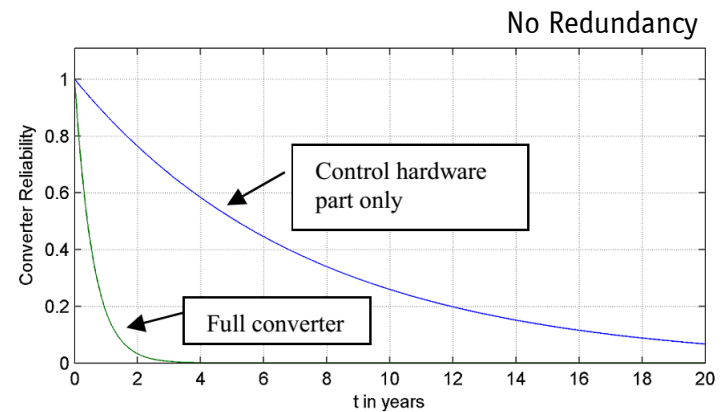
**Further Reading:**

ETH / [Huber2013b]

## ► Reliability “Bottlenecks”

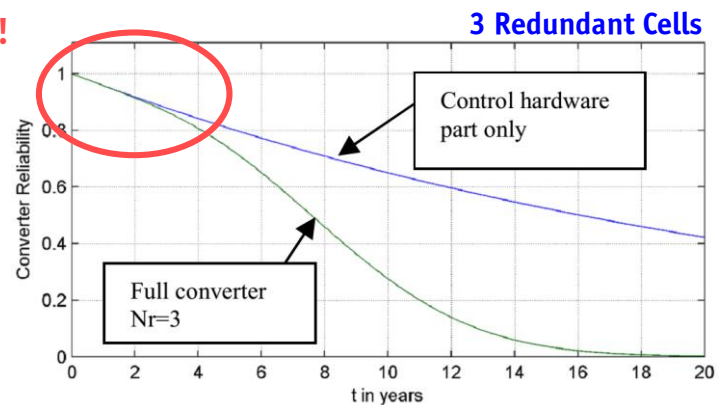
### ■ Reliability Improvement by Means of Cell-Level Redundancy Is

- Very Effective



Control Hardware Becomes  
Limiting Factor!

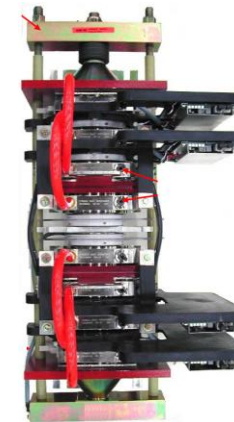
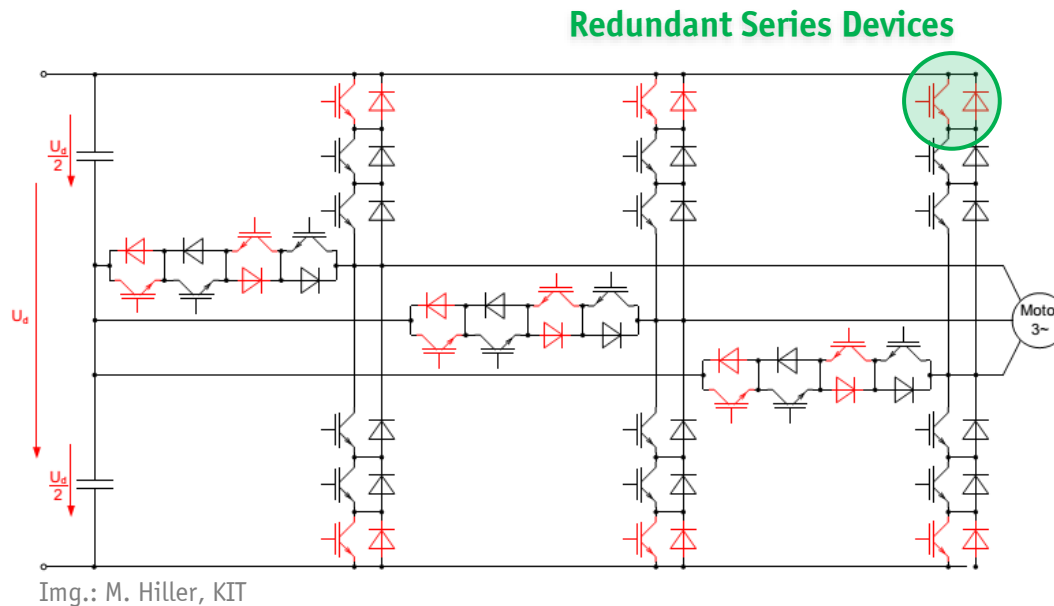
- But Limited by Other Parts of the Converter System
  - Control
  - Auxiliary Supplies
  - Communication
  - Bypass Devices
  - ...



[Grinberg2013]

## ► Redundancy In Single-Cell Systems

### ■ Example: MV Motor Drive



▲ Press-Pack NPC Phase Module  
(Convertteam GmbH)



Img: powerguru.org



- **Fail-To-Short Behavior Required!**
- Only Feasible With IGBT Press Pack Modules

[Hiller2016]

# Challenge #4/11

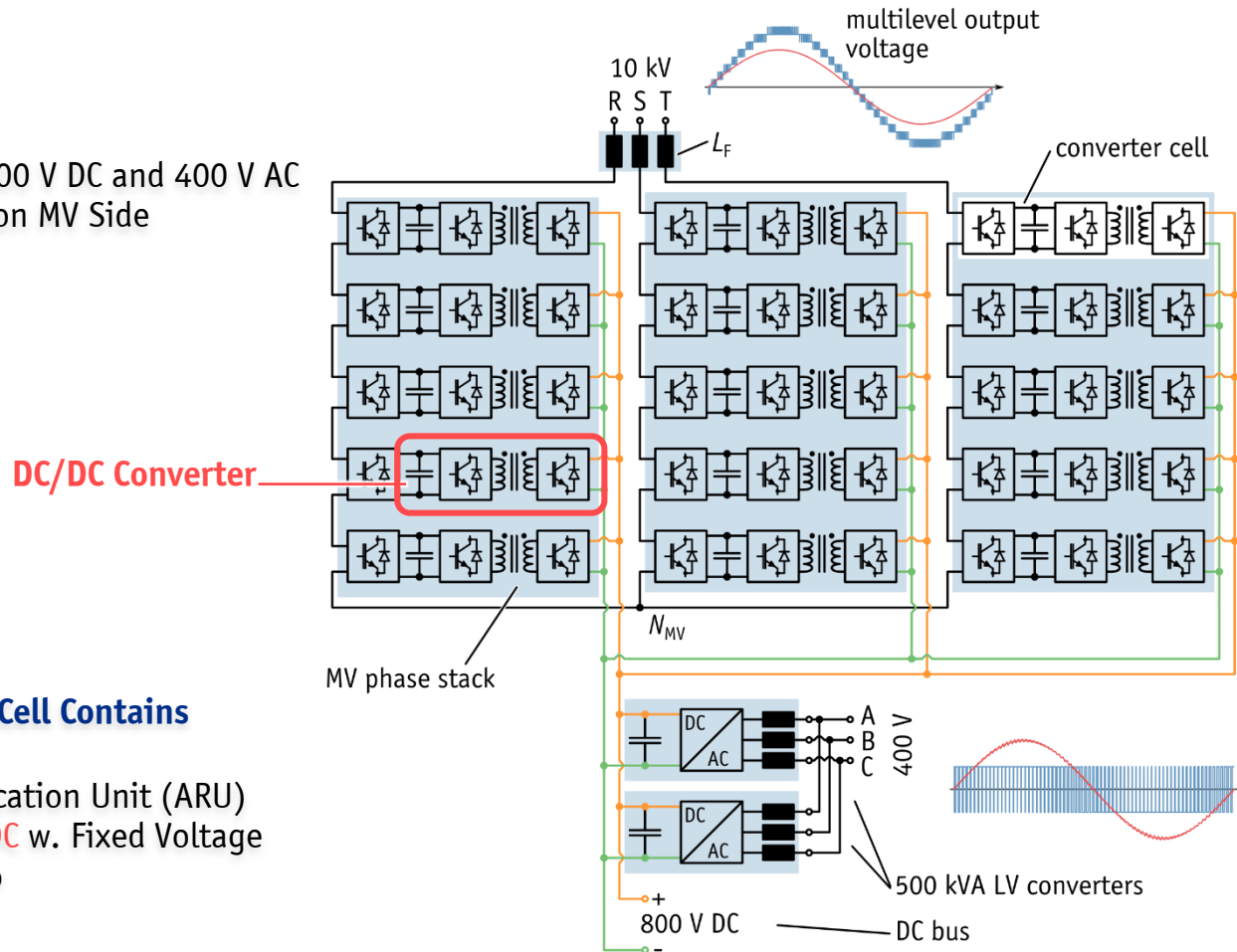
## MF Isolated Power Converters

*Dual Active Bridge  
HC-DCM Series Resonant Converter*

## ► Example System: ETH MEGAlink Distribution SST

### ■ Specifications

- 1 MVA
- 10 kV AC to 800 V DC and 400 V AC
- 1700V IGBTs on MV Side



### ■ Each Converter Cell Contains

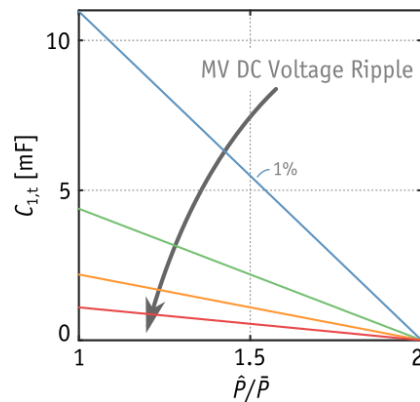
- Active Rectification Unit (ARU)
- Isolated DC/DC w. Fixed Voltage Transfer Ratio

## ► Power Flows in Phase-Modular Solid-State Transformers

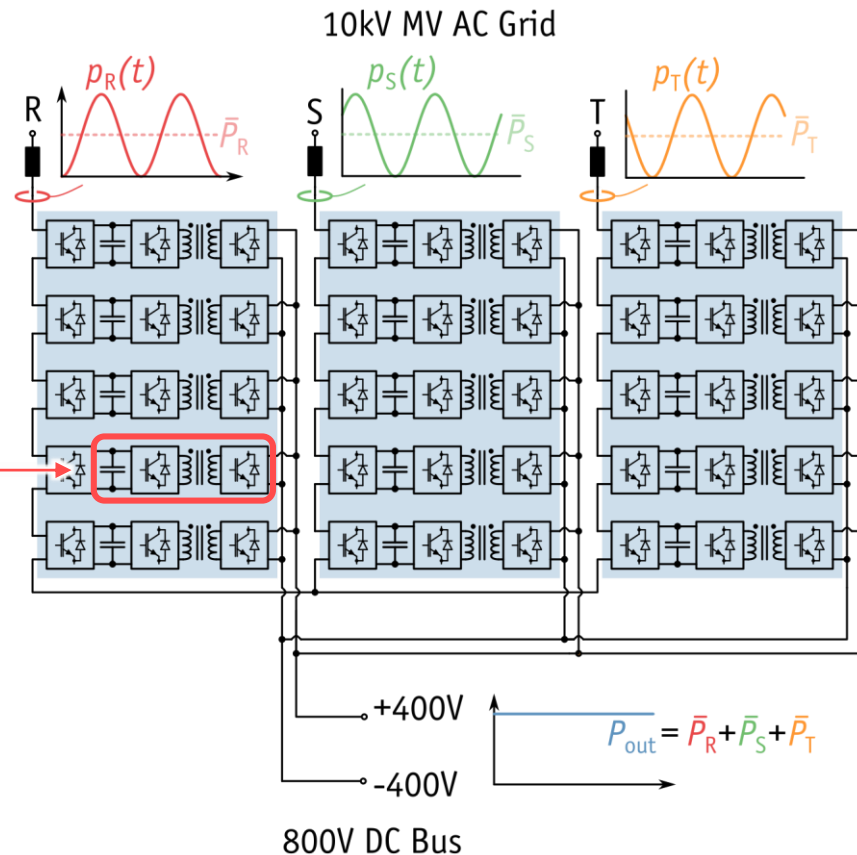
### ■ MV: 100 Hz Power Fluctuation in Single-Phase Systems

#### ■ DC/DC Converter Power Flow

How To Handle The Single-Phase Power Fluctuation?



Buffering ↔ Transmission  
Capacitor Vol. ↔ RMS Currents



### ■ LV: Constant Power Behavior of Three-Phase Systems

# Challenge #4/11

## MF Isolated Power Converters

***Dual Active Bridge***  
*HC-DCM Series Resonant Converter*

# United States Patent [19]

DeDoncker et al.

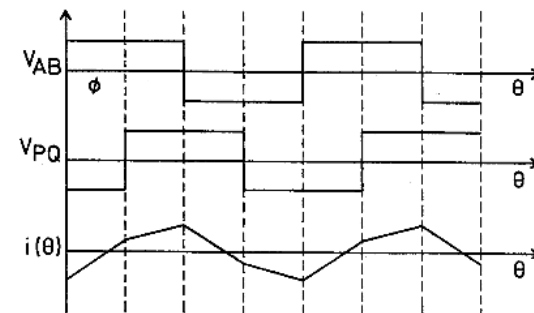
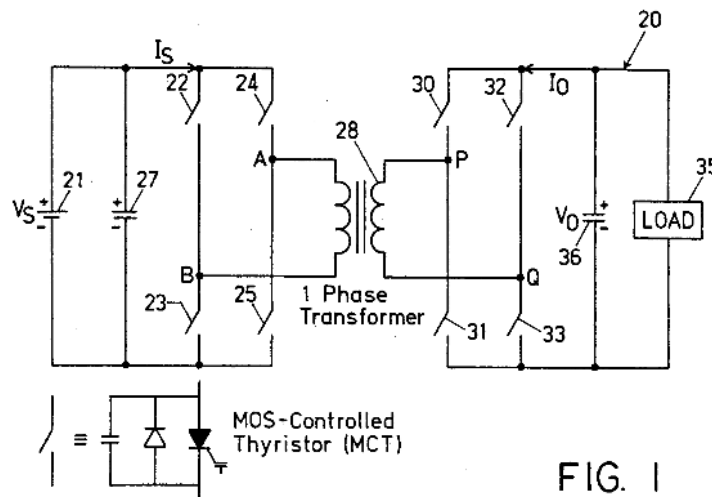
[11] Patent Number: 5,027,264

[45] Date of Patent: Jun. 25, 1991 ← 1991

[54] **POWER CONVERSION APPARATUS FOR  
DC/DC CONVERSION USING DUAL ACTIVE  
BRIDGES**

[75] Inventors: **Rik W. DeDoncker**, Niskayuna, N.Y.;  
**Mustansir H. Kheraluwala**;  
**Deepakraj M. Divan**, both of  
Madison, Wis.

[22] Filed: Sep. 29, 1989

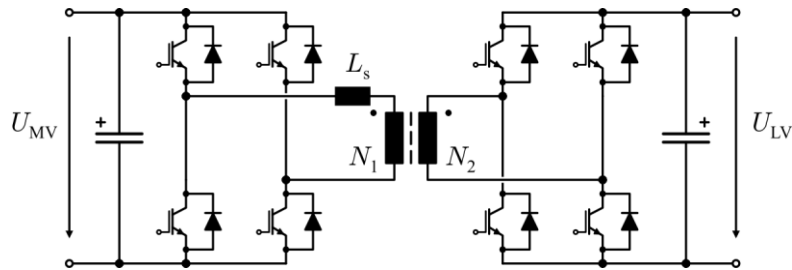


- Soft Switching in a Certain Load Range
- Power Flow Control by Phase Shift between Primary & Secondary Voltage

[DeDoncker1989]

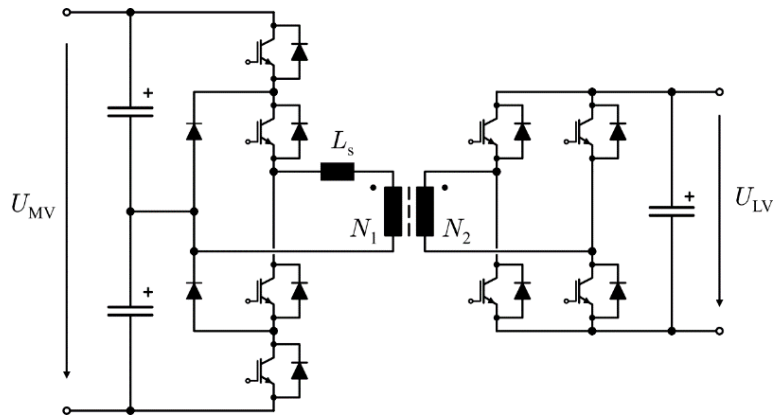
## ► Common Bridge Configurations

### ■ Full-Bridge



- Three Voltage Levels on Each Side

### ■ NPC / Full-Bridge Configuration

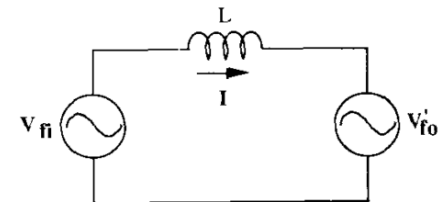
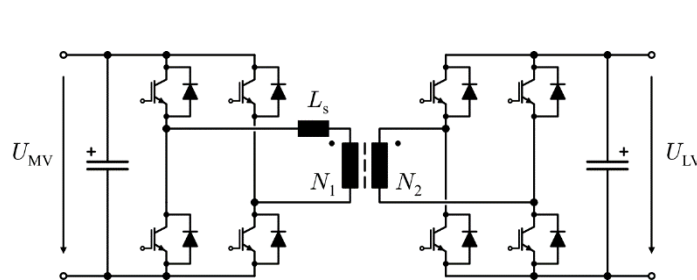


- Suitable for Higher MV/LV Ratios

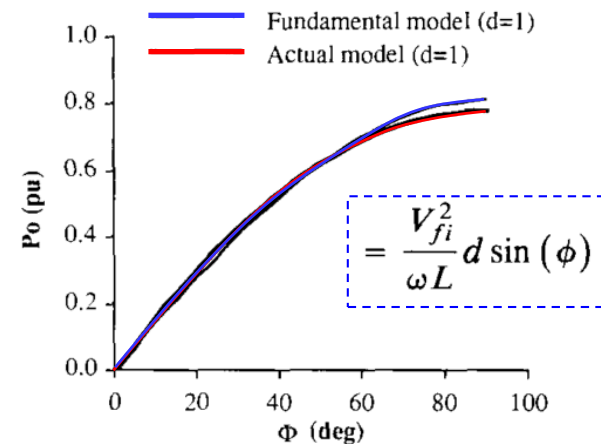
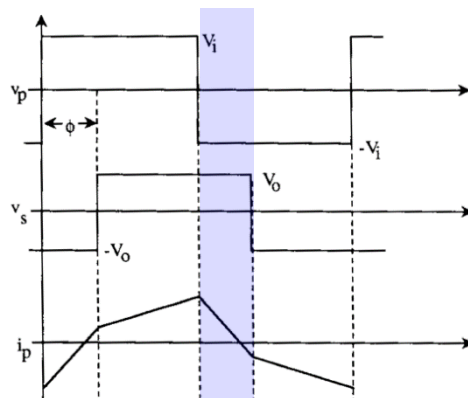
### ■ Other Configurations Possible (Half-Bridge / Half-Bridge, etc.)

## ► Phase-Shift Modulation (1)

### ■ Power Transfer Controlled Through Phase Shift Between MV and LV Bridges



Fundamental model of the dual bridge dc/dc converter.

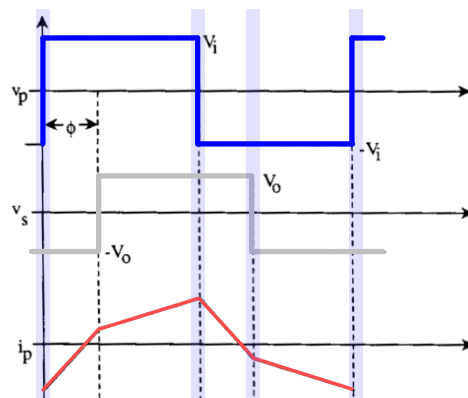
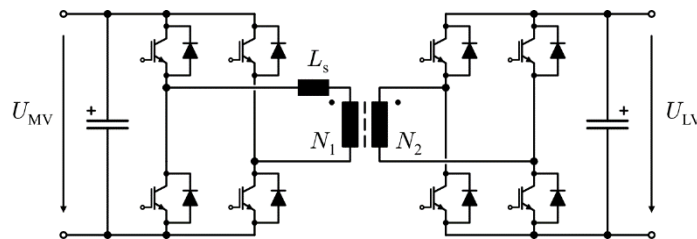


Comparison of the output power versus  $\phi$ , at  $d = 1$ , from the fundamental model and actual model.

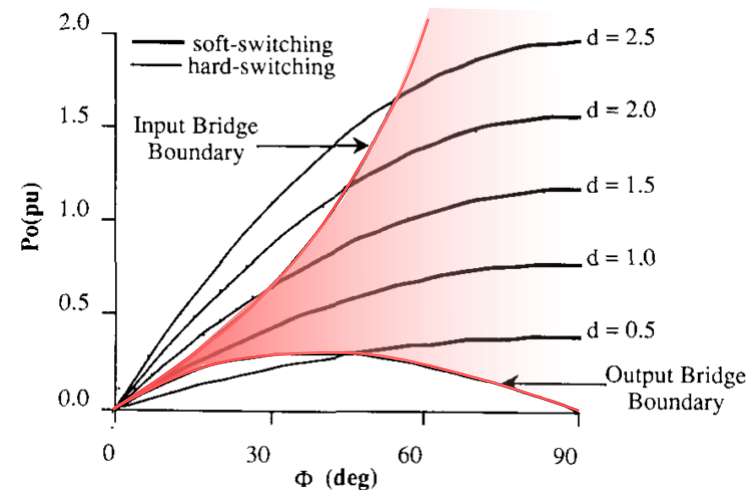
[DeDoncker1989]

## ► Phase-Shift Modulation (2)

- All Switching Transitions done in **ZVS** Conditions (within a Certain Operating **Range**)

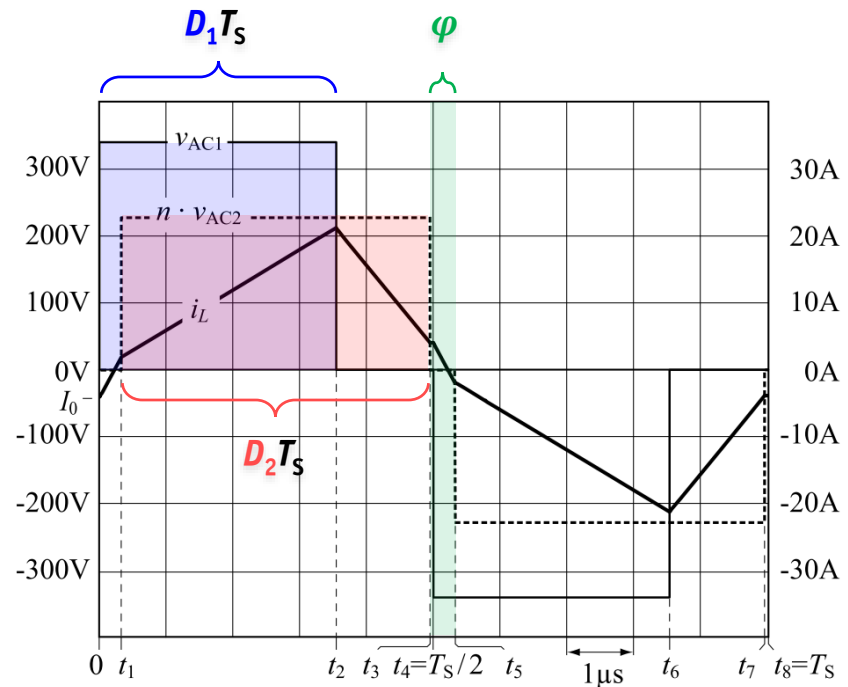
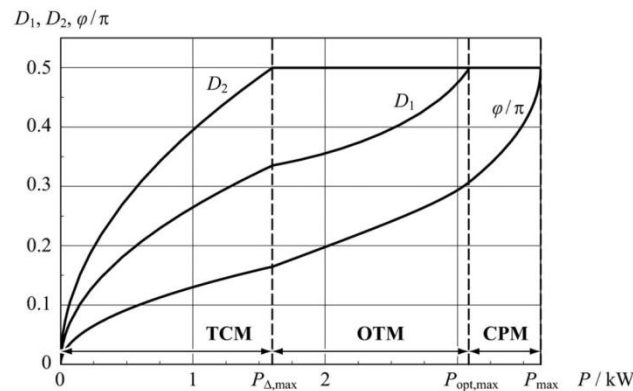


### ► Soft Switching Range



## ► Phase-Shift / Duty Cycle Modulation

- **Additional Degrees of Freedom** Can Be Utilized for Optimization
- For Example: Minimization of the RMS Currents through the Transformer



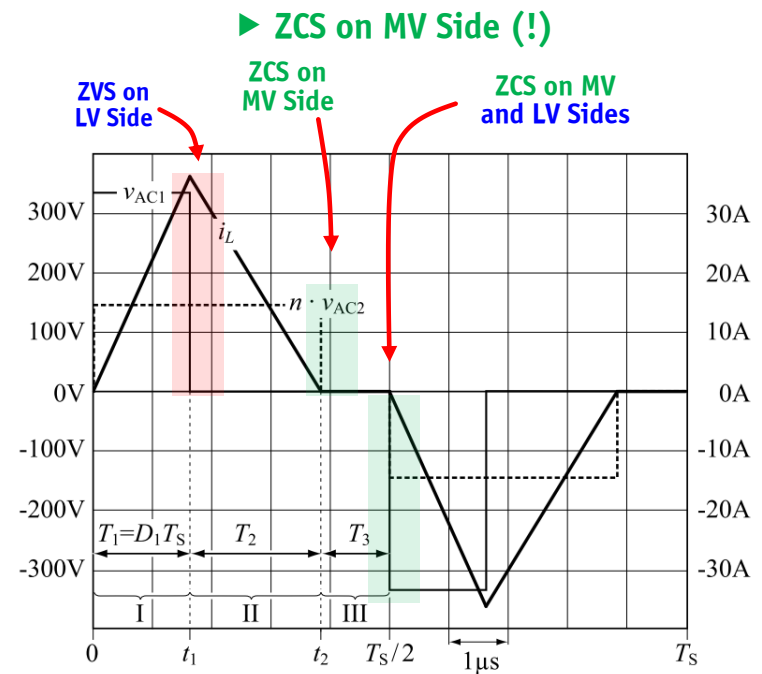
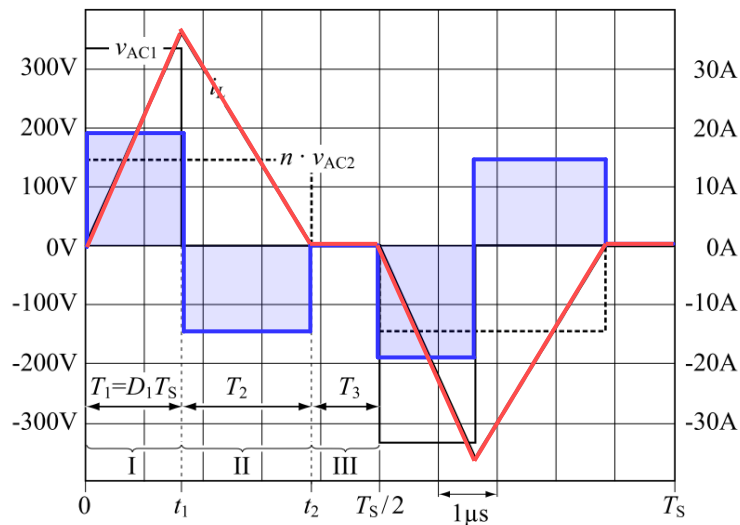
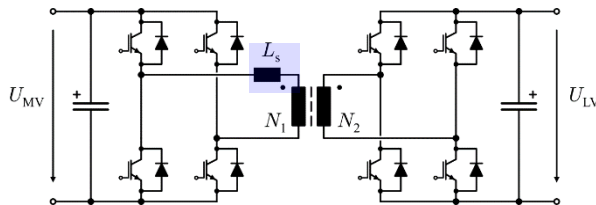
- **Not Possible in Half-Bridge Configurations** (No Zero Voltage Intervals)

[Krismer2012]

## ► Triangular Current Modulation

- Duty Cycles and Phase Shift Utilized to Perform **Zero Current Switching (ZCS)**

► HV IGBT Switching Loss Reduction



# Challenge #4/11

## MF Isolated Power Converters

*Dual Active Bridge*  
**HC-DCM Series Resonant Converter**

IEEE TRANSACTIONS ON INDUSTRIAL ELECTRONICS AND CONTROL INSTRUMENTATION VOL. IECI-17, NO. 3, MAY 1970 **1970 (!)**

# A Method of Resonant Current Pulse Modulation for Power Converters



img.: ewh.ieee.org

FRANCIS C. SCHWARZ, SENIOR MEMBER, IEEE

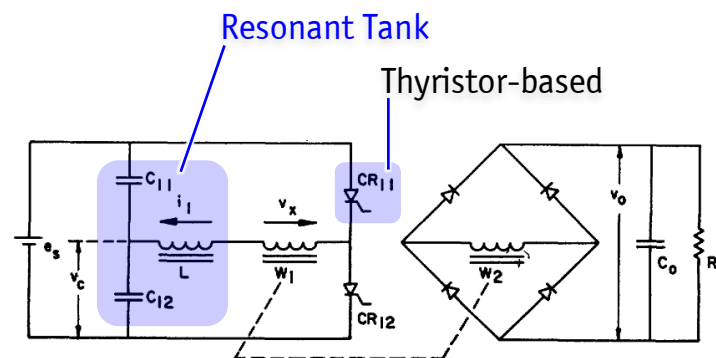
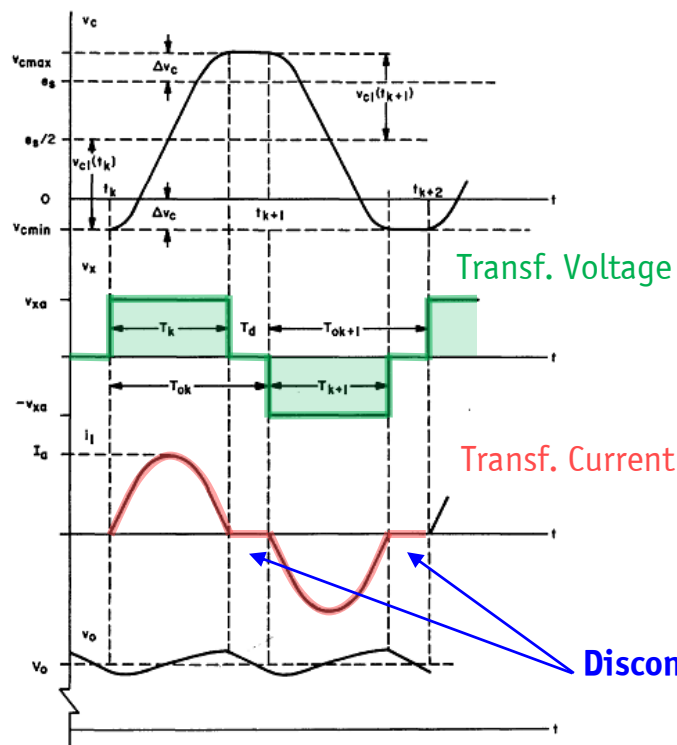
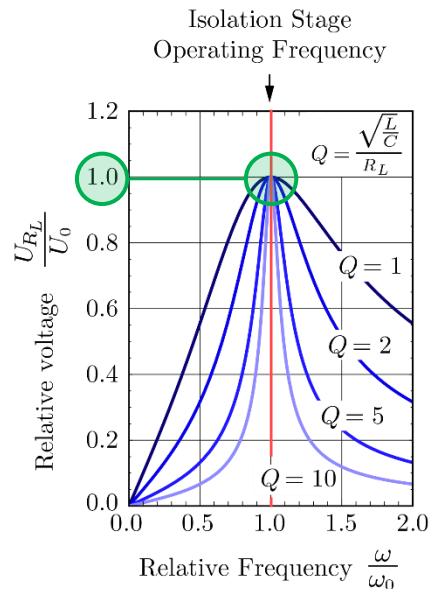


Fig. 4. Alternative simplified schematic of a controllable and load-insensitive series capacitor dc converter with transfer of inductive energy to the load.

[Schwarz1970]  
[McMurray1971]

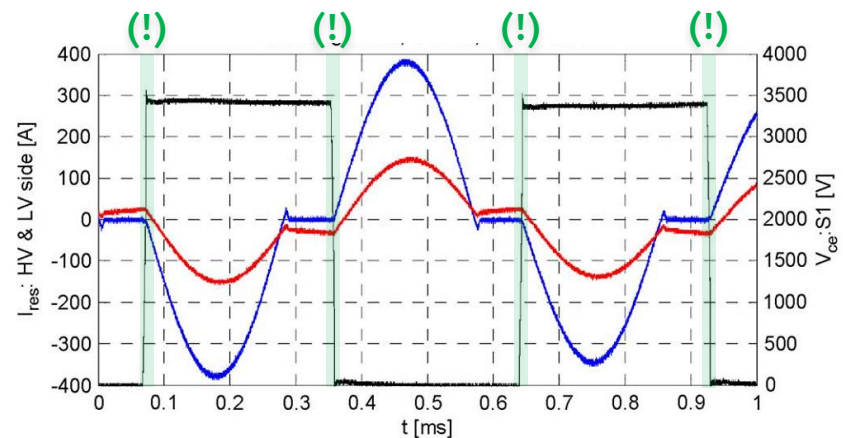
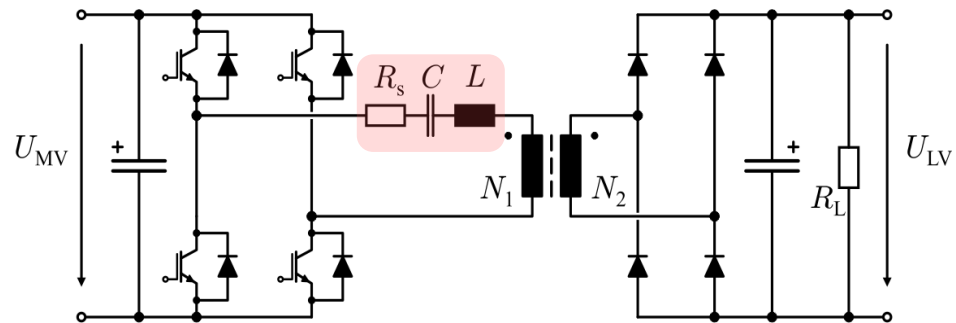
## ► Half-Cycle Discont.-Cond.-Mode Series-Res.-Conv. (HC-DCM SRC)

- Operating Principle: **Resonance Frequency  $\approx$  Switching Frequency  $\rightarrow$  Unity Gain**



- The Input/Output Voltage Ratio is Close to Unity, **Independent** of Power Transfer

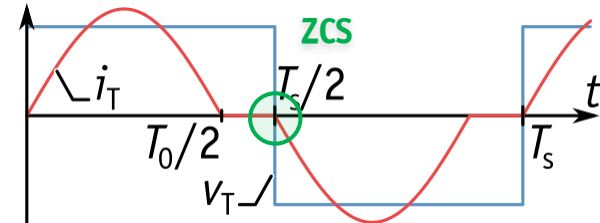
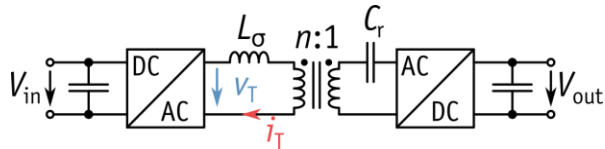
**ZCS of All Devices** ►



Img.: [Zhao2014]

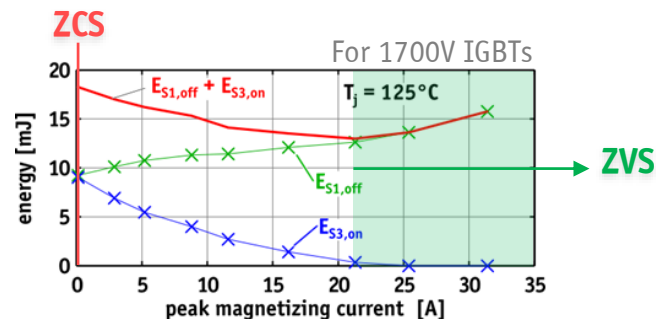
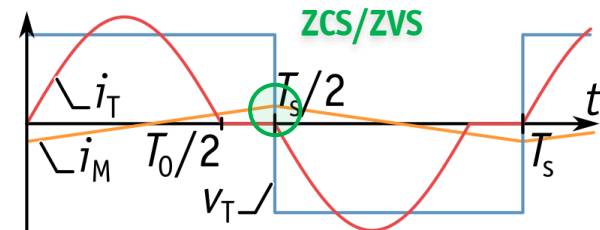
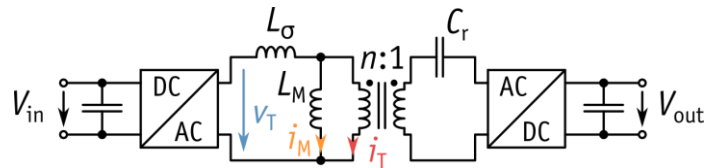
## ► HC-DCM SRC Switching Transitions

### ■ Zero Current Switching (ZCS) For All Transitions



### ■ Load-Independent Zero Voltage Switching (ZVS)

Using The Magnetizing Current

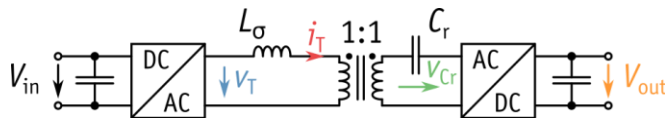


### ■ Loss Optimization With Magnetizing Current and Interlock Time

### ■ Example: 1700V IGBT

Further Reading: ETH / [Huber2013a]  
ETH / [Ortiz2013a]

## ► HC-DCM SRC: “DC Transformer” Behavior



- Source Bridge → Actively Switched Only
- Sink Bridge → Passive Operation (Diodes)

### ■ Ideal (Lossless Components)

→ Steady State:  $V_{out} = V_{in}$

### ■ Real

→ Steady State:  $V_{out} \approx V_{in}$   
(Deviation Due to Losses)

→ Tight Coupling of DC Input  
and Output Voltages

► Acts as “DC Transformer” with  
Certain Dynamics!

► No Control Possible/Required!

### ■ Steady State 1

$$\hat{i}_{T,1} = \frac{\hat{v}_{Cr,0,1}}{Z_0}$$

$$V_{out} = V_{in}$$

### ■ Disturbance

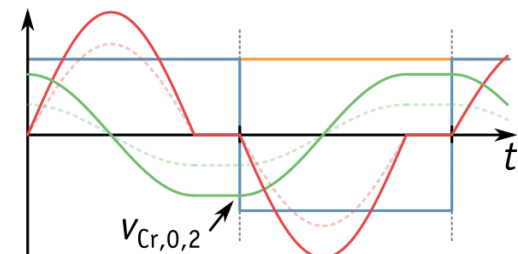
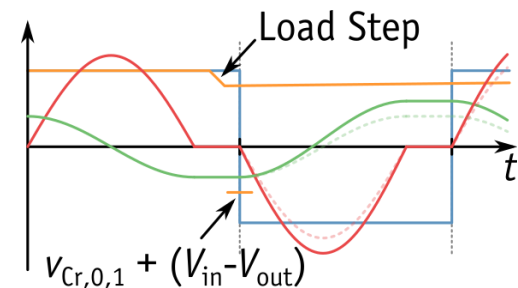
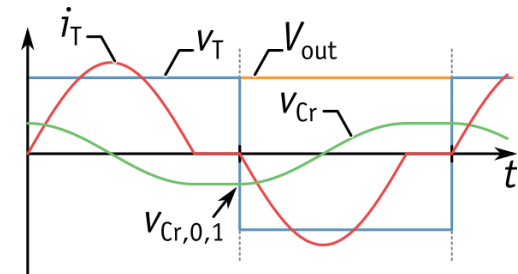
$$V_{out} \neq V_{in}$$

→ Add. Excit. Volt.

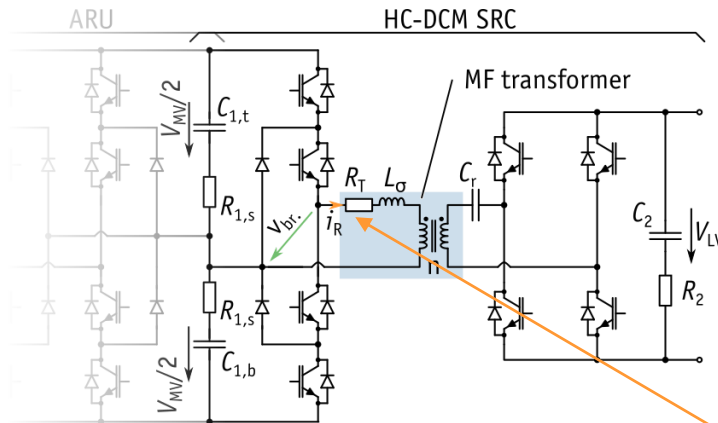
### ■ Steady State 2

$$\hat{i}_{T,2} = \frac{\hat{v}_{Cr,0,2}}{Z_0}$$

$$V_{out} = V_{in}$$

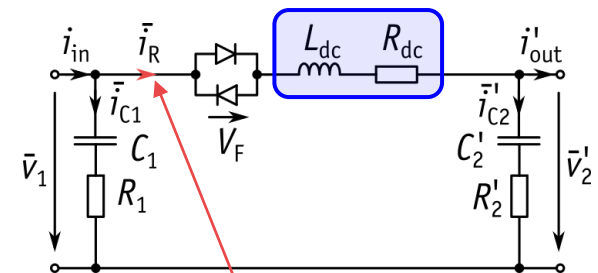


## ► HC-DCM SRC Dynamic Modeling of Terminal Behavior



### ■ Dynamic Equivalent Circuit

- Modeling of Terminal Behavior
- Based on Local Average Current,  $\bar{i}_R$



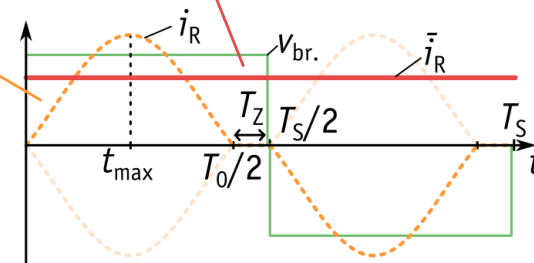
### ■ How to Choose Eq. Circ. Element Values?

- $R_{dc}$  (Equal RMS Losses):

$$\bar{i}_R^2 R_{dc} \stackrel{!}{=} \tilde{i}_R^2 R_{total} \Rightarrow R_{dc} = \frac{\tilde{i}_R^2}{\bar{i}_R^2} R_{total} = \beta^2 R_{total}$$

- $L_{dc}$  (Equal Stored Energy):

$$\bar{i}_R^2 L_{dc} \stackrel{!}{=} \tilde{i}_R^2 L_{\sigma} \Rightarrow L_{dc} = \frac{\tilde{i}_R^2}{\bar{i}_R^2} L_{\sigma} = \alpha^2 L_{\sigma}$$



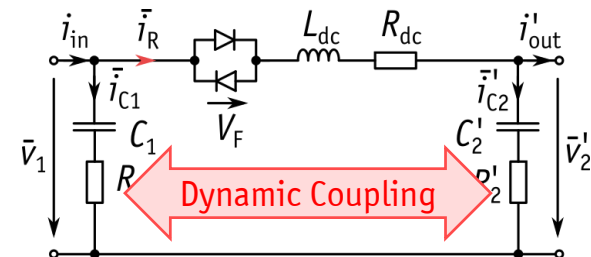
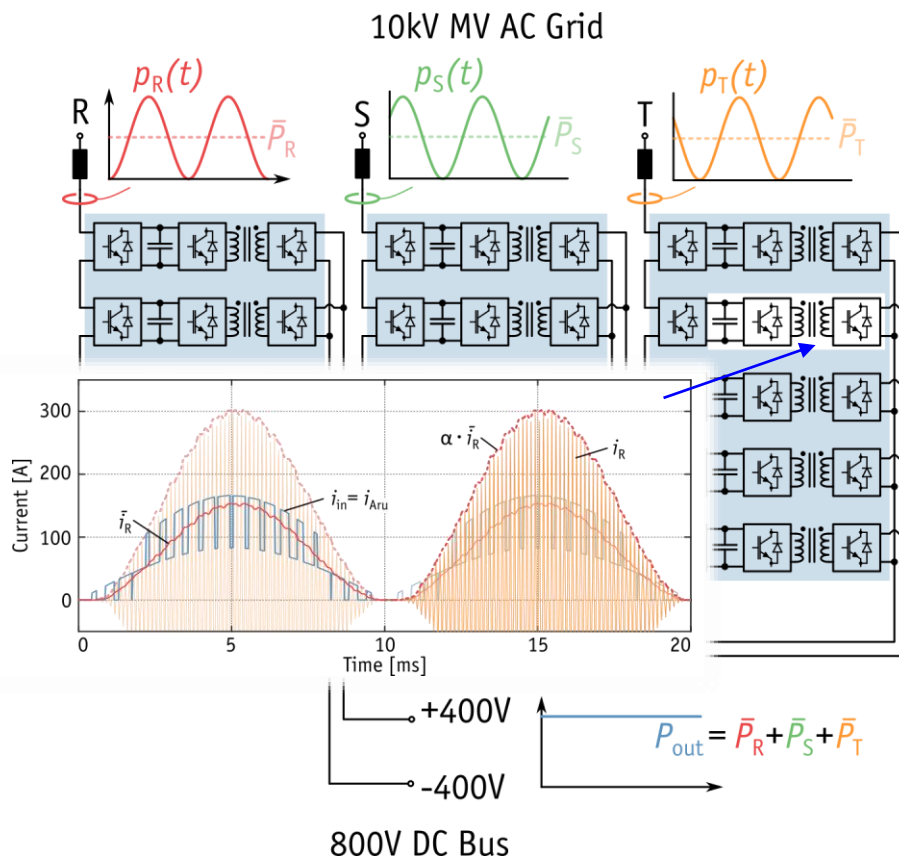
- For Piecewise Sinusoidal Current:

$$\alpha = \frac{\pi}{2} \cdot \frac{f_0}{f_s} \quad \beta^2 = \frac{\pi^2}{8} \cdot \frac{f_0}{f_s}$$

Further Reading: [Esser1991], [Steiner2000], ETH / [Huber2015]

## ► Again: Power Flows in Phase-Modular SSTs

- MV: 100 Hz (120 Hz) **Power Fluctuation** in Single-Phase Systems



- HC-DCM SRC **Dynamics**
  - MV DC Volt.: 100 Hz Fluct.
  - LV DC Volt.: Constant

### → Transmission of Full Single-Phase Power Fluctuation!

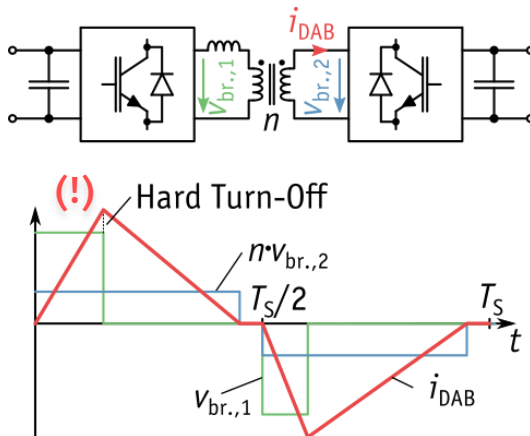
- **Higher RMS Current (23%)** in Transformer and DC-DC Switches
- Appropriate Dimensioning

- LV: **Constant Power** Behavior of Three-Phase Systems

Further Reading: ETH / [Huber2015]

## ► Realization Options for DC/DC Converters in SST Cells

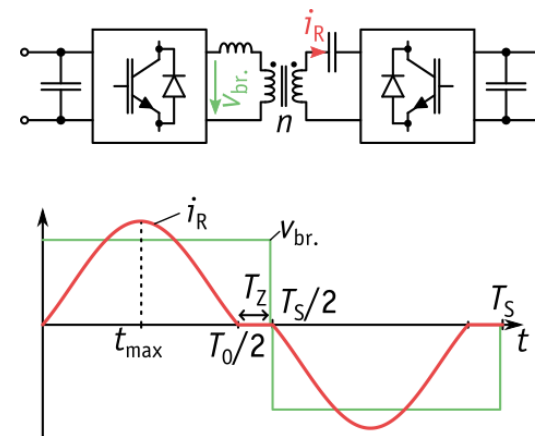
### ■ Dual Active Bridge (DAB)



### ■ Can (Must!) Be Fully Controlled

- Arbitrary Choice in Losses  $\leftrightarrow$  Capacitor Volume Trade-Off
- Potentially Lower RMS Currents

### ■ Half-Cycle Discont.-Conduction-Mode SRC (HC-DCM SRC)



### ■ Does Not Have To (Can Not!) Be Controlled (!)

- Reduces Complexity in Multi-Cell Systems
- Ensures MV Side Voltage Balancing

### ► Predominant Solution in Multi-Cell SSTs!



# Challenge #5/11

## MF Transformer Design

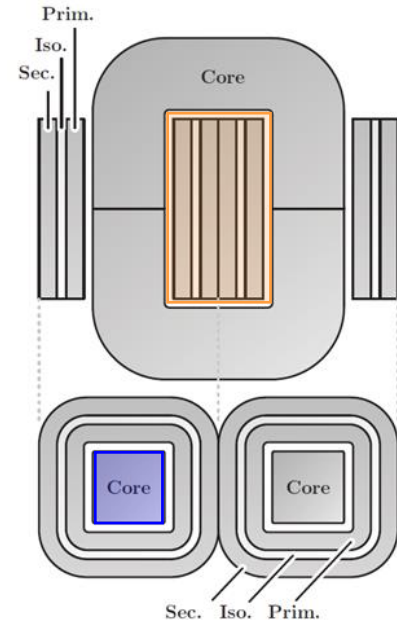
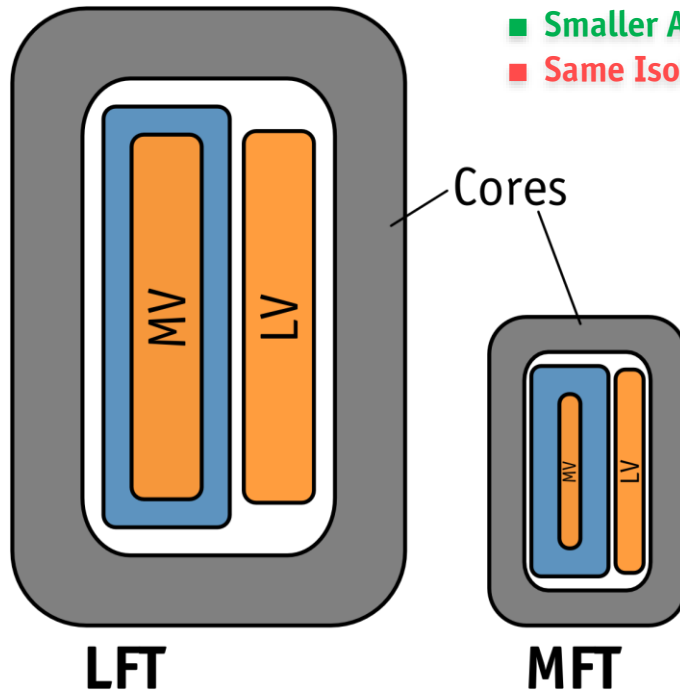
*Transformer Types*  
*Litz Wire Issues*

## ► General Challenge of MF Transformers

- Higher Operating Frequency
- Lower Unit Power Rating

$$A_{\text{Core}} A_{\text{Wdg}} = \frac{\sqrt{2}}{\pi} \frac{P_t}{k_W J_{\text{rms}} \hat{B}_{\text{max}} f}$$

- Smaller Active Volume
- Same Isolation Voltage (!)

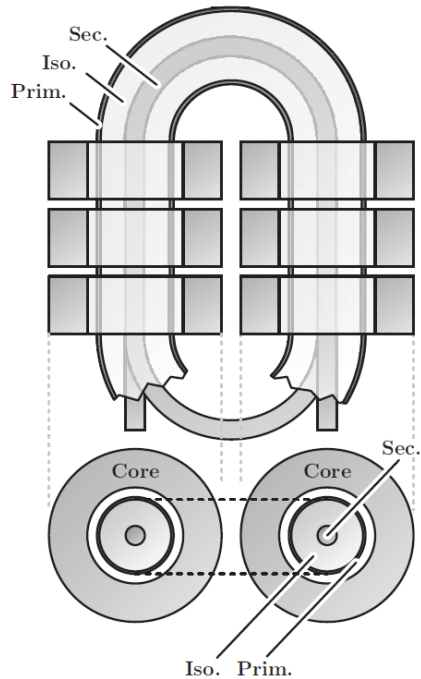


### MV Winding Cooling Through Isolators

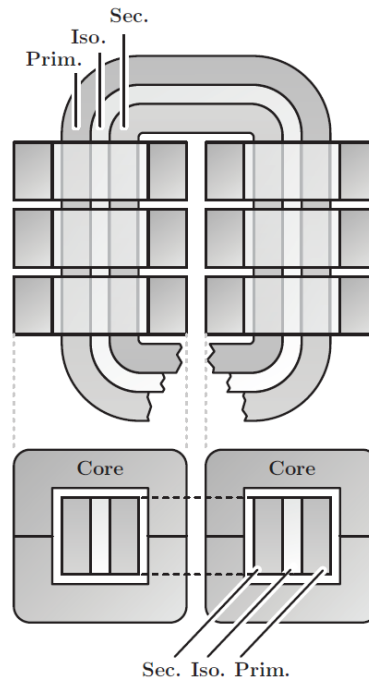
- Solid Isolators → Bad Thermal Conductors
- Isolation vs. Cooling Trade-Off
- Oil = Coolant And Isolator (!)

## ► MF Transformer Design – Transformer Types

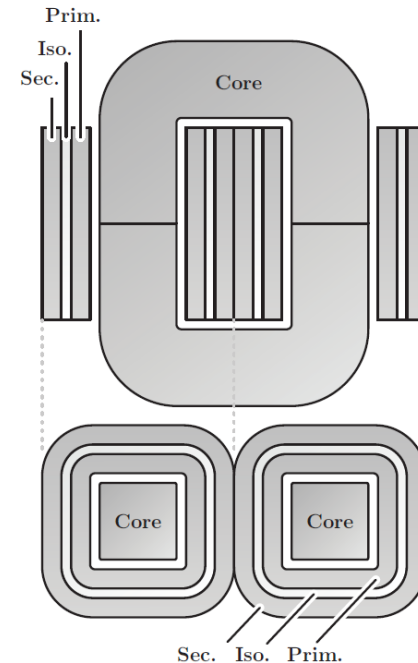
### ■ Main Transformer Types as Found in Literature



Coaxial Cable



Shell-Type



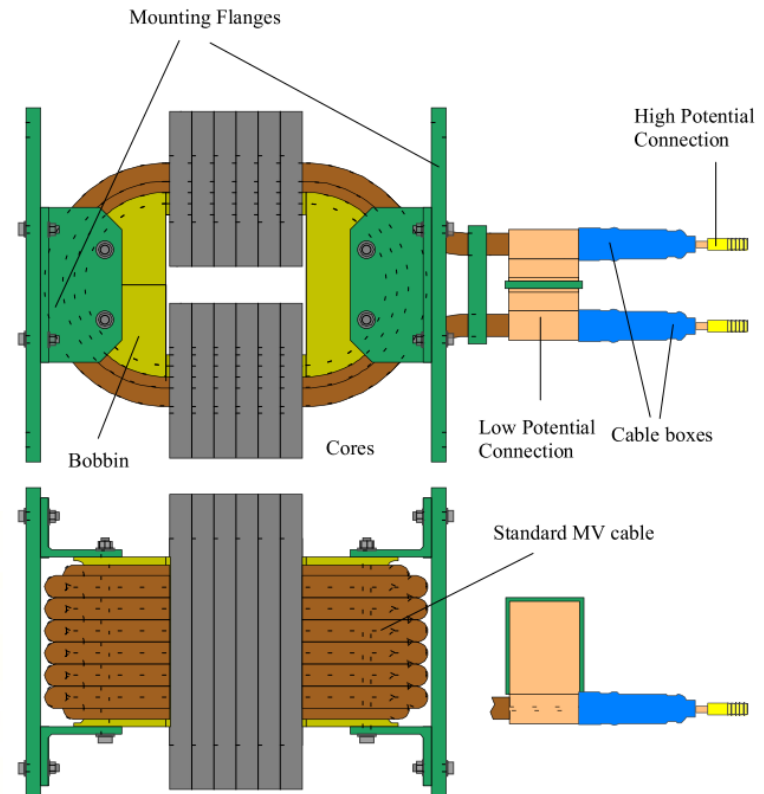
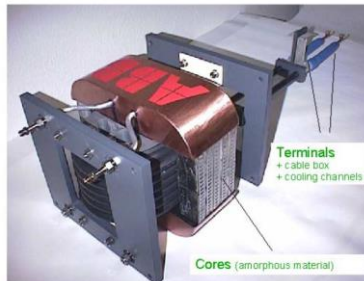
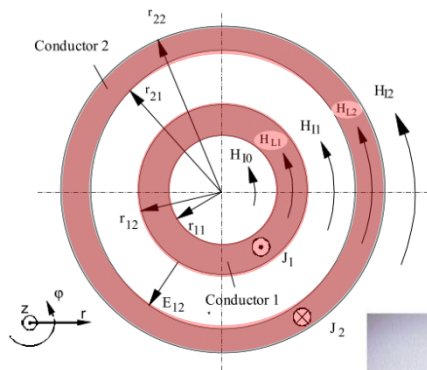
Core-Type

- Transformer Construction Types Very Limited by Available Core Shapes in this Dimension Range
- Shell-Type has Been Favored Given Its Construction Flexibility and Reduced Parasitic Components

## ► MF Transformer Examples (1)

### ■ Coaxial Cable Winding

- Extremely Low Leakage Inductance
- Reliable Isolation due to Homog. E-Field
- Low Flexibility on Turns Ratio (1:1)
- Complex Terminations



- Heinemann (ABB, 2002)  
[Heinemann2002]

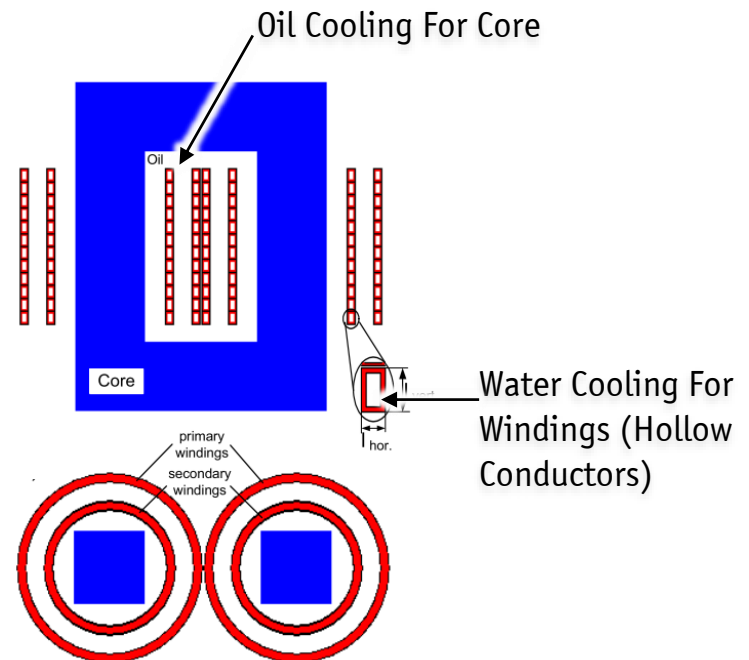
## ► MF Transformer Examples (2)

### ■ Coaxial Windings – Core Type

- Tunable Leakage Inductance
- More Complex Isolation
- Total Flexibility on Turns Ratio
- Simple Terminations



- Hoffmann (2011)  
[Hoffmann2011]

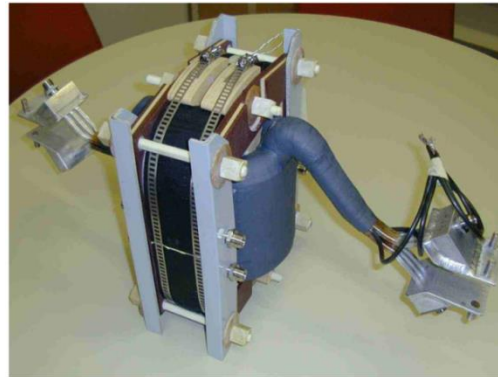


## ► MF Transformer Examples (3)

### ■ Coaxial Windings – Shell Type

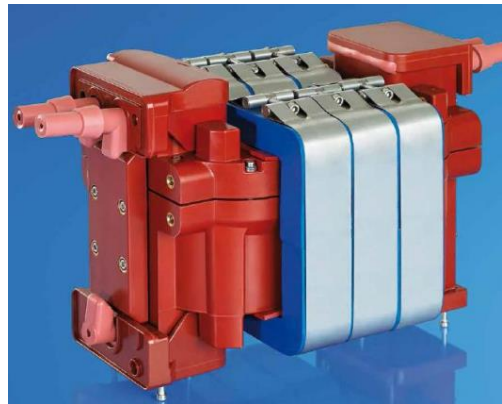
- Tunable Leakage Inductance
- More Complex Isolation
- Total Flexibility on Turns Ratio
- Simple Terminations

- Steiner (2007)  
[Steiner2007]



- 8kHz, 350kW
- Water Cooling (Hollow Conductors)
- Isolation for 33kV

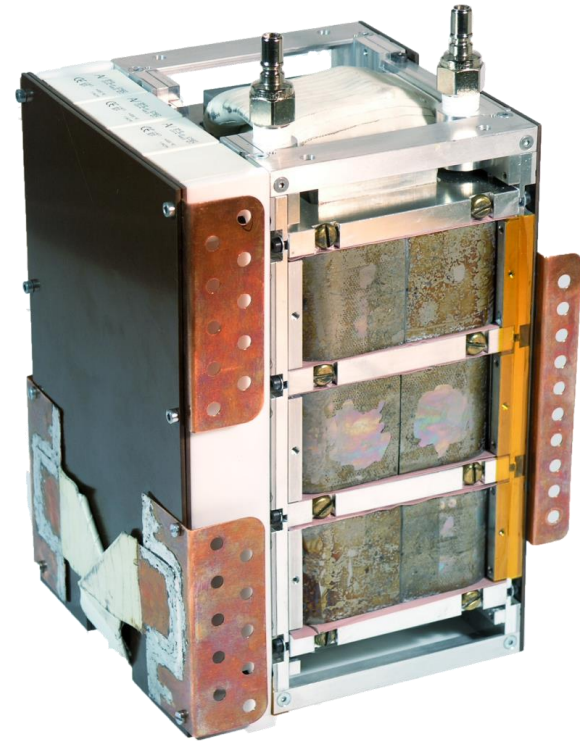
- STS (2014)  
[www.sts-trafo.com](http://www.sts-trafo.com)



- 8kHz, 450kW, 50kg
- Efficiency: 99.7%
- Dry-Type / Liquid Isol.  
(34.5kV)

## ► **ETH *MEGACube*: Water-Cooled Nanocrystalline Core Transformer**

- Power Rating            166 kW
- Losses                    0.88 kW
- Efficiency                99.5 % (Meas.)
- **Power Density**        **32.7 kW/dm<sup>3</sup>**
- ETH / Ortiz, Leibl (2013)

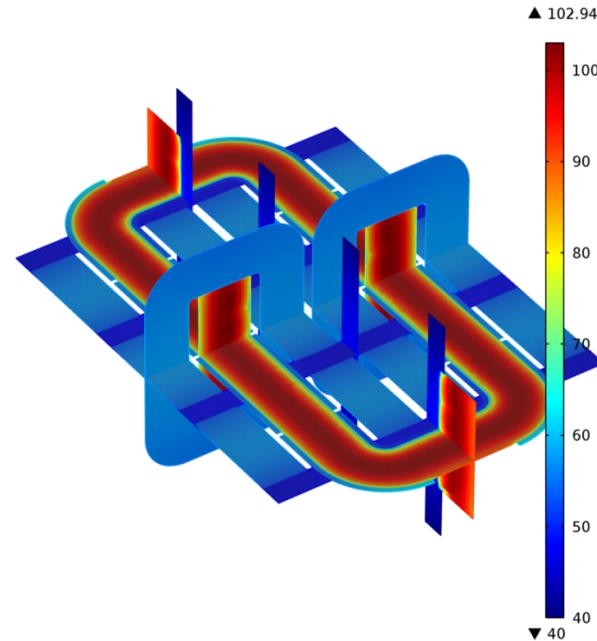
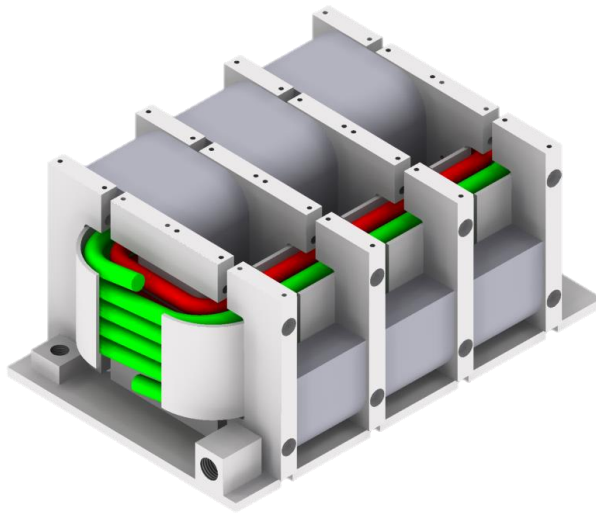


166kW / 20kHz Water-Cooled  
Nanocrystalline Core Transformer ►

ETH / [Ortiz2013b]

## ► ETH MEGACube: MF Transformer Design – Cold Plates / Water Cooling

- Nanocrystalline 166kW/20kHz Transformer

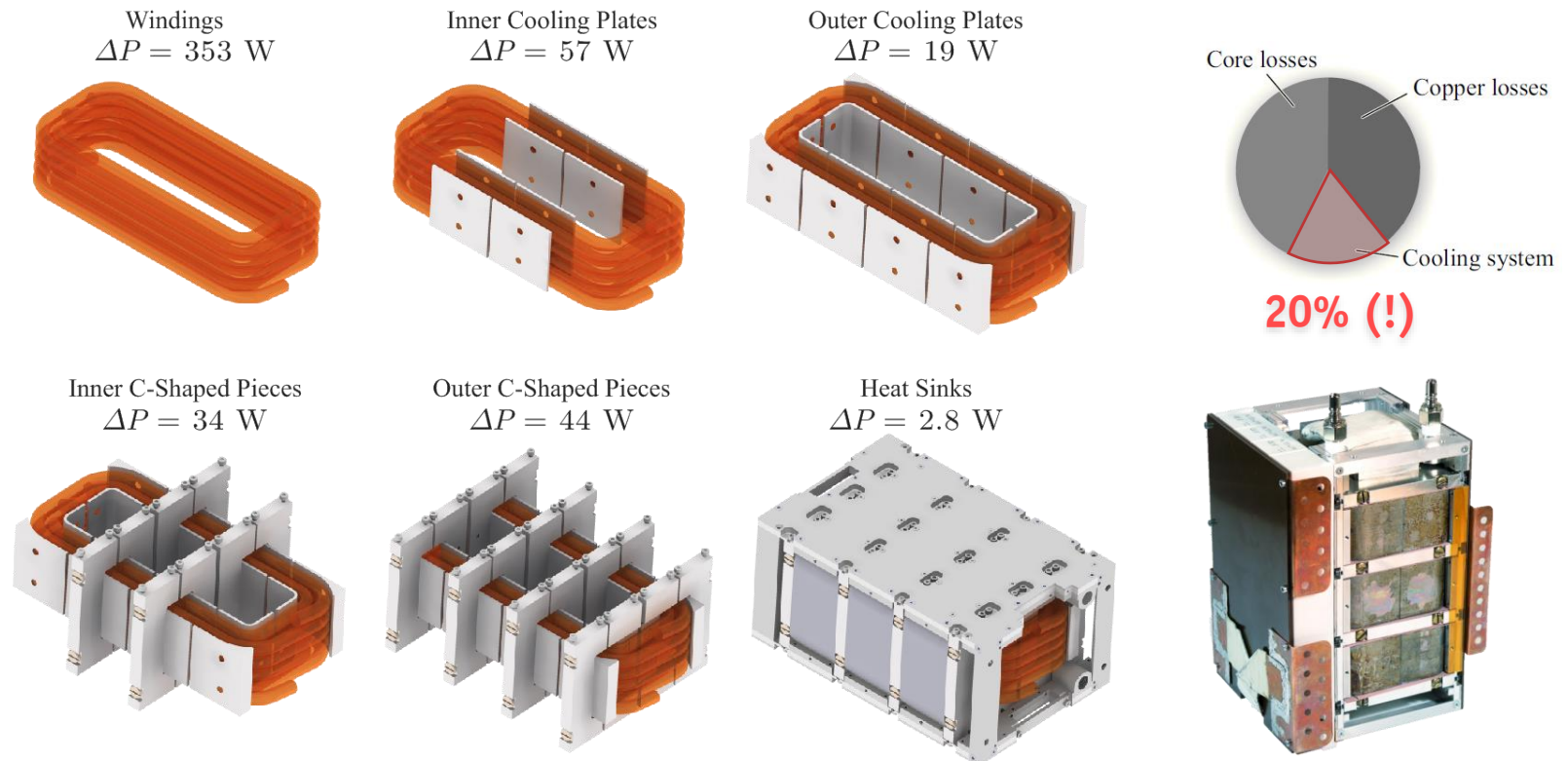


- Combination of **Heat Conducting Plates** and Top/Bottom **Water-Cooled Cold Plates**
- FEM Simulation Comprising Anisotropic Effects of Litz Wire and Tape-Wound Core

ETH / [Ortiz2013b]

## ► ETH MEGACube: MF Transformer Design – Cold Plates / Water Cooling

### ■ Nanocrystalline 166kW/20kHz Transformer



■ Losses Generated in Internal Cooling System Amount to ca. **20%** of Total Transformer Losses

ETH / [Ortiz2013b]

## ► Anecdote: Litz Wire Issues

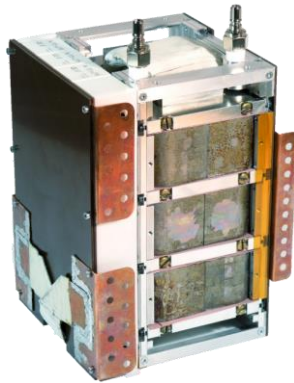
- Case Study: Litz Wire with 10 Sub Bundles and 9500 x 71 $\mu$ m Strands in Total



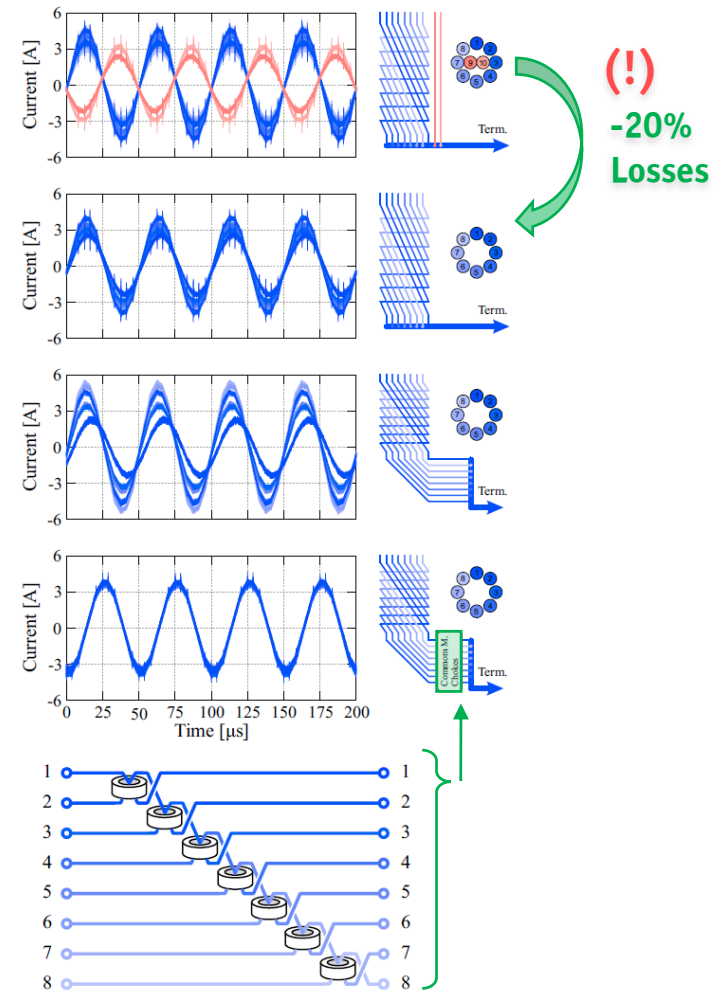
- Unequal Current Sharing Between Sub Bundles

- Flawed Interchanging Strategy

- Influence of Terminations



- Common-Mode Chokes for Forcing Equal Current Sharing



ETH / [Ortiz2013b]

# Coffee

*Break*



# Challenge #6/11

## Isolation Coordination

*Isolation Barrier Positioning  
Mixed-Frequency Stress*

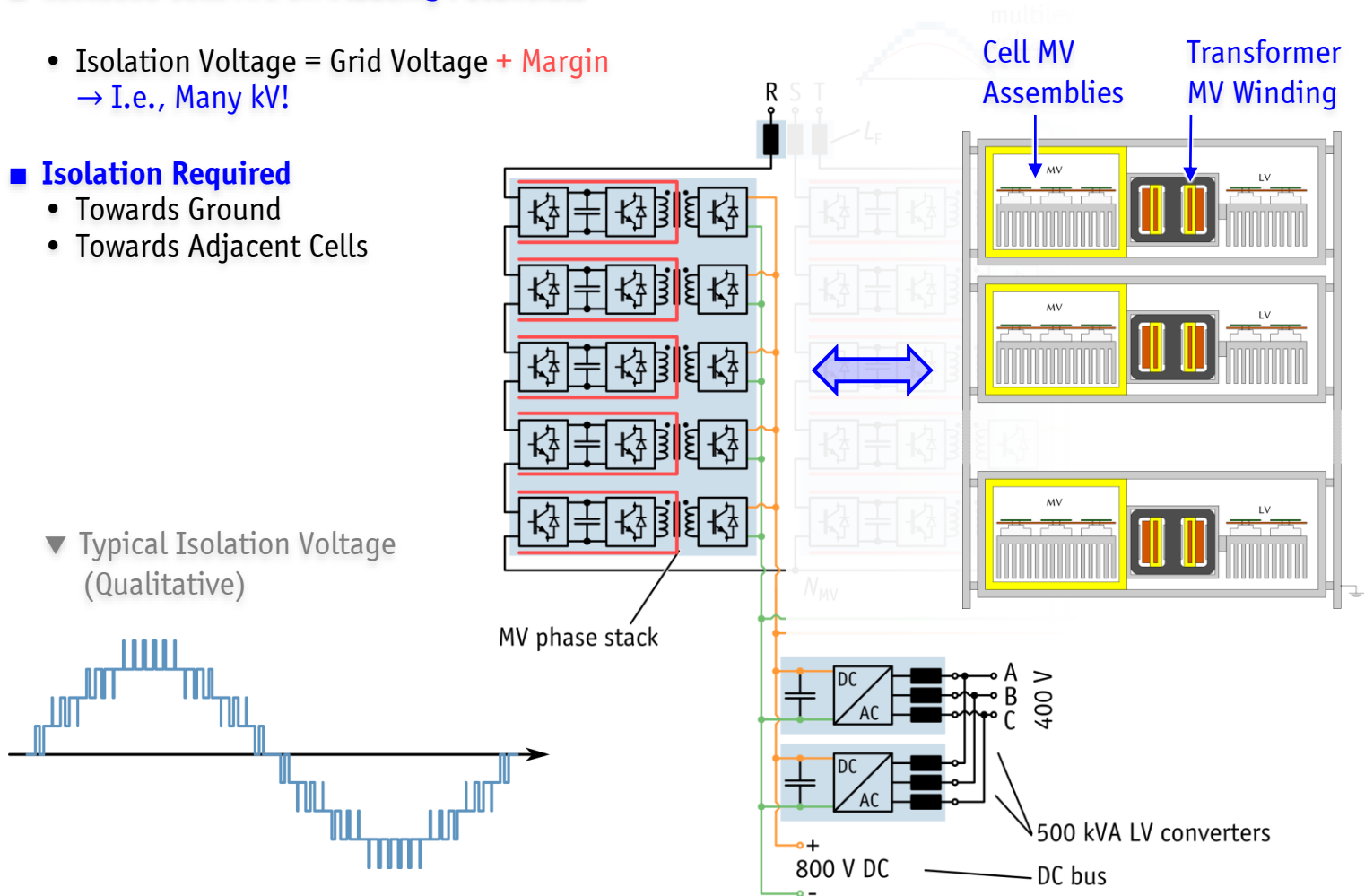
## ► Isolation Barriers In A Multi-Cell SST

### ■ Cascaded Cells Are On **Floating Potentials**

- Isolation Voltage = Grid Voltage + **Margin**  
→ I.e., Many kV!

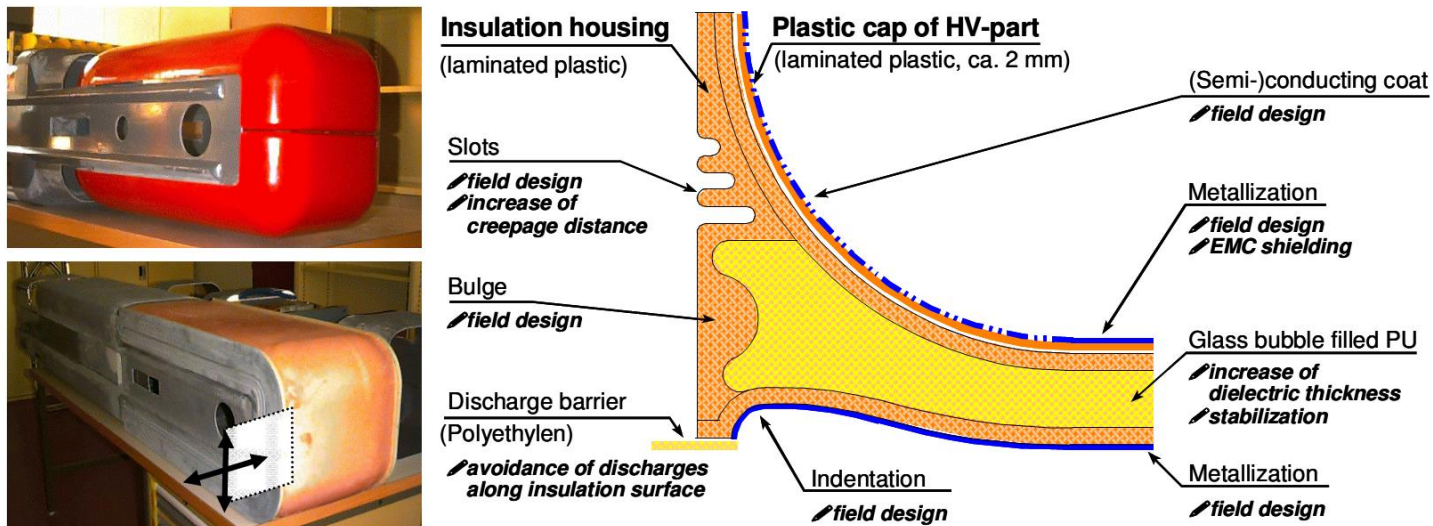
### ■ **Isolation Required**

- Towards Ground
- Towards Adjacent Cells



## ► Example: Isolation Coordination of Cascaded Cells' MV Part

- Components on MV Potential (e.g., Heat Sink)
- Isolation Towards Cabinet Required
- Field Grading to Avoid Partial Discharges, etc.



[Steiner2007]

# Challenge #6/11

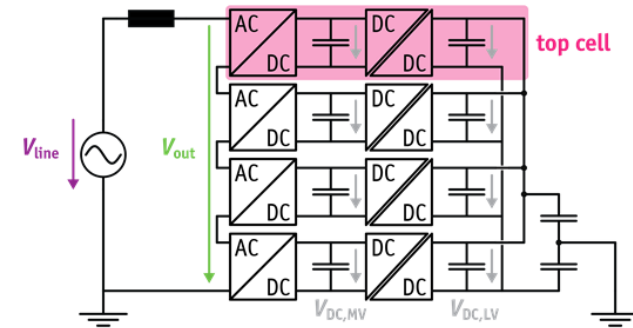
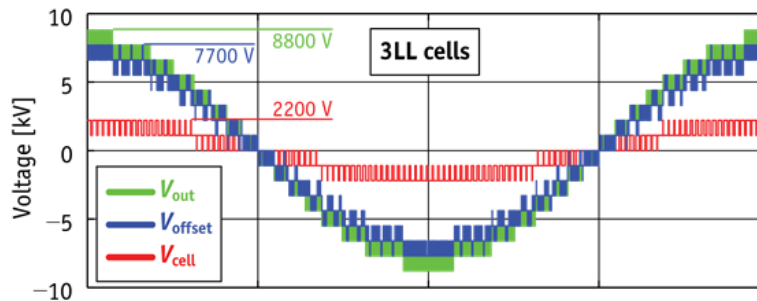
## Isolation Coordination

*Isolation Barrier Positioning*  
**Mixed-Frequency Stress**

## ► Mixed-Frequency Electrical Field Stress

### ■ Combined Electrical Field Stress

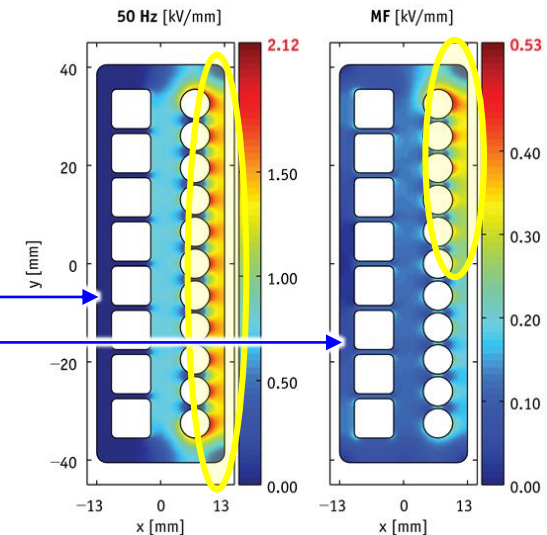
- Large DC or Low-Frequency Component
- Smaller Medium-Frequency Component



- Known From Machine Isolation Systems
- Physical Breakdown & Ageing Mechanisms Are **Unclear**

- 50Hz Stress Common-Mode
- MF Stress Differential-Mode (Mostly)

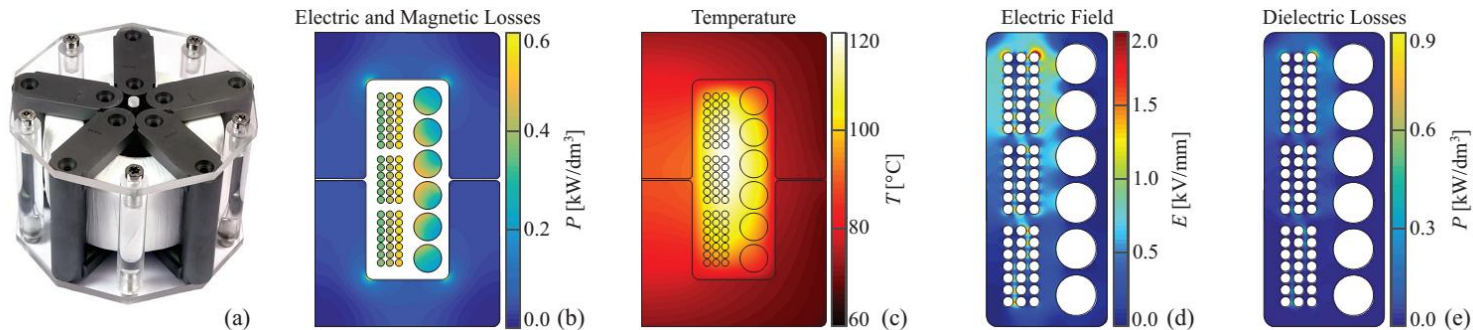
→ Degree of Freedom To Optimize Isolation System!



Further Reading: ETH / [Guillod2014]

## ► Mixed-Frequency Electrical Field Stress: Dielectric Losses

- Dielectric Losses Depend on the Frequency  $P(\vec{x}) \propto f \cdot E(\vec{x})^2$
  - Example: HV-SiC DC/DC Converter:
    - 25kW
    - 8kV
    - 50kHz ← (!)
- ↖ Danger of Local Hotspots



- **Dielectric Losses In Epoxy Isolation: 16% of Total Transformer Losses**
  - Reduced Efficiency
  - Increased Hot-Spot Temperature
  - Accelerated Aging (?)
- Careful Choice of Isolation Material is Essential (Field Strength/Thermal Cond./Dielectric Losses)

Further Reading: Upcoming ETH Pub. by T. Guillod.

# Challenge #7 /11

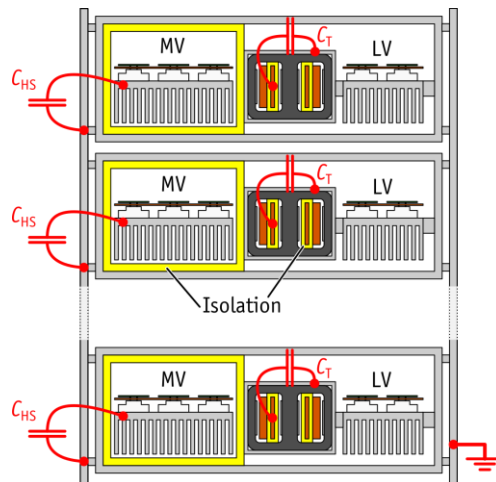
## EMI

---

*Common-Mode Ground Currents*  
*EMI Limits*

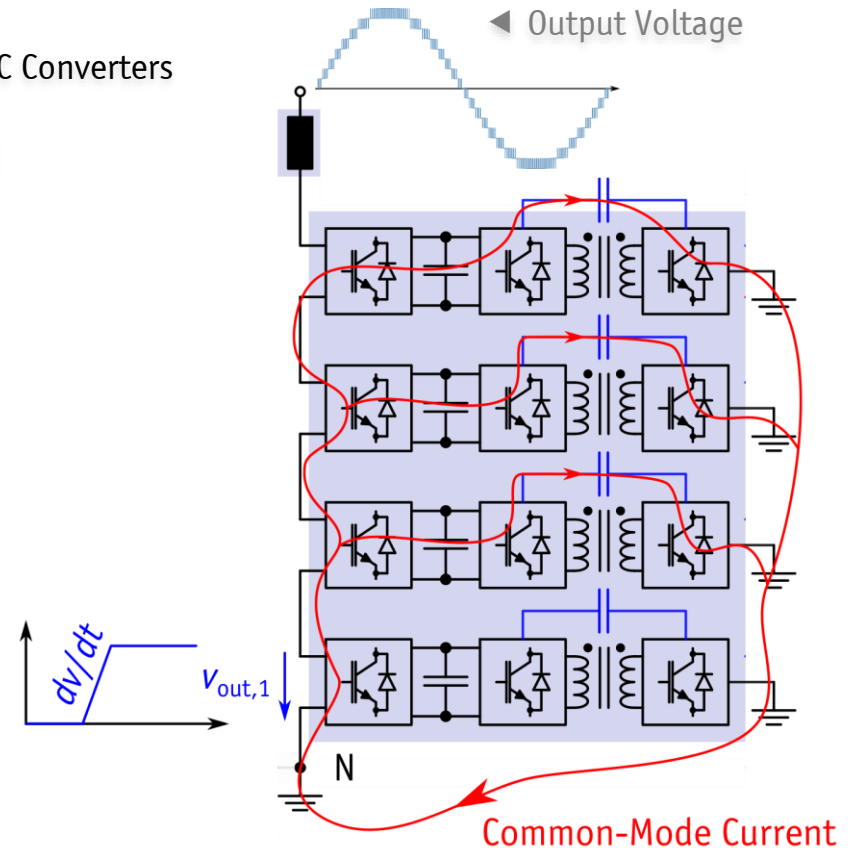
## ► CM Ground Currents: Basic Problem Description

- Considering One Phase Stack Including the DC/DC Converters
- Parasitic Capacitances Between Cells and Ground



- Switching Action in One Cell Moves All Cells At Higher Stack Positions In Potential

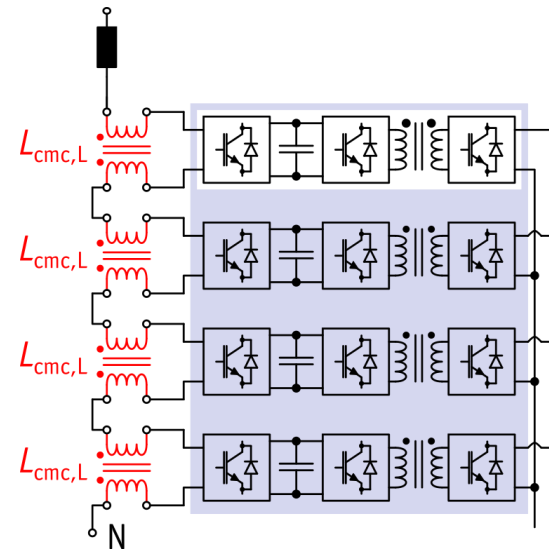
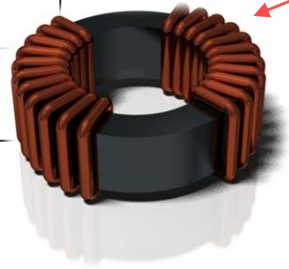
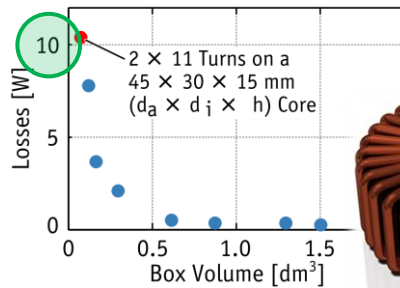
- Charging Currents:  $i = C \, dv/dt$
- CM Oscillations With Parasitic Inductances!



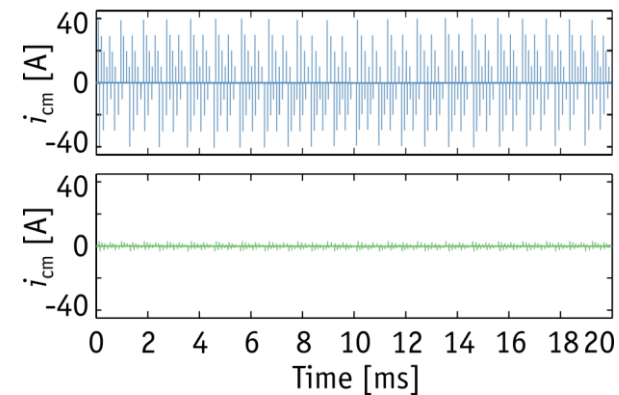
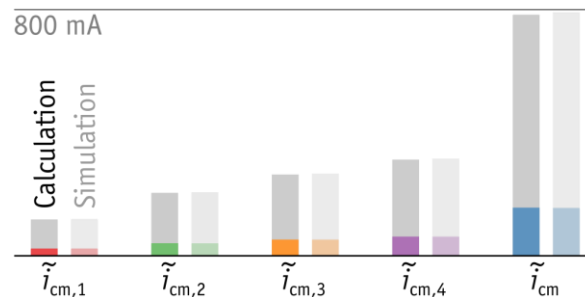
## ► CM Ground Currents: Countermeasure

### ■ Placing **Common-Mode Chokes At Cells' AC Inputs**

- Low Effort (Losses, Volume)



### ■ Simulations With/Without CM Chokes



### ■ Outlook: Higher $dv/dt$ With **SiC!?**

Further Reading: ETH / [Huber2014a]

# Challenge #7/11

## EMI

---

*Common-Mode Ground Currents*  
**EMI Limits**

## ► Grid Harmonics and EMI Standards

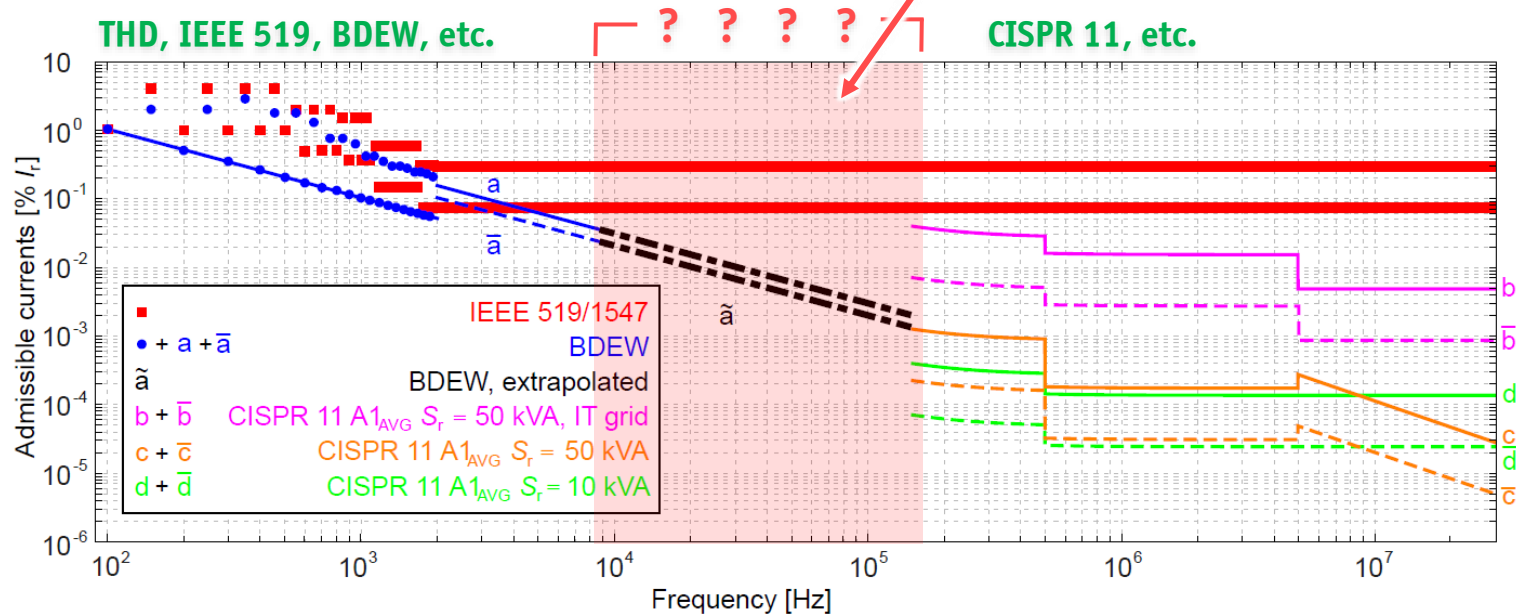
### ■ Medium Voltage Grid Considered Standards

- IEEE 519/1547
- BDEW
- CISPR (?)

- Limits for CM Ground Currents?
- Limits for HF Noise?

Unclear Limits!

### ■ Requirements on Switching Frequency and EMI Filtering



ETH / [Burkart2012]

# Challenge #8/11

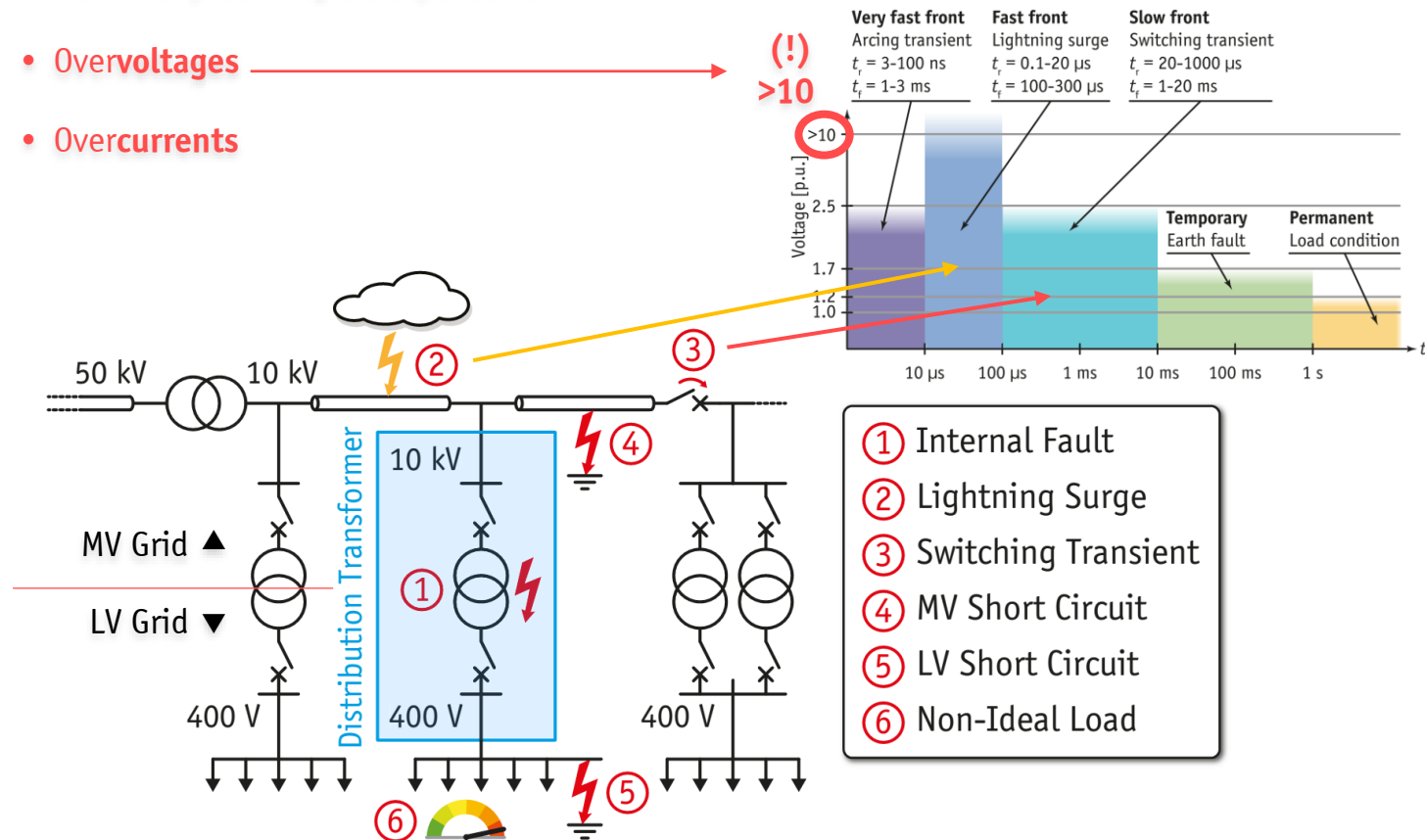
## Protection

*Protection of the SST*

## ► Possible Fault Situations

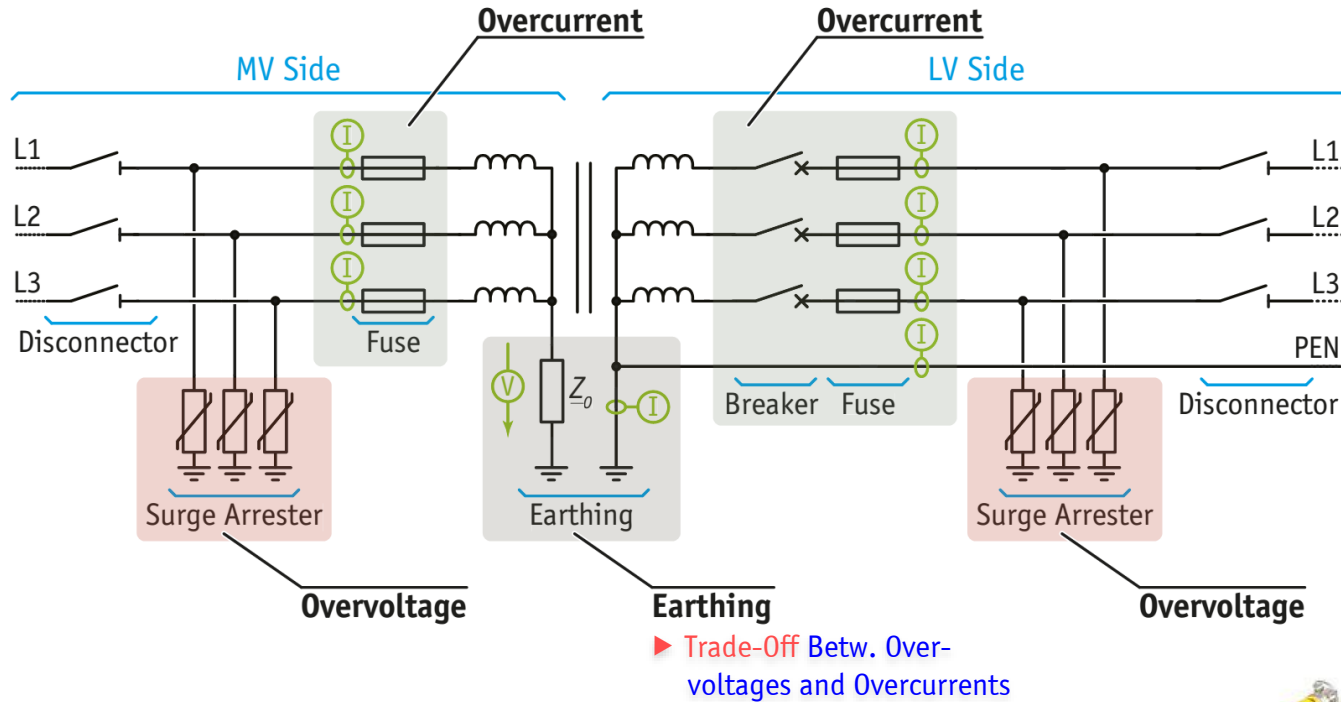
### ■ Transformer / SST May Be Exposed To

- **Overvoltages**
- **Overcurrents**



ETH / [Guillod2015]

## ► Typical LFT Protection Scheme



Img.: ABB

◀ Surge Arresters

LV and MV Fuses ▶



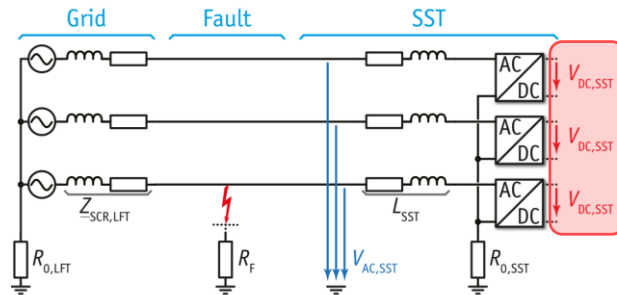
Imgs.: <http://www.openelectrical.org/>



ETH / [Guillod2015]

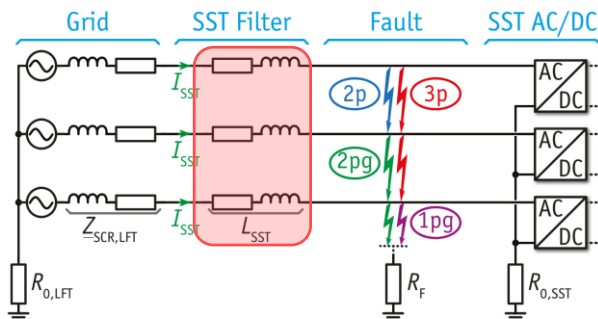
## ► MV Side SST Protection (1)

### ■ Grid Short Circuit



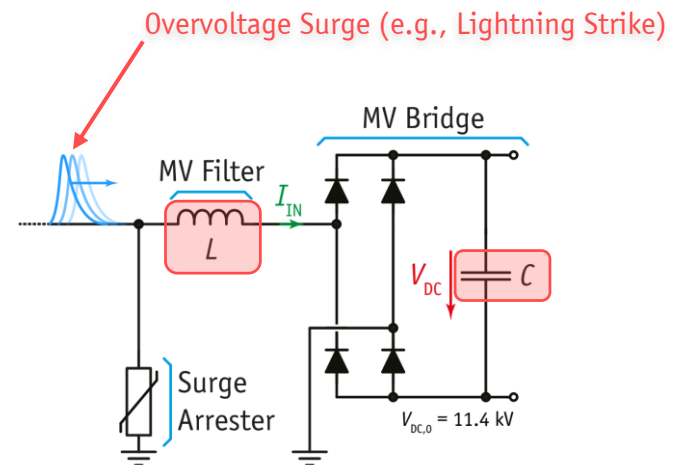
- Phase-To-Phase Voltage (!) Must Be Blocked → DC Capacitor Volt. Ratings!

### ■ SST Short circuit



- Current Limiting:  
(SCR > 8%) → Filter Inductor > 8%

### ■ Overvoltage Protection



- Arrester Clamping Voltage Is Still High
- Grounding Scheme: Lower Stress if Unearthed
- Current Limiting → Filter Inductor > 8%
- Energy Absorption in DC Link Capacitors  
→ DC Capacitance Requirement

Further Reading: ETH / [Guillod2015]

## ► MV Side SST Protection (2)

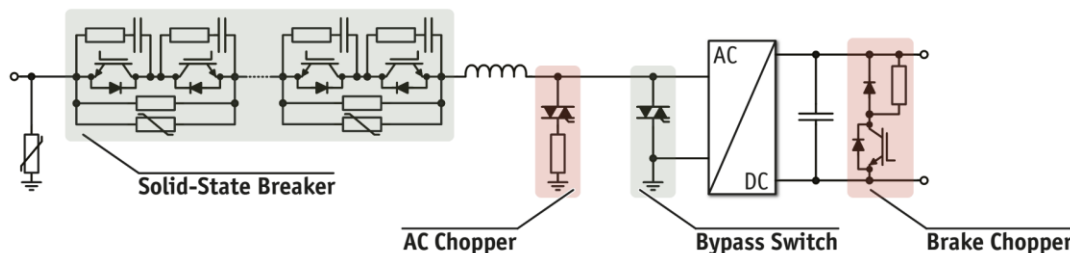
### ■ Protection Considerations Affect SST Design & Limit Performance

#### ■ Example: Boost/Filter Inductor → Low Frequency Magnetic Component (!)

- **Min. 8% pu** (SCR > 8%)
- Critical for Low Power SSTs:  $L_F = 8\% \cdot \frac{V_B^2}{2\pi f \cdot P_N}$
- Creation of “Safe” Environments to Protect Several SSTs at Once → “Swarm Protection”
- **Limits Control Bandwidth** (e.g., Act. Filtering, etc.)
- Volume Reductions Due to Higher Switching Frequencies Might be Limited By Protection Considerations

### ■ Outlook: Advanced Protection Concepts

- E. g., Solid-State Protection



- Reliability?
- Cost?
- Losses?

Further Reading: ETH / [Guillod2015]

# Challenge #9/11

## Control

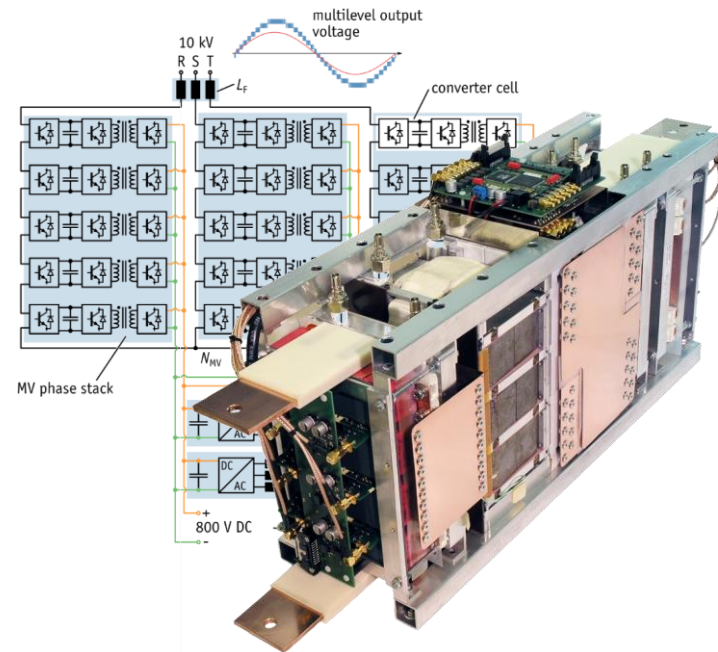
*It's Not Just Passives!*

## ► A SST is Not Just Passives!



Source: <http://www.africancrisis.org>

VS.



- **High Complexity** of SST Control System Compared to Passive Low Frequency Transformers

## ► SST Control System Partitioning

### ■ Very Different Timing Requirements

- IGBT Protection: **μs**
- Grid Transients: **ms to s**

### ■ Feasible Approach: Several Hierarchical Layers

### ■ How To Test?



#### External Ctrl.

- Smart Grid Integration
- Power Flows (P, Q)
- ...

#### Internal Ctrl.

- Current/Voltage Control
- Redundancy Mgmt.
- ...

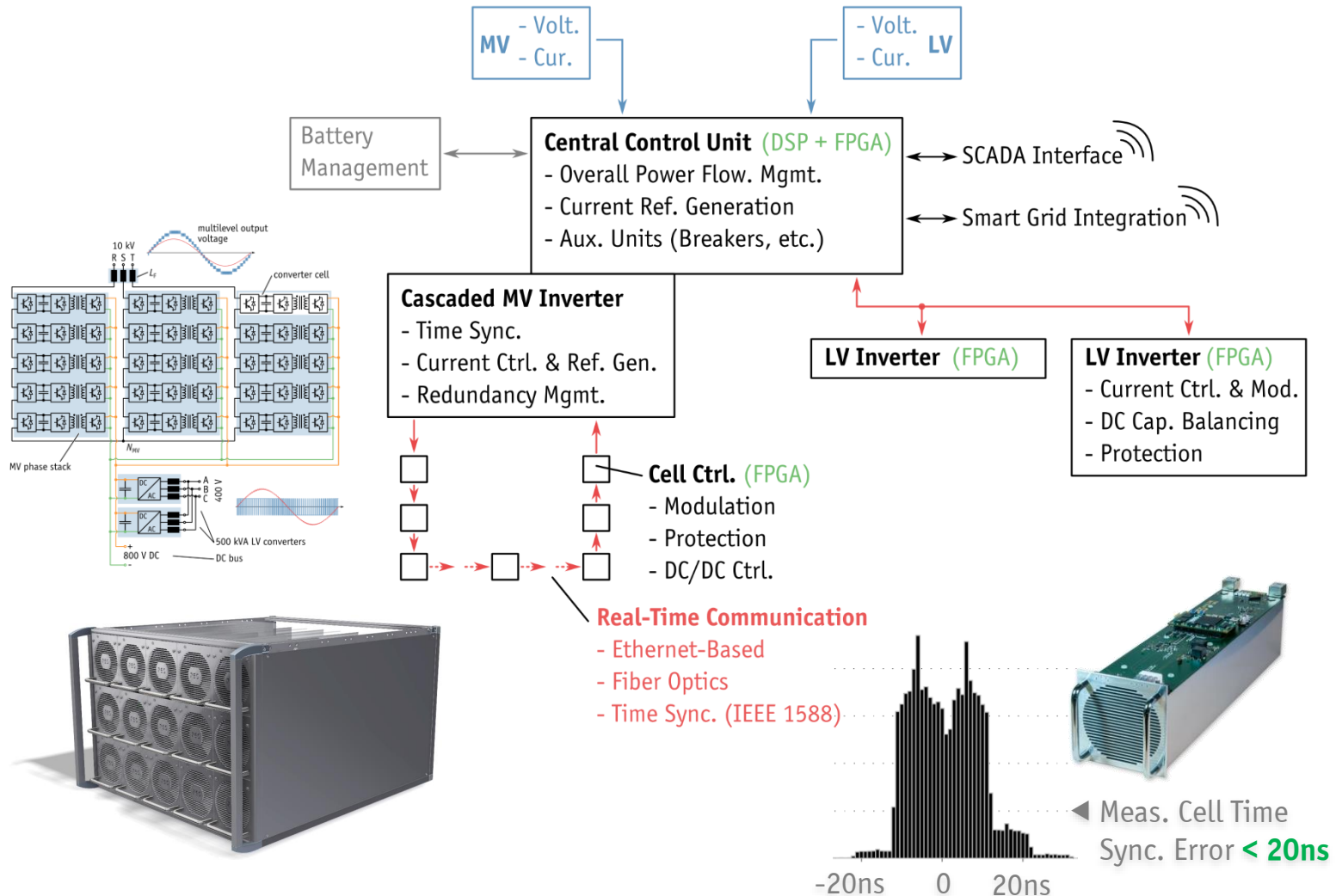
#### (Cell) Internal Ctrl.

- Modulation, Protection
- ...

**SST Power Hardware**

◀ The *miniLINK*  
Lab-Scale Full SST Demonstrator  
15kVA, 400V<sub>AC</sub> ↔ 800V<sub>DC</sub> ↔ 400V<sub>AC</sub>

## ► Example of SST Control System Partitioning



# Challenge #10/11

## Construction of Modular Converter Systems

*From Conceptualization to  
Realization*

## ► From Conceptualization to Realization (1)

### ■ Actual Realization of a Modular MV Converter Systems → Complex Task

- Isolation Coordination
- Cooling
- Control & Communication
- Hot-Swap

- Auxiliary Supply
- Mechanical Assembly
- etc., etc.

PCIM Europe 2015, 19 – 21 May 2015, Nuremberg, Germany

#### Integration Technologies for a Fully Modular and Hot-Swappable MV Multi-Level Concept Converter

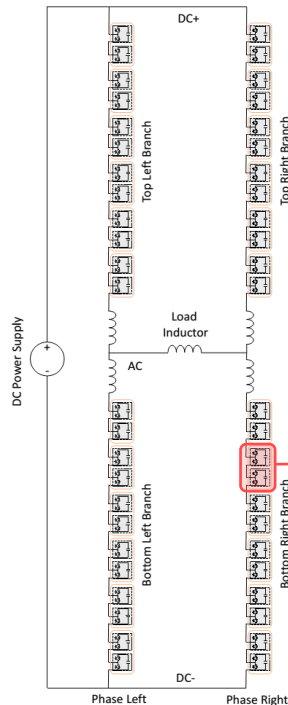
Didier Cottet, Wim van der Merwe, Francesco Agostini, Gernot Riedel, Nikolaos Oikonomou, Andrea Rüetschi, Tobias Geyer, Thomas Gradinger, Rudi Velthuis, Bernhard Wunsch, David Baumann, Willi Gerig, Franz Wildner, Vinoth Sundaramoorthy, Enea Bianda, Franz Zurluh, Richard Bloch, Daniele Angelosante, Dacley Dzung, ABB Switzerland Ltd., Corporate Research, 5405 Baden-Dättwil, Switzerland  
Tormod Wien, Anne Elisabeth Vallestad, Dalimir Orfanus, Reidar Indergaard, Harald Vefling, Arne Heggelund, ABB Norway Ltd., Corporate Research, 1375 Billingstad, Norway  
Jonathan Bradshaw, DPS Ltd., Auckland 1010, New Zealand  
Contact: didier.cottet@ch.abb.com

> 25 Authors (!)

### ■ Example: MV Modular Multilevel Converter Presented by **ABB** (2015)

[Cottet2015a]

[Cottet2015b]



#### ◀ 2 Single-Phase MMC in Back-to-Back Configuration



◀ 1kV, 600A Cell



PEBB



◀ 48 Cells

converter

Imgs.: W. van der Merwe

## ► From Conceptualization to Realization (2)

### ■ Actual Realization of a Modular MV Converter Systems → Complex Task

- Isolation Coordination
- Cooling
- Control & Communication
- Hot-Swap

- Auxiliary Supply
- Mechanical Assembly
- etc., etc.

PCIM Europe 2015, 19 – 21 May 2015, Nuremberg, Germany

#### Integration Technologies for a Fully Modular and Hot-Swappable MV Multi-Level Concept Converter

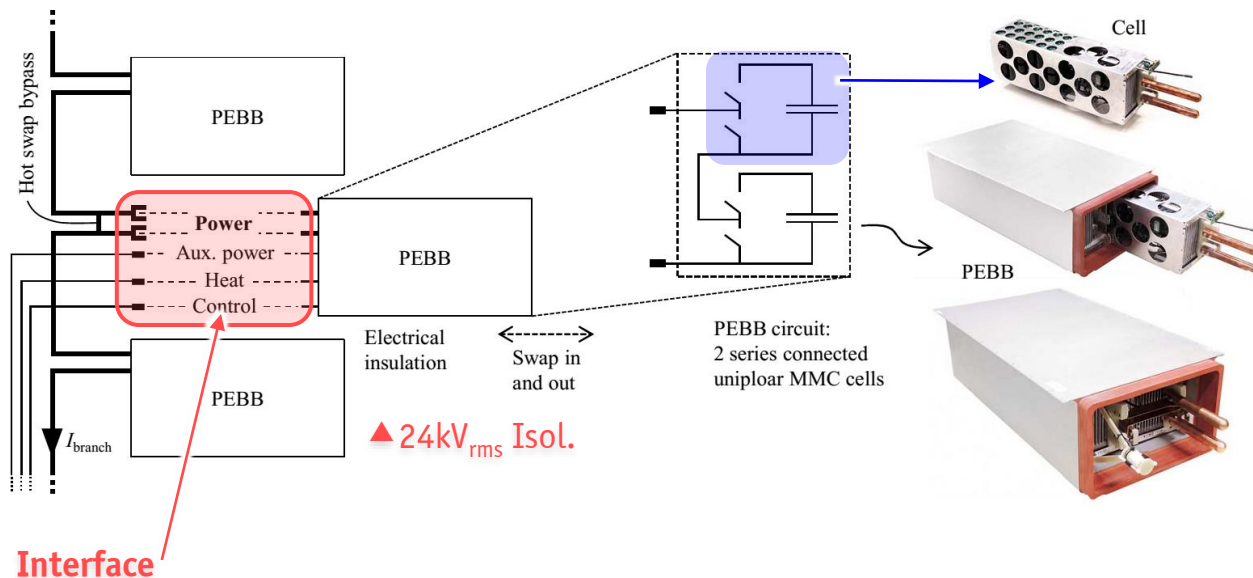
Didier Cottet, Wim van der Merwe, Francesco Agostini, Gernot Riedel, Nikolaos Oikonomou, Andrea Rüetschi, Tobias Geyer, Thomas Gradinger, Rudi Velthuis, Bernhard Wunsch, David Baumann, Willi Gerig, Franz Wildner, Vinoth Sundaramoorthy, Enea Bianda, Franz Zurluh, Richard Bloch, Daniele Angelosante, Dacley Dzung, ABB Switzerland Ltd., Corporate Research, 5405 Baden-Dättwil, Switzerland  
Tormod Wien, Anne Elisabeth Vallestad, Dalimir Orfanus, Reidar Indergaard, Harald Vefling, Arne Heggelund, ABB Norway Ltd., Corporate Research, 1375 Billingstad, Norway  
Jonathan Bradshaw, DPS Ltd., Auckland 1010, New Zealand  
Contact: didier.cottet@ch.abb.com

> 25 Authors (!)

### ■ Example: MV Modular Multilevel Converter Presented by **ABB** (2015)

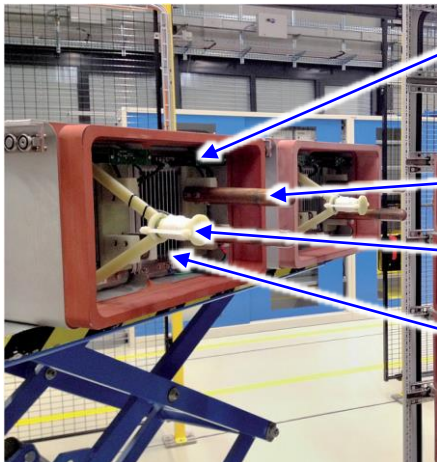
[Cottet2015a]

[Cottet2015b]



## ► Modularity: Hot-Swapping at 24kV

- Example: MV Modular Multilevel Converter Presented by **ABB** (2015) [Cottet2015a], [Cottet2015b]
- All Interfaces Must Support Modularity



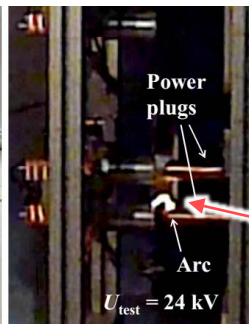
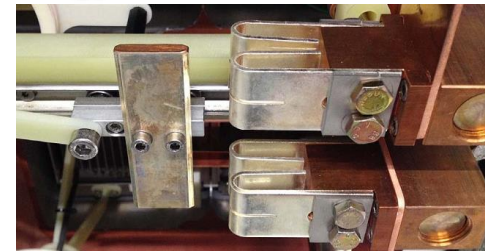
Communication  
(Wireless Optical)

Power

Auxiliary  
(IPT)

Cooling  
(Air)

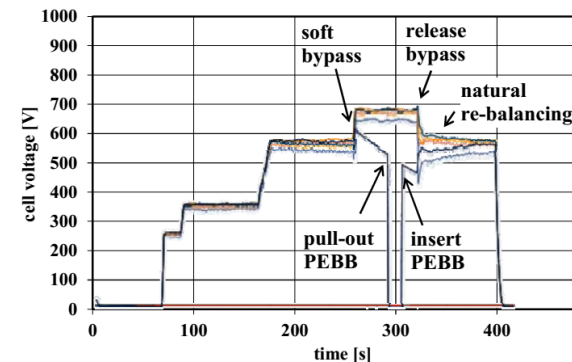
### ▼ Bypass Switch



### ◀ Lab Test ▶

24kV Sw. Arc

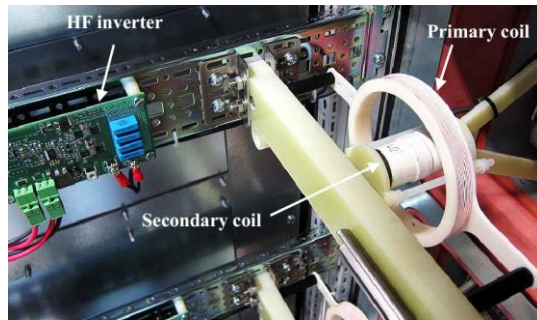
Power  
plugs  
Arc  
 $U_{\text{test}} = 24 \text{ kV}$



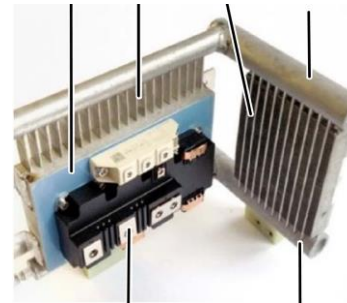
## ► Advanced Integration Technologies

■ Example: MV Modular Multilevel Converter Presented by **ABB** (2015) [Cottet2015a], [Cottet2015b]

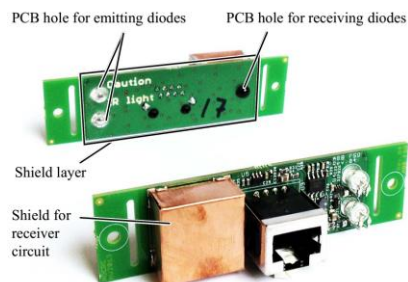
■ IPT for Auxiliary Power Supply



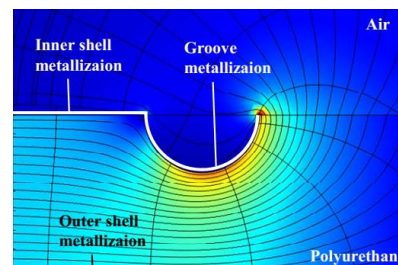
■ Two-Phase Cooling



■ Wireless Optical EtherCAT Comm.



■ Solid Isolation of PEBBs



→ Actually Building an SST is a Multi-Disciplinary, Highly Complex Task!

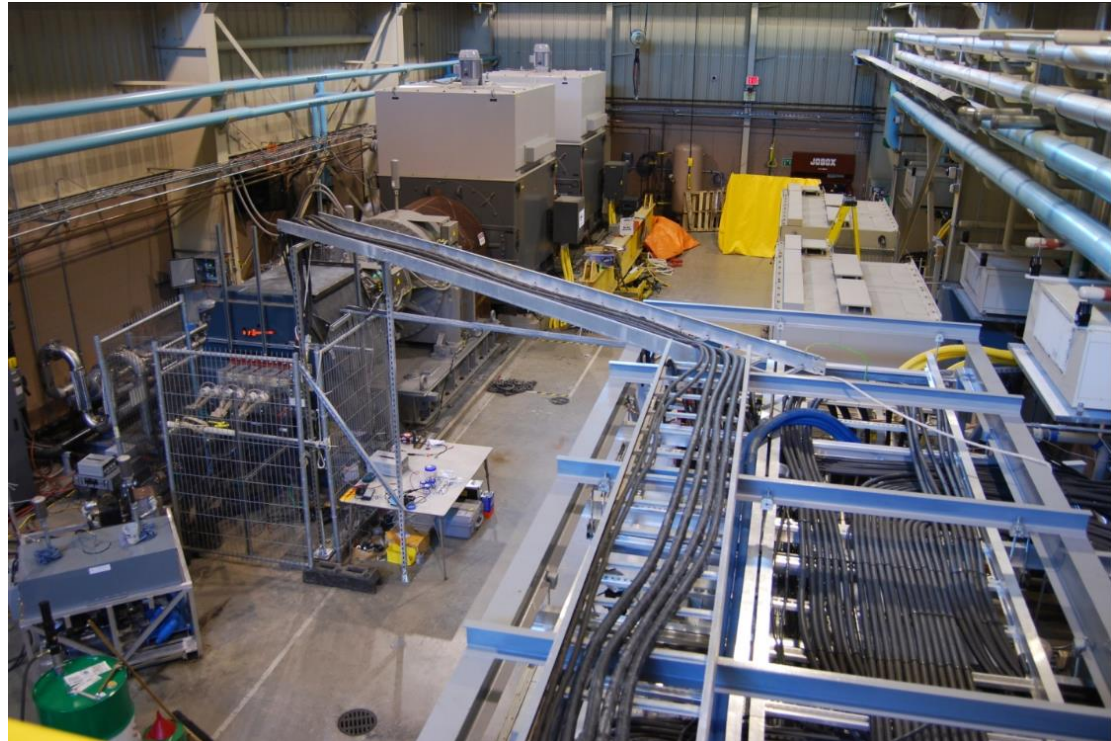
# Challenge #11/11

## Testing of MV Converters

---

## ► Infrastructure

- Significant Planning and Realization Effort
- Power Supply / Cooling / Control / Simulation (Integrated)



Img.:Center for Advanced Power Systems / Florida State University

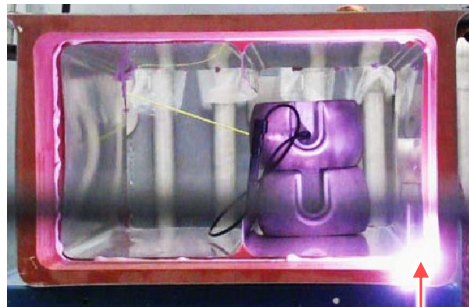
- Large Space Requirement / Considerable Investment (!)

## ► Infrastructure Examples

### ■ Medium-Voltage and High-Voltage Testing Facilities & Experience



Imgs.: [Cottet2015b]



60kV Flashover



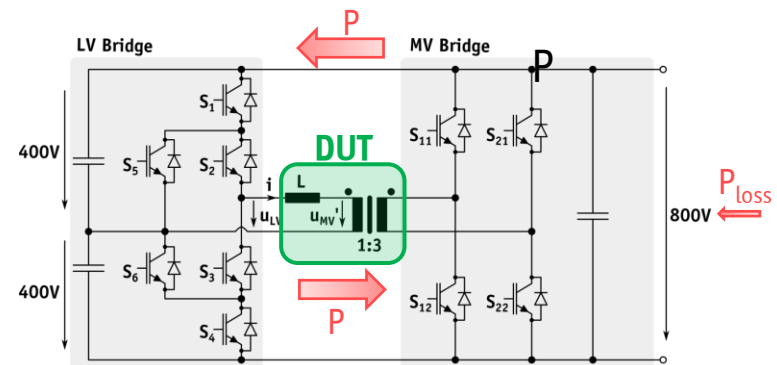
Img.: High Voltage Lab, ETH Zurich

### ■ Source/Sink for 100s of kW



Img.: electrical-engineering-portal.com

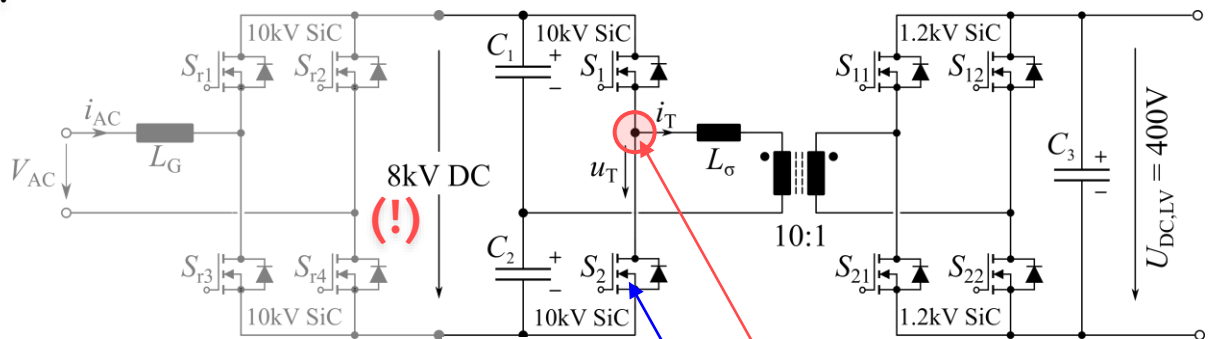
### ■ Or Back-To-Back Testing Concepts → Complexity



## ► Measurement Equipment

### ■ E.g., Switching Loss Measurements of HV SiC Devices

- Voltage Range vs. Accuracy/Resolution
- Skew
- Disturbances
- ...



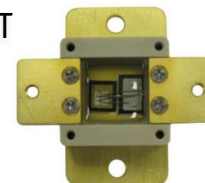
### ■ Special High-Voltage Measurement Eq.



Img.: www.Tektronix.com

Switch Node: 8kV at 50kHz (!)

10kV SiC FET



Img.: Cree Inc.

Circuit: ETH / [Rothmund2015]

# 11 Key Challenges

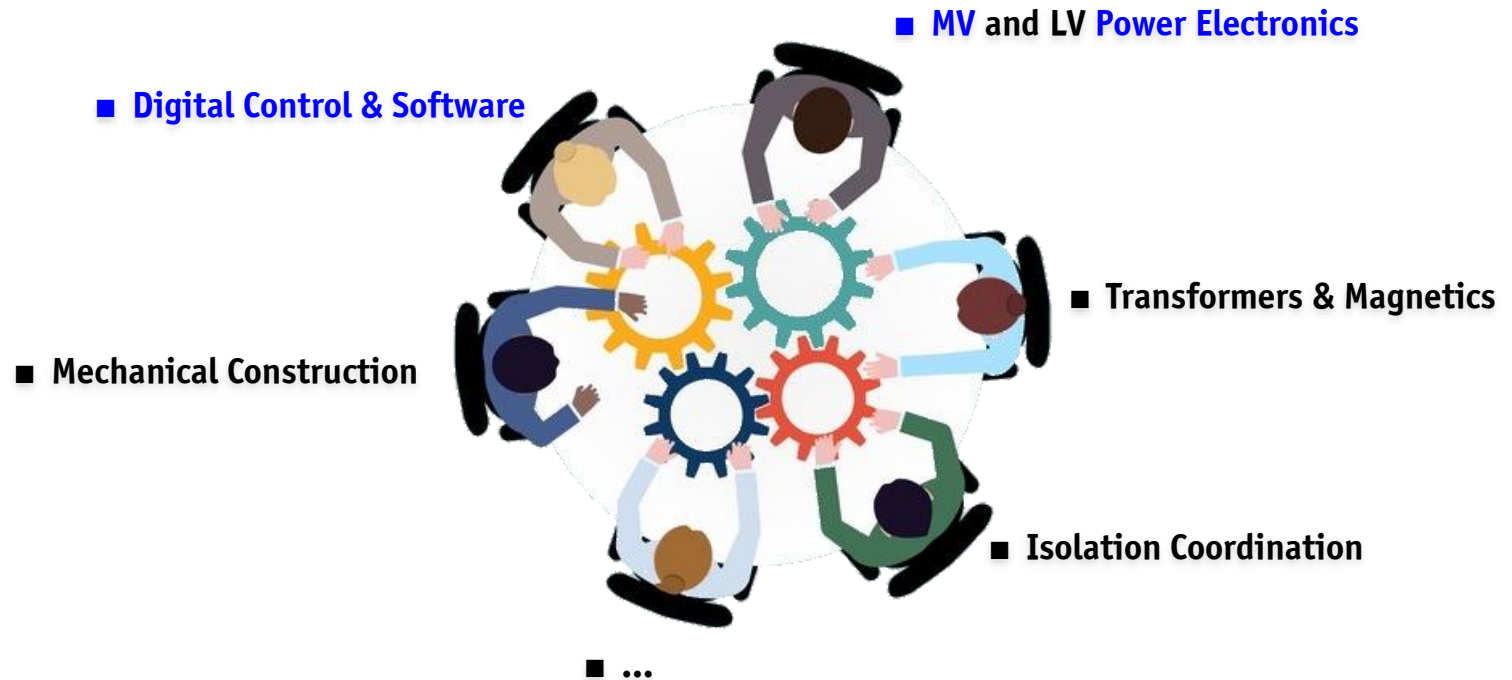
## Core Competencies

---

1. *Handling of Medium Voltage*
2. *Topology Selection*
3. *Reliability*
4. *MF Isolated Power Converters*
5. *MF Transformer Design*
6. *Isolation Coordination*
7. *EMI*
8. *Protection*
9. *Control*
10. *Construction of Modular Conv.*
11. *Testing of MV Converters*

## ► Core Competencies for SST Design

- The **11+ Challenges** Need to be Addressed by a TEAM



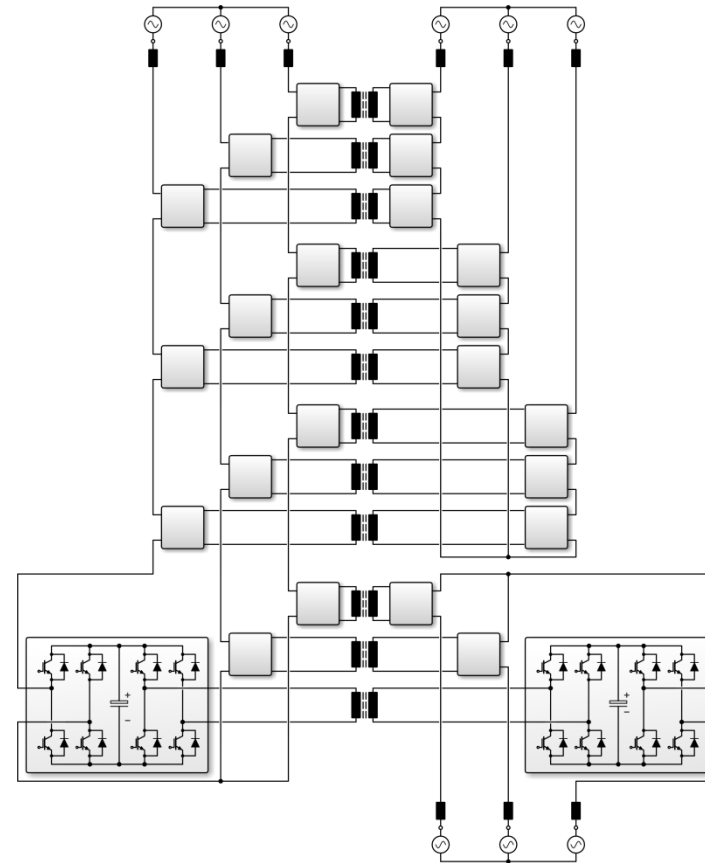
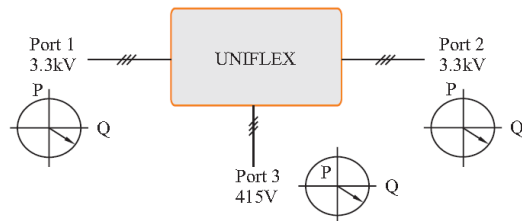
► Developing and Actually Building an SST is a **Multi-Disciplinary, Complex Task!**

# SST Applicability In Grid Applications

*Grid SST Examples*  
*Competing Approaches*  
*Compatibility w. Existing Infra-  
structure*

## ► UNIFLEX Project (1)

### ■ EU Project (2009)

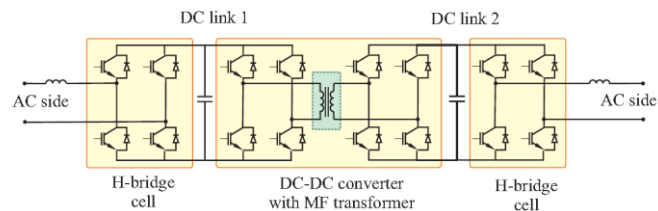


- Advanced Power Conv. for Universal and Flexible Power Management (UNIFLEX) in Future Grids
- **Cellular 300kVA Demonstrator of 3-Port Topology** for 3.3kV Distr. System & 415V LV Grid Connection

[Watson2009]

## ► UNIFLEX Project (2)

### ■ EU Project (2009)



### ■ AC/DC-DC//DC-DC/AC Module (MF Isolation, 1350V DC Link) and Prototype @ Univ. of Nottingham

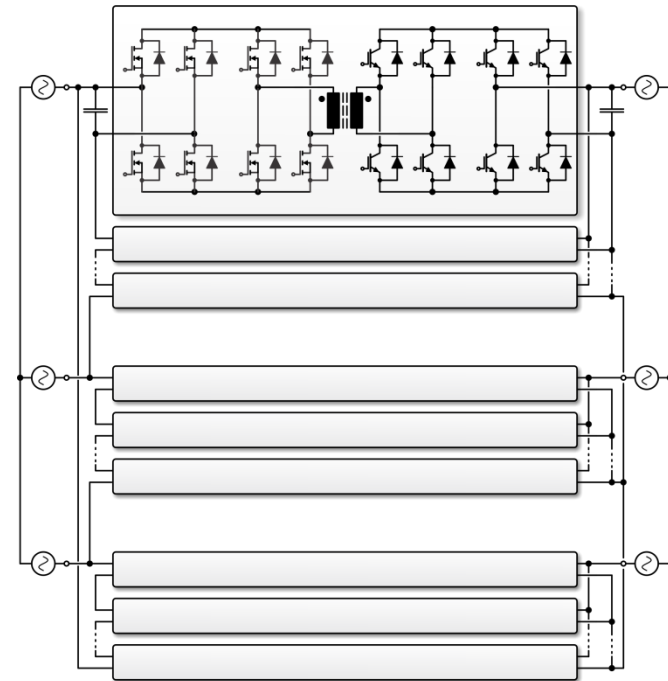
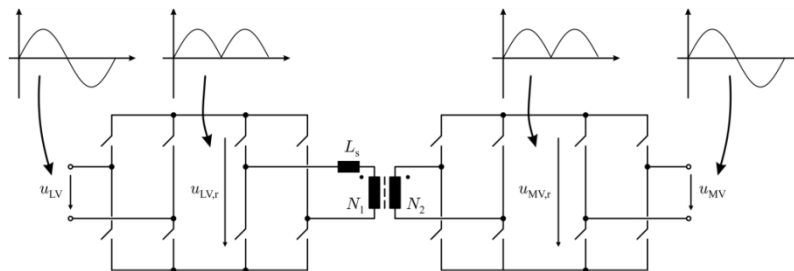
[Watson2009]

## ► SiC-Enabled 1MVA/20kHz Solid State Power Substation (1)

■ Das (2011)



- Fully Phase Modular System
- Indirect Matrix Converter Modules ( $f_1 = f_2$ )
- MV  $\Delta$ -Connection (13.8kV<sub>L-L</sub>, 4 Modules in Series)
- LV Y-Connection (465V/ $\sqrt{3}$ , Modules in Parallel)



■ Comp. to 60Hz: 25% Weight / 50% Volume Reduction @ 97% Efficiency

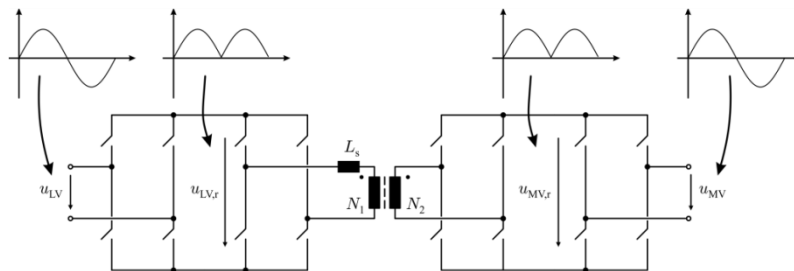
[Das2011]

## ► SiC-Enabled 1MVA/20kHz Solid State Power Substation (2)

■ Das (2011)



- Fully Phase Modular System
- Indirect Matrix Converter Modules ( $f_1 = f_2$ )
- MV  $\Delta$ -Connection (13.8kV<sub>L-L</sub>, 4 Modules in Series)
- LV Y-Connection (465V/ $\sqrt{3}$ , Modules in Parallel)



■ Comp. to 60Hz: 25% Weight / 50% Volume Reduction @ 97% Efficiency

[Das2011]

# Applicability Grid Applications

*Grid SST Examples*

***Competing Approaches: Isolation***

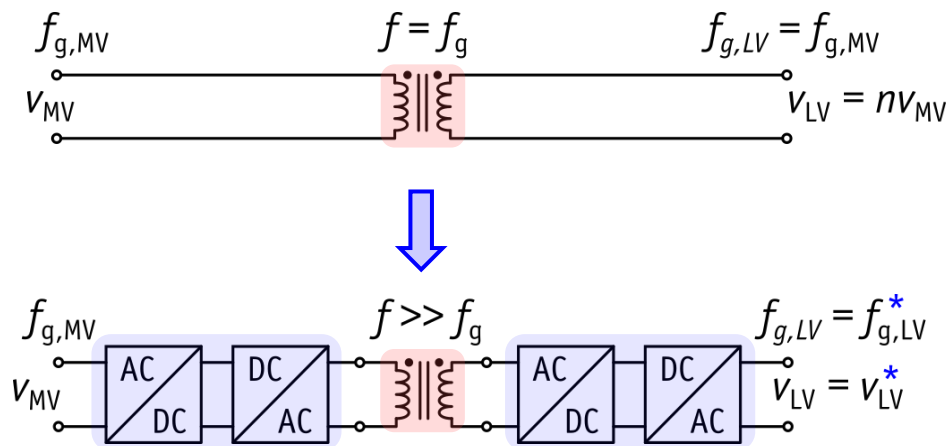
*Compatibility w. Existing Infra-  
structure*

## ► Grid Application Task No. 1: Isolation & Voltage Scaling

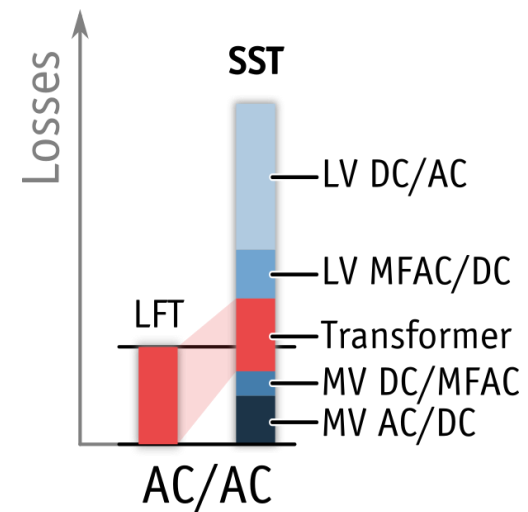
■ Typical Grid Application: **MVAC // LVAC**

■ Low Frequency Transformer → SST

- No Significant Efficiency Gain of Magnetic Transformer
- Additional Conversion Stages Generate Additional Losses



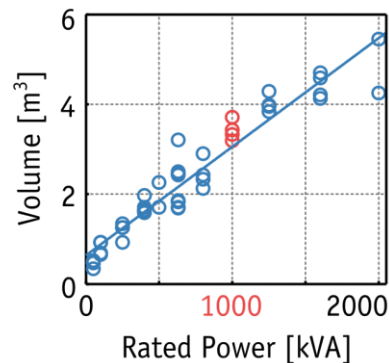
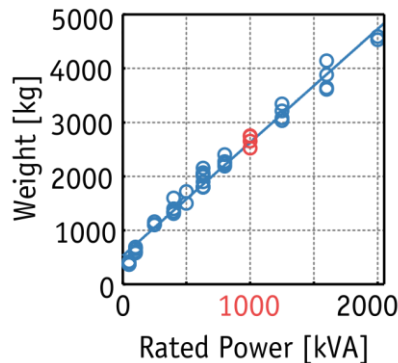
→ Remember **“Efficiency Challenge”**



## ► Task: Isolation & Voltage Scaling

### ■ The Competitor: 1000kVA LF Distribution Transformer

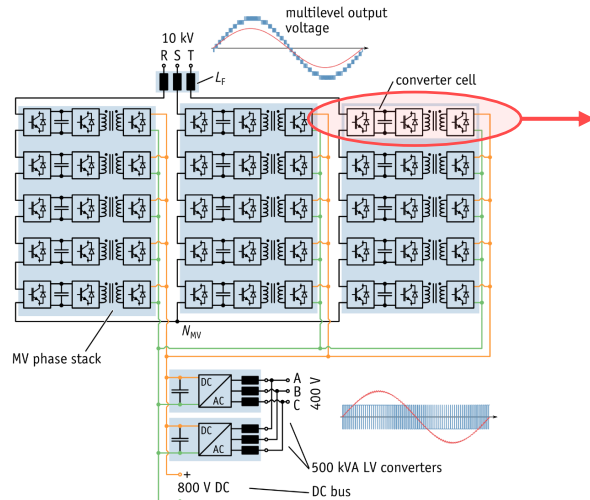
- Standard Off-the-Shelf Products
- Typically Liquid Filled (Oil): Isolation, Cooling



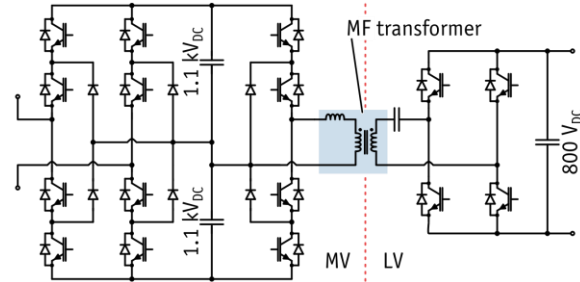
### ■ Averaged Data from Different Manufacturers

	LFT
Efficiency	98.7 %
Volume	3.43 m <sup>3</sup>
Weight	2590 kg
Material Cost	11.3 kUSD

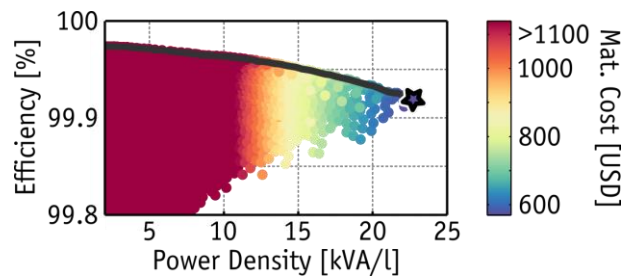
## ► SST vs. LFT Quantified – MV Side Modeling



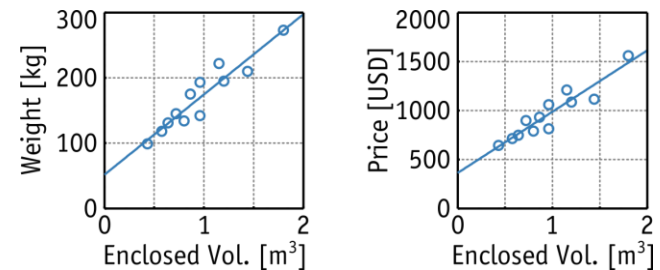
### ■ Fully Rated 80kW Converter Cell Prototype



### ■ Filter Inductor Pareto Optimization



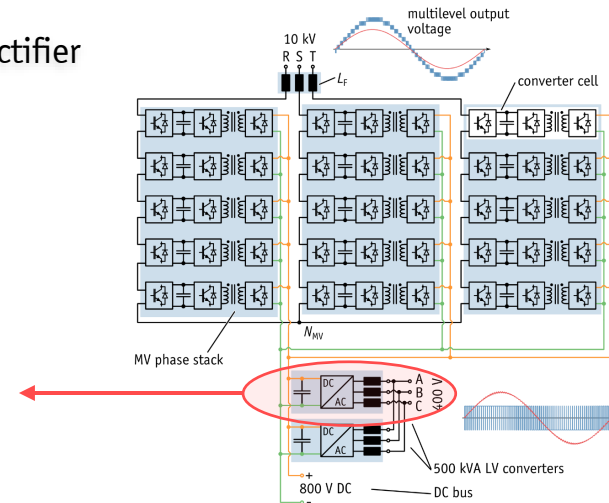
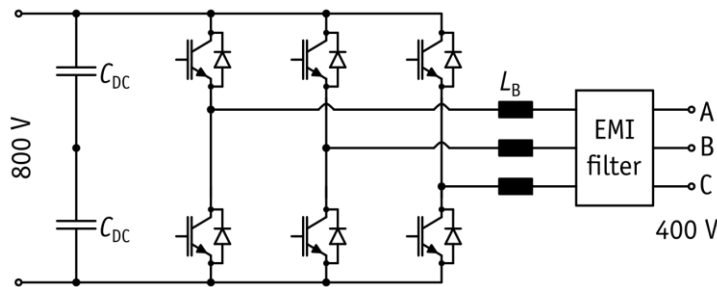
### ■ Cabinet Modeling Based on Empirical Data



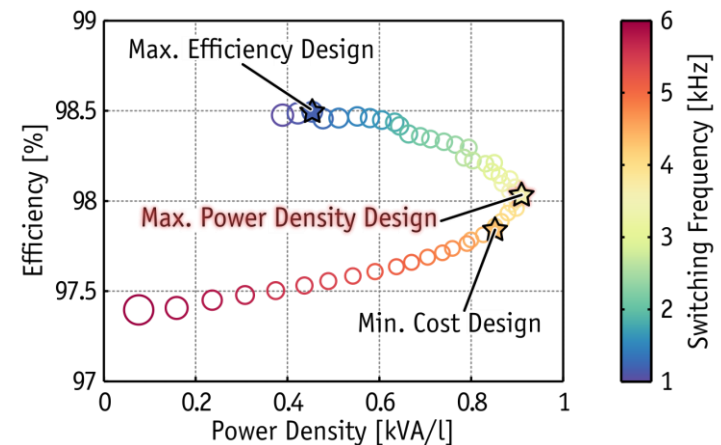
### ■ Material Costs: High-Volume Component Cost Models [Burkart2012]

## ► SST vs. LFT Quantified – LV Side Modeling

### ■ Pareto Optimization of Standard 500kVA Inverter/Rectifier



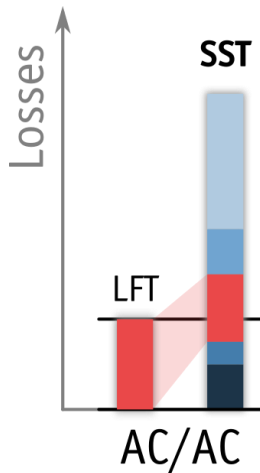
- Calculated Results (Losses, Volumes)
- Good Agreement with Specs of Commercially Available Active Frontend Converter



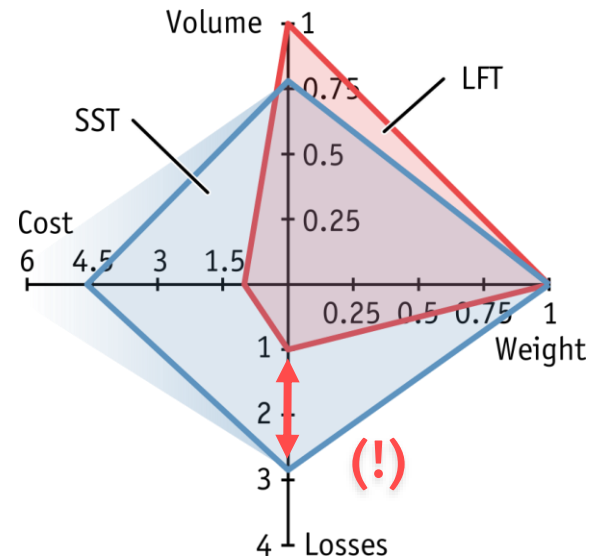
## ► SST vs. LFT Quantified – AC/AC Conversion

■ **AC/AC SST** = SST MV + 2 SST LV

	<b>LFT</b>	<b>AC/AC SST</b>
Efficiency	<b>98.7</b>	<b>96.3</b> %
Volume	<b>3.4</b>	<b>2.6</b> m <sup>3</sup>
Weight	<b>2590</b>	<b>2600</b> kg
Material Cost	<b>11.3</b>	<b>&gt; 52.7</b> kUSD

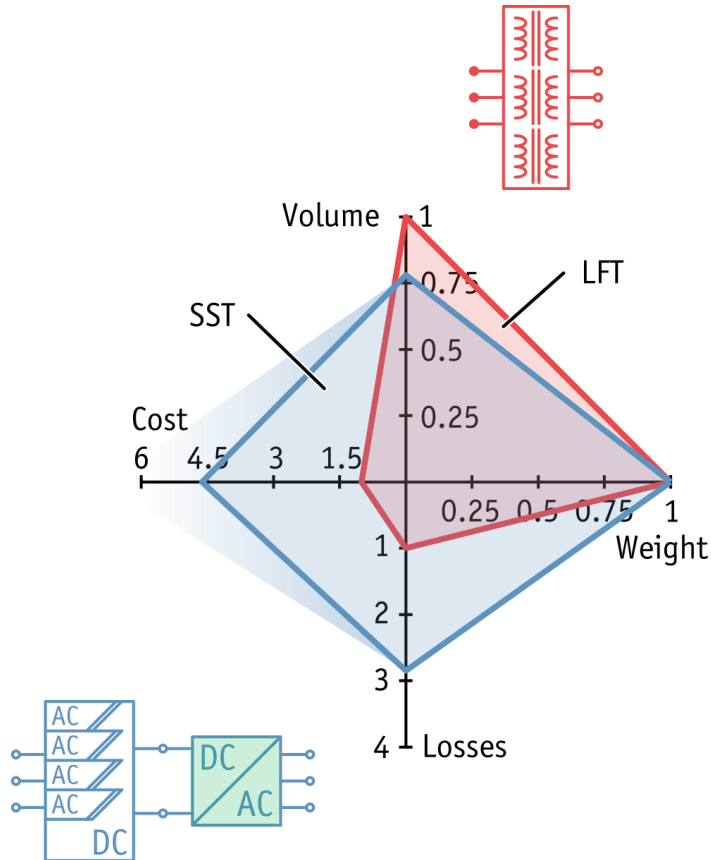


**Efficiency Challenge** Confirmed  
by Quantitative Analysis

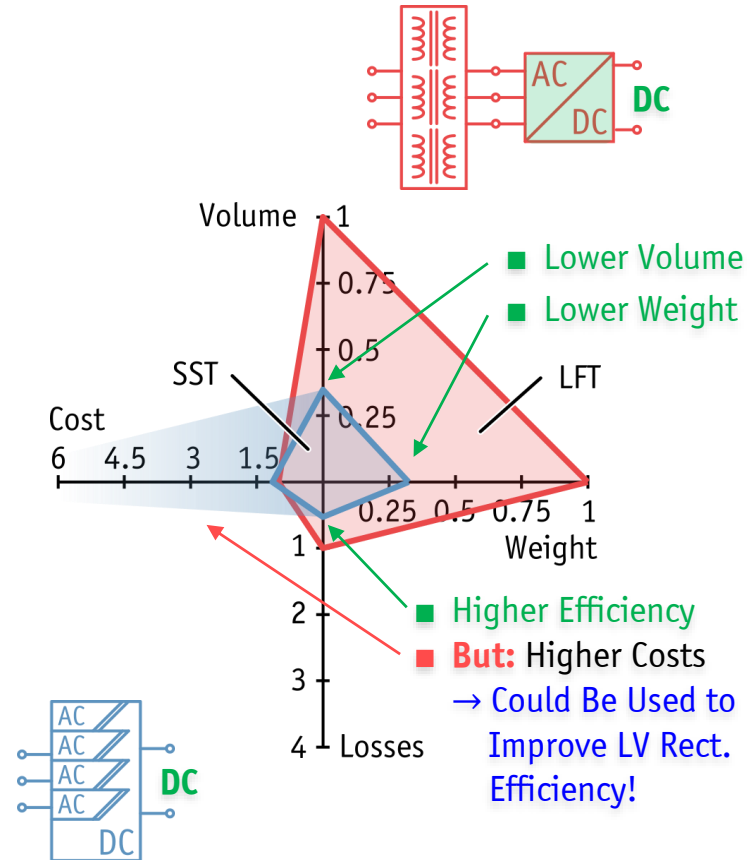


## ► SST vs. LFT Quantified – AC/AC and AC/DC Conversion

### ■ AC/AC Application



### ■ AC/DC Application



Further Reading: ETH / [Huber2014b]

# Applicability Grid Applications

*Grid SST Examples*

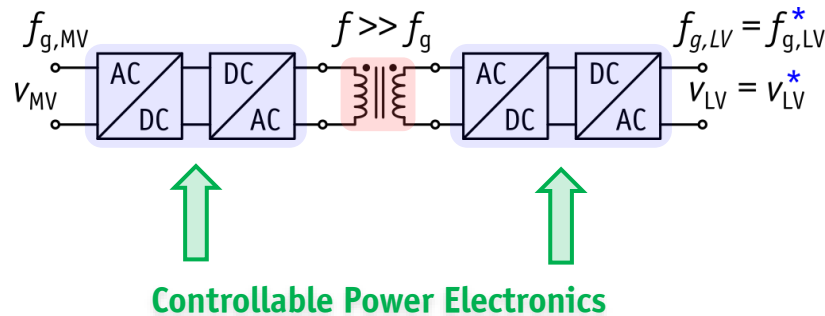
***Competing Approaches: Control***

*Compatibility w. Existing Infra-  
structure*

## ► Controllability As Unique SST Selling Point?

- SST Is **Not Competitive** If Only Isolation & Voltage Scaling Are Required!

### ■ Added Value: Commonly Envisioned SST Features

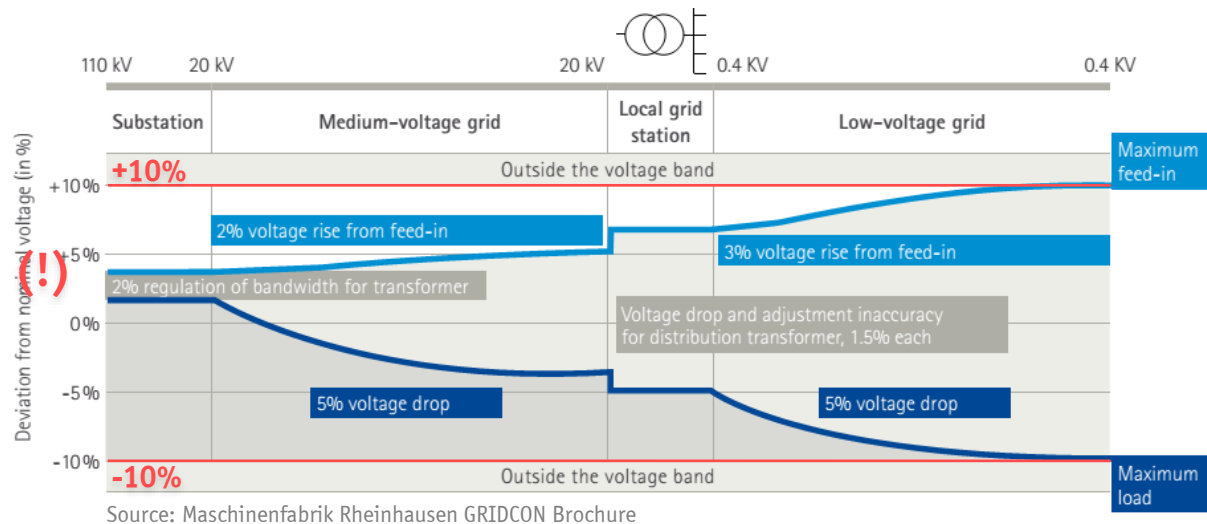


- Reactive Power Compensation
- **Voltage Regulation**
- Active Filtering
- Power Flow Control
- Fault Current Limiting
- DC Interface (e.g., Energy Storage)
- ...

- Alternative Approaches To Provide These Features? → Several **Examples** In The Following!
- Is It Necessary To Process The **Full** Power Flow With The Controllable (**Less Efficient**) Stage?

## ► Voltage Band Violations in the Distribution System

- Voltage Band Specified by EN 50160:  $\pm 10\%$
- **Limits** Renewable Power Infeed on LV and MV Level
  - Max. 3% Voltage Increase on LV Level
  - Max. 2% Voltage Increase on LV Level



- **Grid Expansion Becomes Necessary Even Though Equipment Capacities Are Not Exhausted**
- SST Can Control Voltages – But So Can Voltage Regulation Distribution Transformer (VRDT), etc.

## ► Voltage Regulation Distribution Transformer

### ■ LFT Extended By A **Controlled Automatic On-Load Tap Changer**

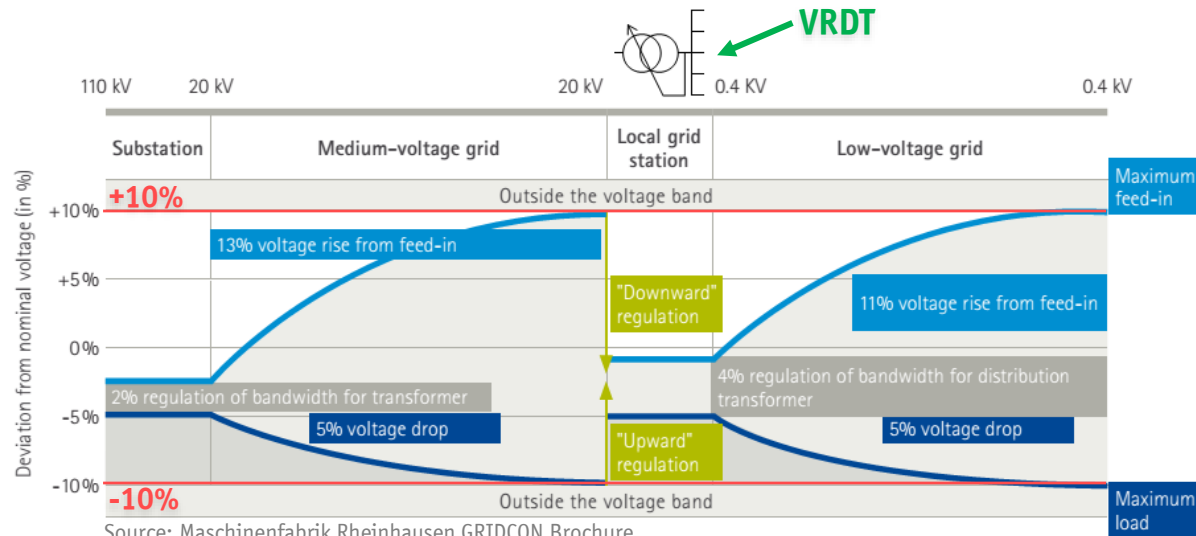
- Up to 9 Positions, e.g.,  $\pm 4 \times 2.5\%$
- Up to 700'000 Switching Transitions
- **LFT Efficiency & Robustness!**



Img: Maschinenfabrik Rheinhausen



Img: ABB

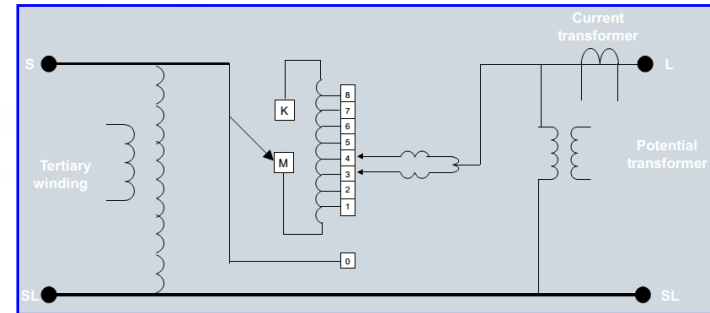


- Max. **11%** Voltage Increase on LV Level
- Max. **13%** Voltage Increase on MV Level

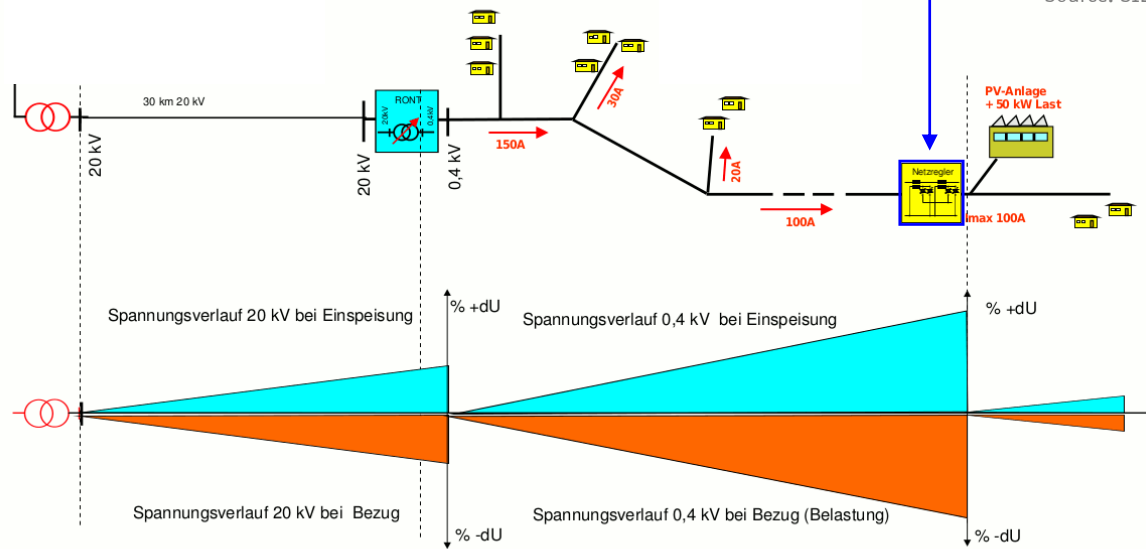
## ► Distribution Voltage Regulators

- Available for MV or LV Systems
- Easy **Retrofit** (No Modification of Existing LFT)
- Typ. Regulation  $\pm 10\%$  in 32 Steps of 0.625%
- Comparably **Slow** (Several Seconds)
- Voltage Symmetrization (Three 1ph-Units)

### ■ Mechanical Solution: Auto-Transformer With Tap Changer



Source: SIEMENS Voltage-Regulator-Catalogue



Source: www.walcher.com

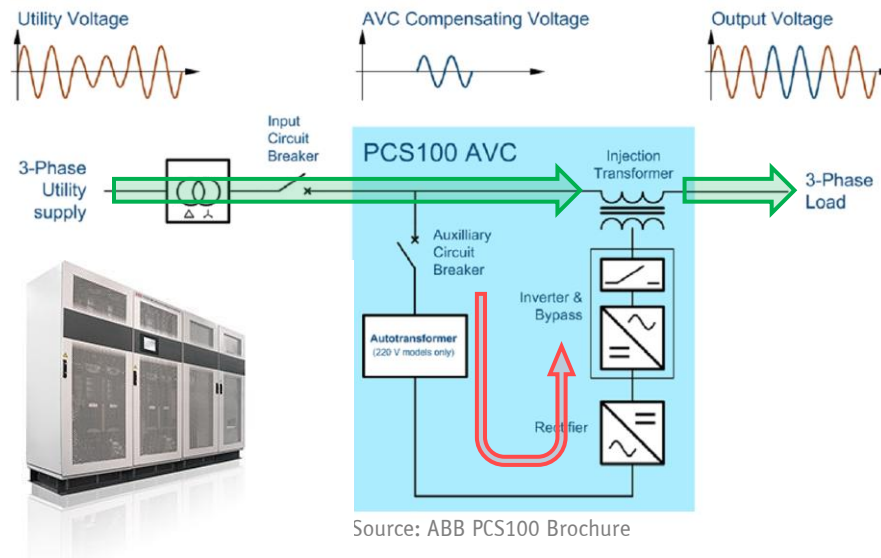
## ► Active Series Voltage Regulators

- Protection of Sensitive Industrial and Commercial Loads from Voltage Disturbances
- Power Electronic Solution: **Converter With Injection Transformer**

- Continuous & Fast Voltage Regulation
- Correction of Voltage Sags, Unbalances, Surges, and Phase Angle Errors
- Harmonic Filtering
- Reactive Power Compensation / **Power Factor Correction**

■ Typical Features Envisioned For SSTs (!)

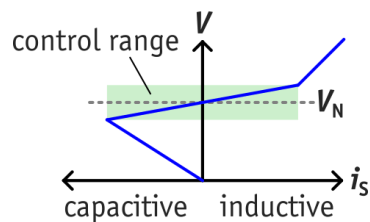
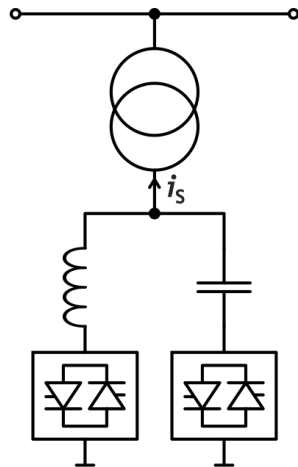
■ But: **Power Electronics Do Not Process The Full Power Flow**



## ► Reactive Power Compensation

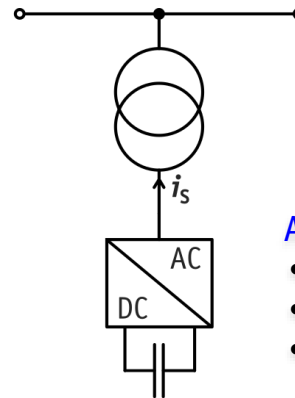
### ■ Static VAR Compensation

Switched Capacitor or Reactor Banks



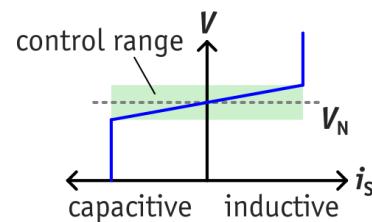
### ■ STATCOM

Power Electronic Converter



#### Additional Features

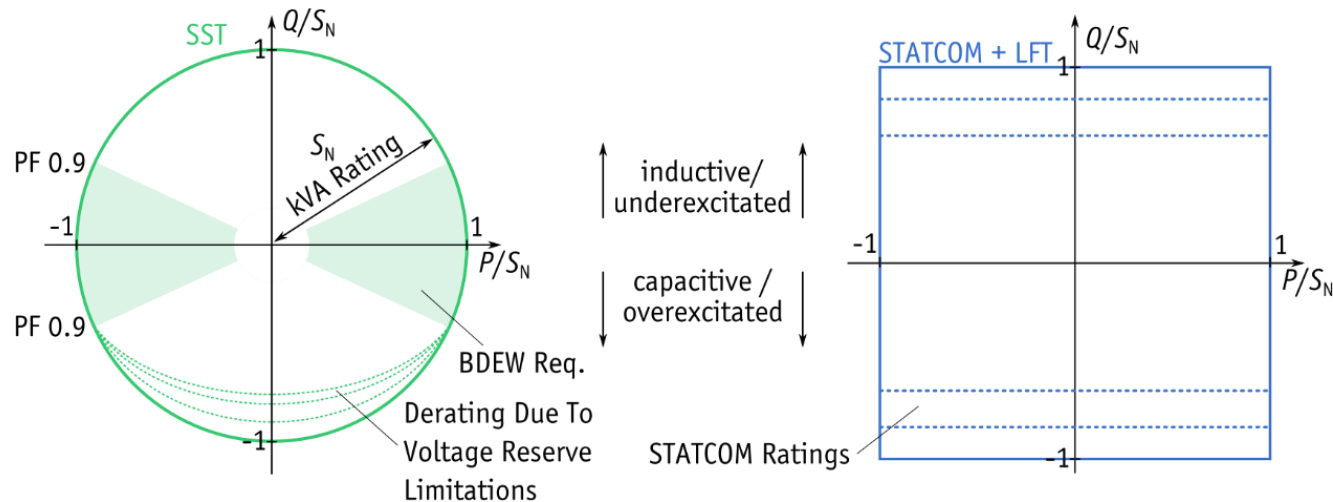
- Improved Power/Voltage Quality
- Voltage Regulation
- Compensation of Harmonics, Flicker, etc.



## ► SST vs. LFT + STATCOM

### ■ SST's VAr Capability Depends on Active Power Flow!

### ■ Or: SST Max. Reactive Power Capability Is **Limited** By Active Power Demand



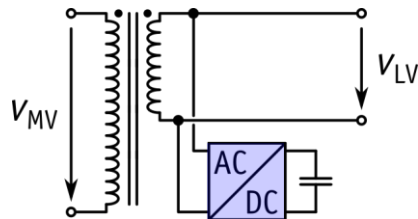
- SST Provides Complete **Decoupling of Reactive Power Flow** of MV and LV Grid
  - No Propagation of Disturbances
  - Different STATCOM OPs in MV and LV Grid

## ► Hybrid Transformers: Combinations of LFT and SST

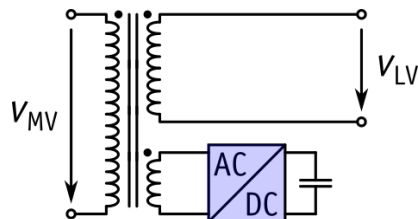
### ■ Shunt

#### Reactive Current Injection

- Power Factor Correction
- Harmonic Filtering
- Flicker Control



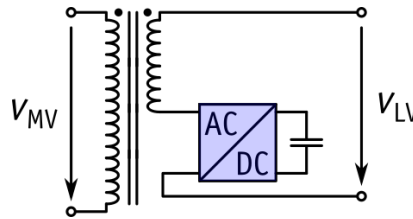
- Isolated DC Port



### ■ Series

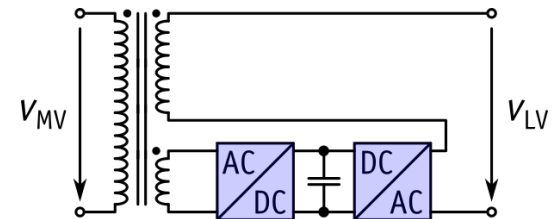
#### Reactive Voltage Injection

- Phase Shifting
- Voltage injection



### ■ Combined

- Power Factor Correction
- Harmonic Filtering
- Flicker Control
- AC Regulation
- Phase Shifting



### ► Fractional Power Processing

→ Power Electronics Processes Only A Fraction Of The Power And/Or Voltage

[Bala2012], [Burkard2015]

# Applicability Grid Applications

*Grid SST Examples*

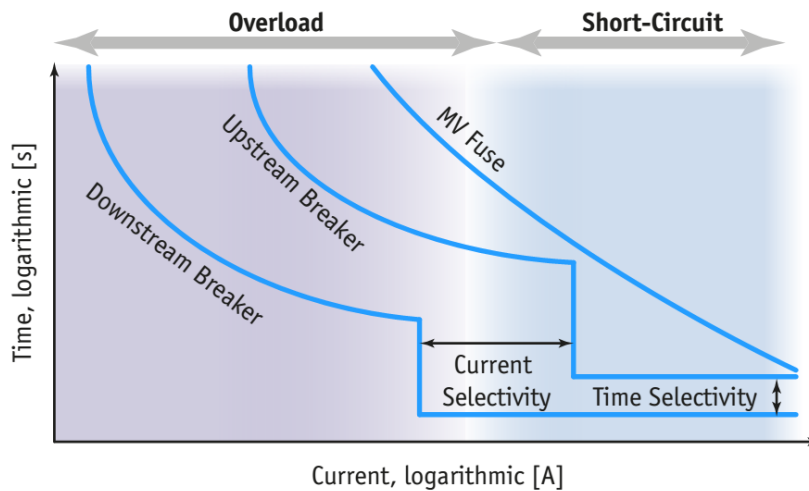
*Competing Approaches*

**Compatibility w. Existing Infra-  
structure**

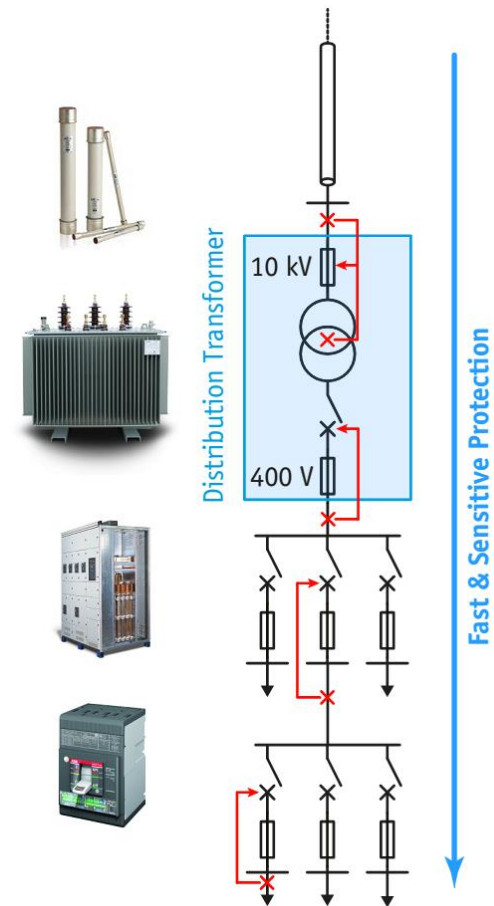
## ► Grid Protection Schemes

- Protection Scheme Needs to Consider: **Selectivity / Sensitivity / Speed / Safety / Reliability**

- **Selectivity:** Only Closest Upstream Breaker/Fuse Should Trip to Isolate Faults Quickly
  - Different Trip Current Levels
  - Different Time Delays



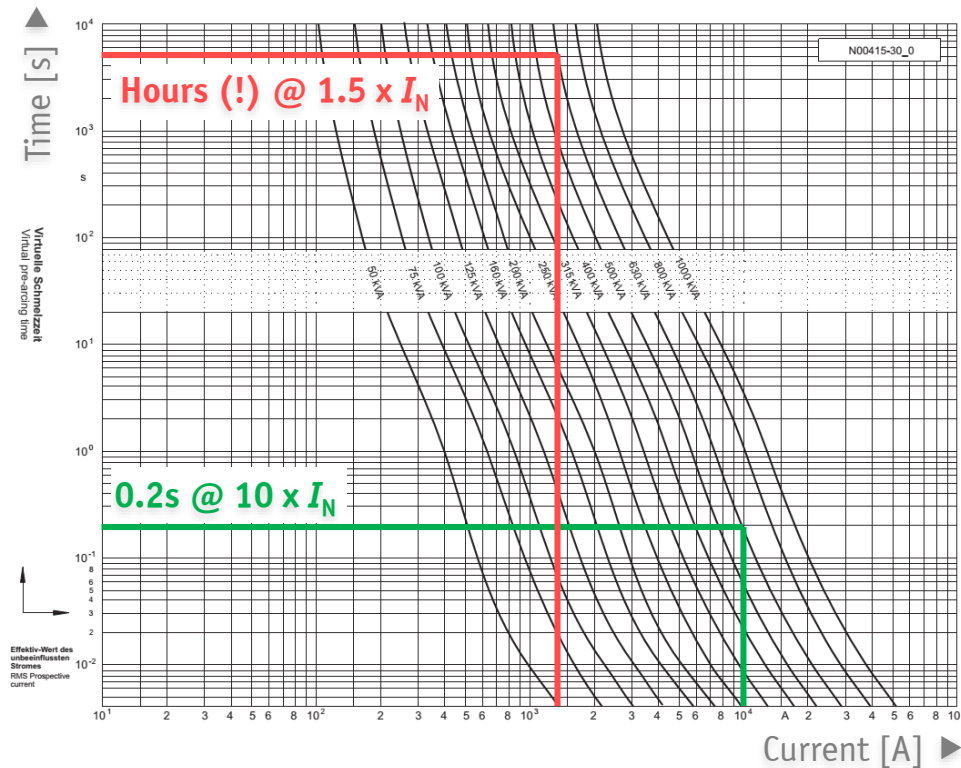
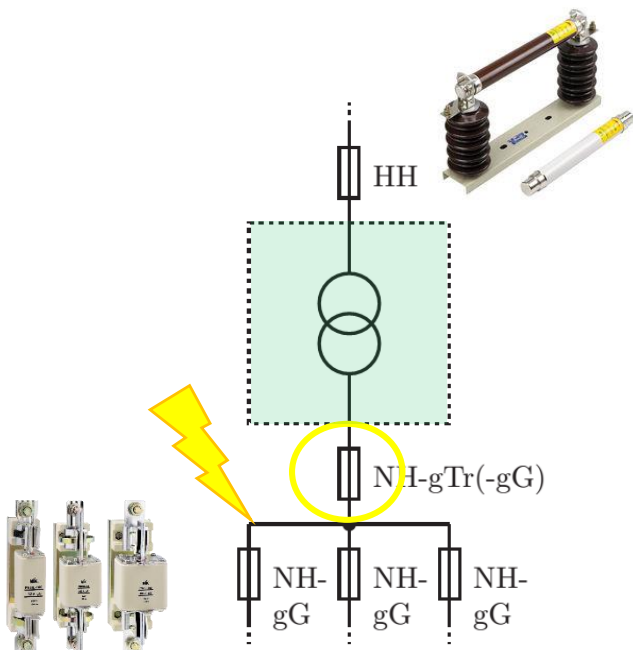
- **Certain Overcurrents Required To Trip Fuses And/Or Breakers**



Imgs.: ETH / [Guillod2015]

## ► Tripping of LV Side Fuses

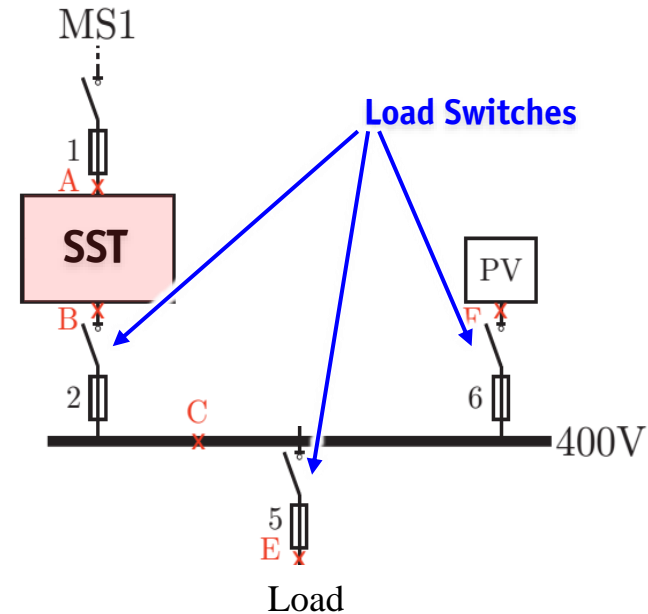
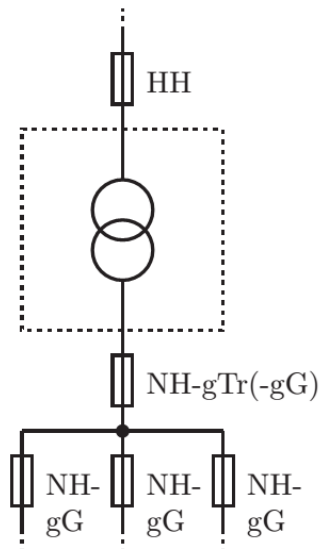
- Example: 400V Fuse for 630kVA Transformer



- Very **High Short-Circuit Currents Required** To Trip Fuses → **No Problem for LFT!**
- **But Not Possible With Power Electronic Converter (Semiconductors!)**

## ► Alternative Protection Schemes

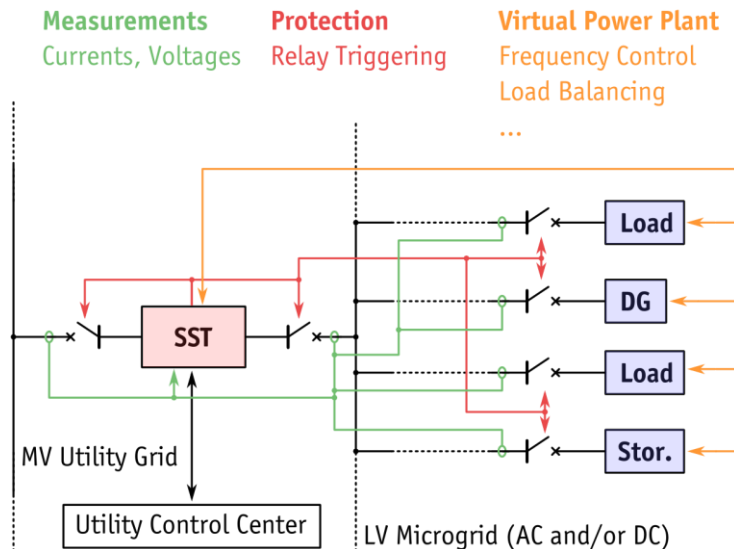
- SST Can **Limit** Its Short-Circuit Current
- **Load Switches** (≠ Breakers!) Could Be Used To Isolate Faults



- Integration of SST in Existing LV Distribution System Remains Challenging
- **Communication** Between (Protection) Devices Becomes Essential
- **SST Requires a "Smart Grid"** → Coordination of Protection Relays

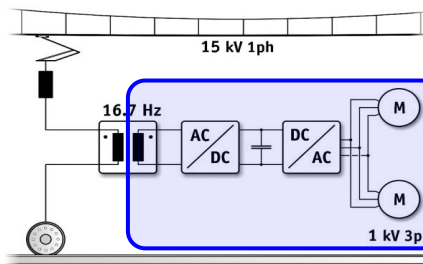
## ► SST Grid Integration

- SST Requires A **Controlled Environment** On Its LV Side
- SST Is Thus **Not a Direct Replacement** For A Distribution LFT!



- Novel Protection Schemes
- Micro Grid Can Act as a “Virtual Power Plant”
- DC Distribution
- Etc.

- Comparison: **Traction Application**

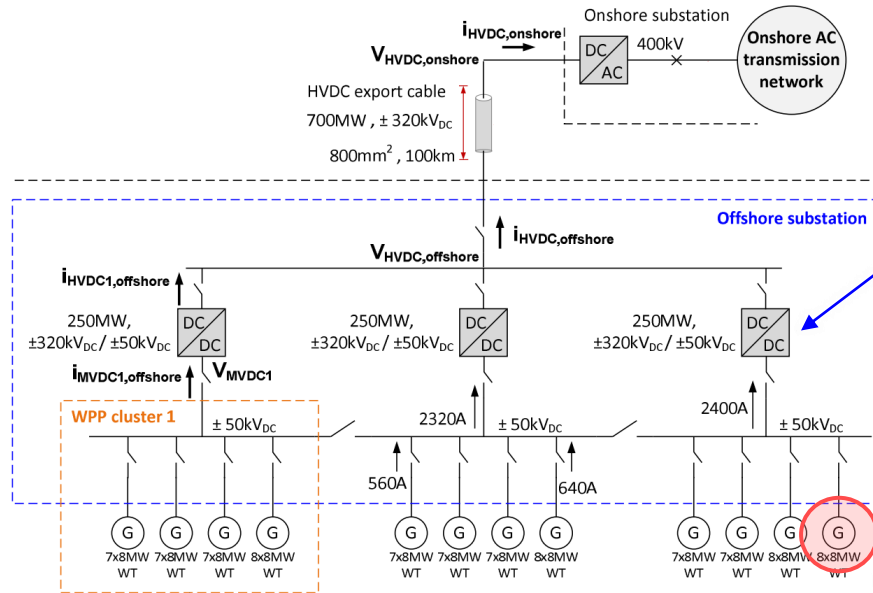


→ Locomotive Is A **User-Controlled, Self-Contained** Environment!

# Applicability DC-DC Applications

*No Alternatives!*

## ► Example: DC Collection Grids for Offshore Wind Parks



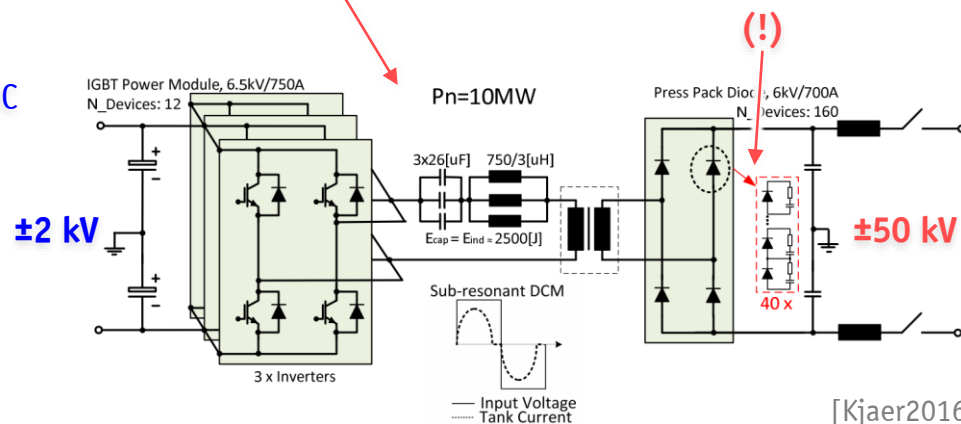
■  $\pm 320\text{kV}_{\text{DC}}$  HVDC Transmission to Shore

■  $\pm 50\text{kV}_{\text{DC}} / \pm 320\text{kV}_{\text{DC}}$  Offshore Substations with M2LC-Based MF Isolation and Step-Up Stage

■  $50\text{kV}_{\text{DC}}$  Offshore Collection Grid

■ Series Resonant Unidir. DC  $\rightarrow$  MVDC Conversion in the Individual Wind Turbines

■ Transformer Frequency Can Be Freely Chose

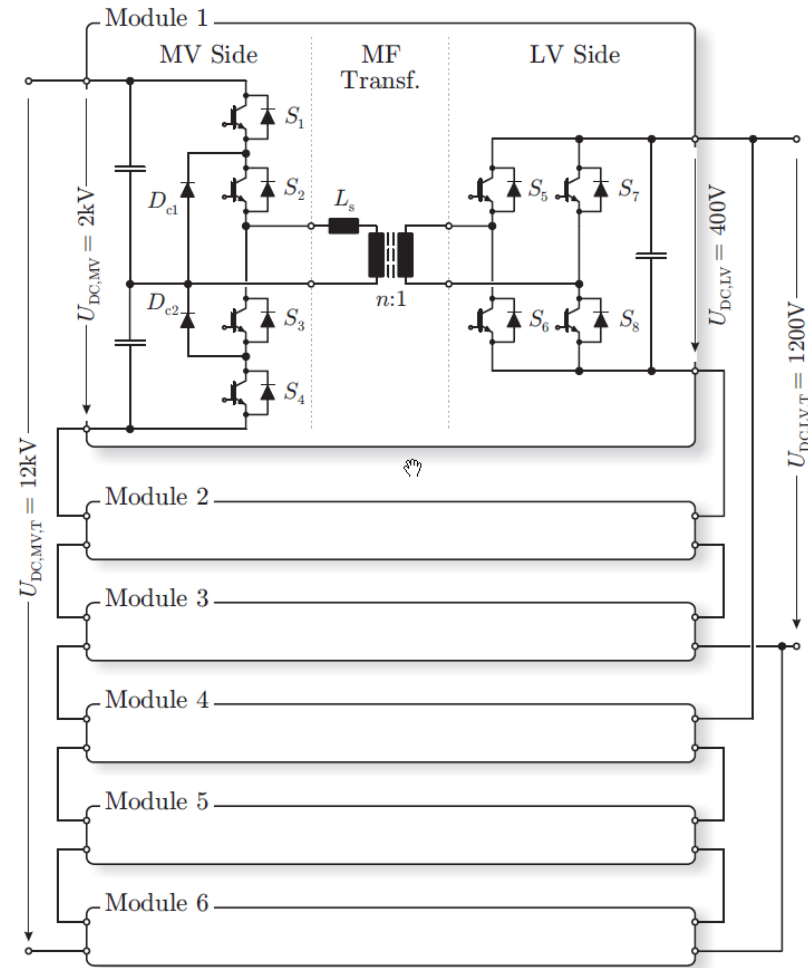


[Kjaer2016]

## ► Example: *MEGACube* @ ETH Zurich (1)

- Total Power 1 MW
- Frequency 20 kHz
- Efficiency Goal 97 %

- MV Level 12.0 kV
- LV Level 1.2 kV



[Ortiz2010], [Ortiz2013c]

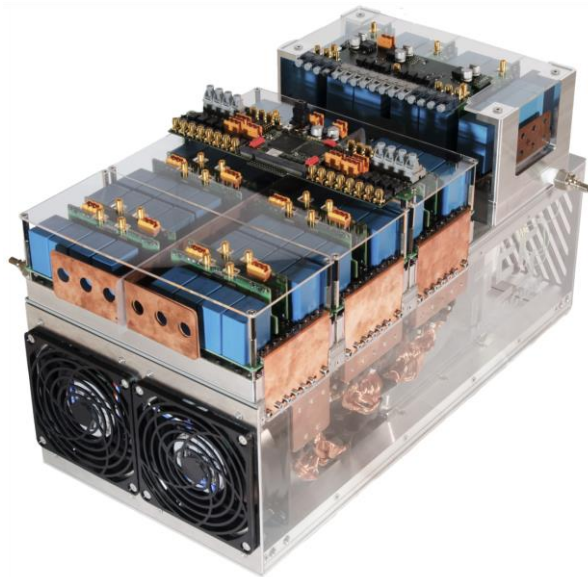
## ► Example: *MEGACube* @ ETH Zurich (2)

### ■ Dual Active Bridge DC-DC Converter Stage

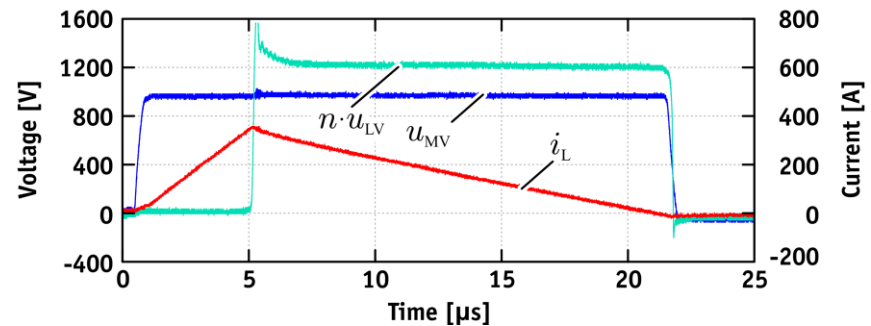
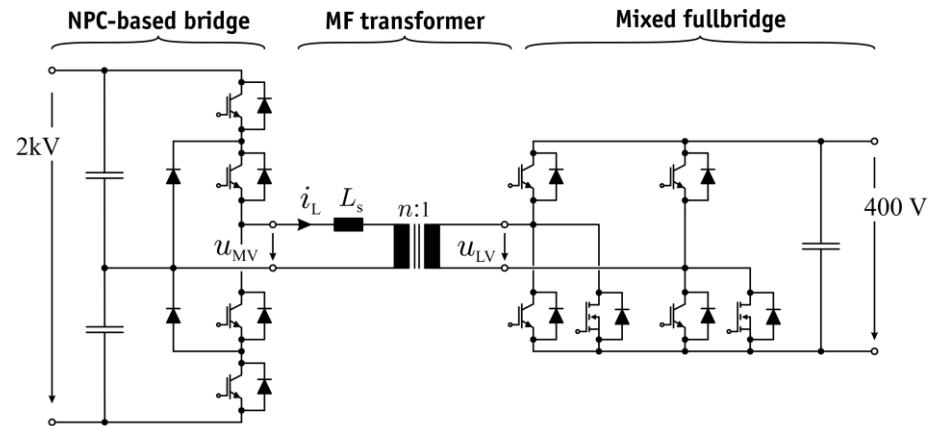
■ Module Power 166 kW

■ Frequency 20 kHz

■ Triangular Current Mode Modulation



▲ 166kW / 20kHz TCM DC-DC Converter (Ortiz, 2013)



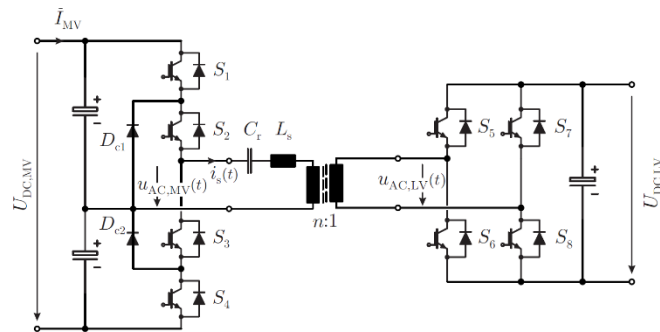
▲ Structure of the 166kW Module and MV Side Waveforms

[Ortiz2013c]

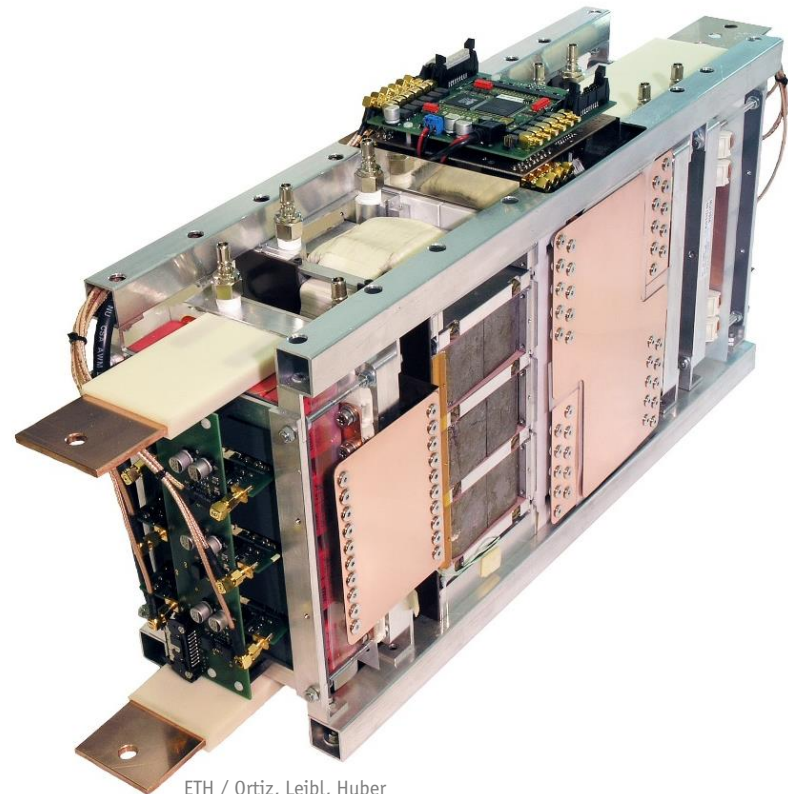
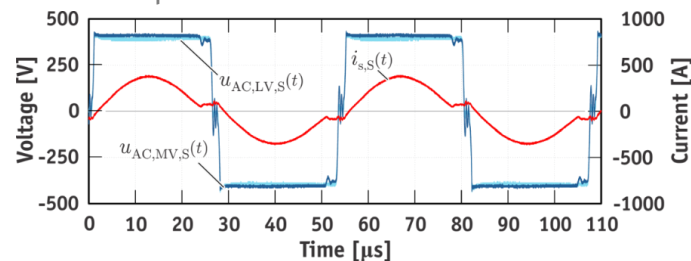
## ► Example: *MEGACube* @ ETH Zurich (3)

### ■ HC-DCM SRC DC-DC Converter Stage

- Module Power 166 kW
- Frequency 20 kHz
- Medium Voltage Side 2 kV
- Low Voltage Side 400 V



### ▼ Operation at 80kW



ETH / Ortiz, Leibl, Huber

# SST Applicability In Traction Applications

*Traction SST Example*



Img.: [www.futuretimeline.net](http://www.futuretimeline.net)

## ► Cascaded H-Bridges and Resonant LLC DC-DC Stages (1)



■ Dujic et al. (2011)

■ Steiner (1996)

■ Heinemann (2002)

**P = 1.2MVA**, 1.8MVA pk.  
9 Cells (Modular)

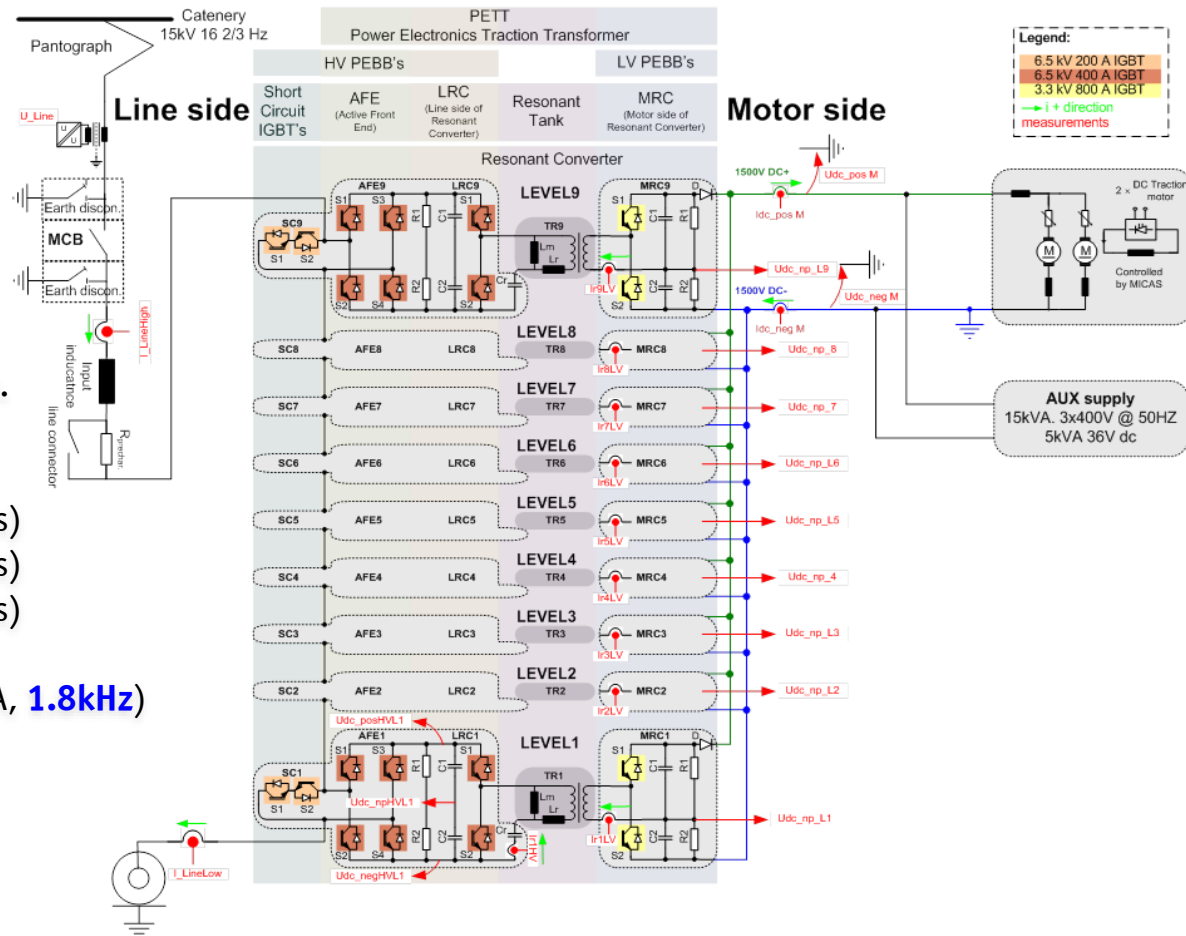
**54 x** (6.5kV, 400A IGBTs)

**18 x** (6.5kV, 200A IGBTs)

**18 x** (3.3kV, 800A IGBTs)

**9 x** MF Transf. (150kVA, **1.8kHz**)

1 x Input Choke

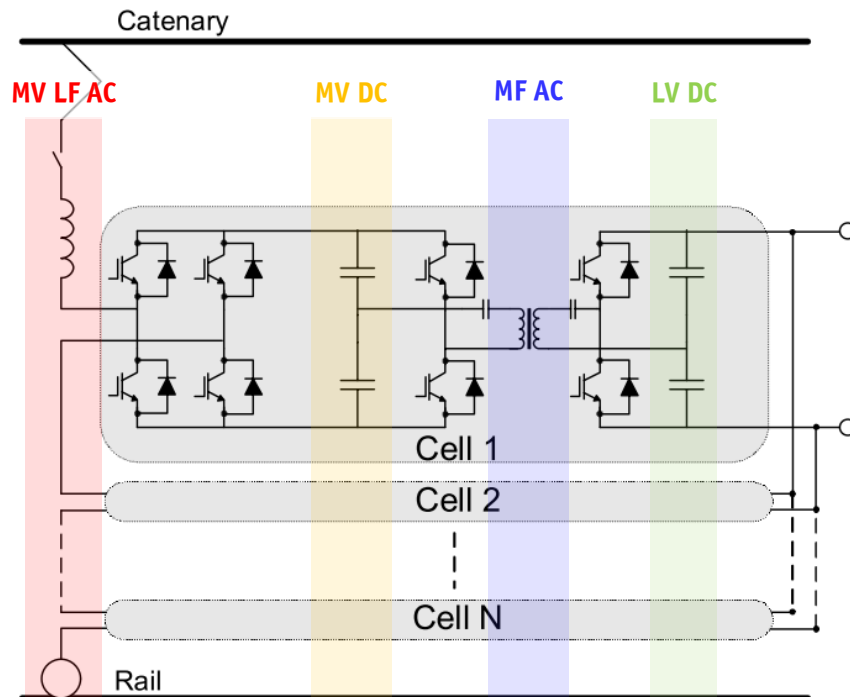


[Dujic2013] & [Zhao2014]

## ► Cascaded H-Bridges and Resonant LLC DC-DC Stages (2)

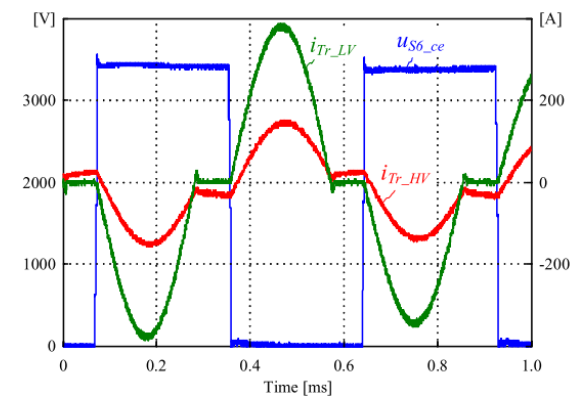
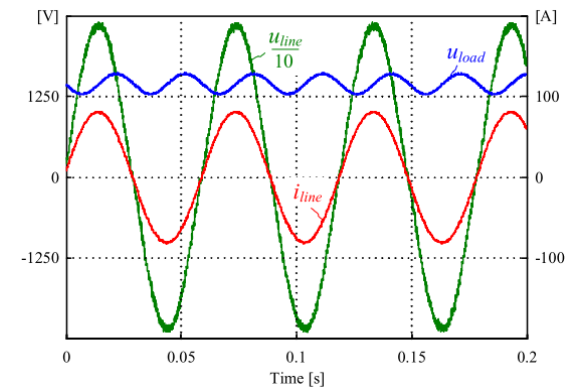
### ■ 1.2MVA, 15kV, $16\frac{2}{3}$ Hz, 1ph. AC/DC Power Electronic Transformer (PETT)

- Cascaded H-Bridge – 9 Cells
- Resonant LLC DC/DC Converter Stages



*PET topology with cascaded H-bridges and resonant (LLC) DC-DC stages.*

Img.: [Dujic2011]

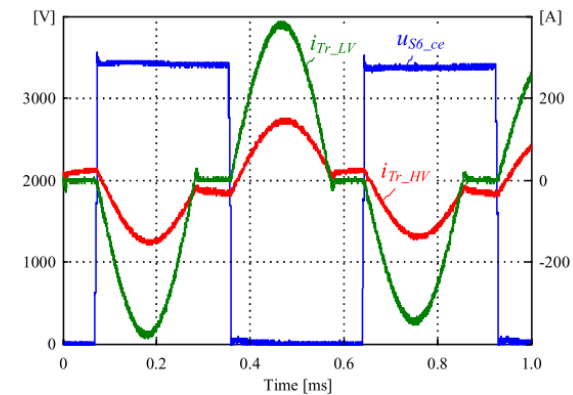
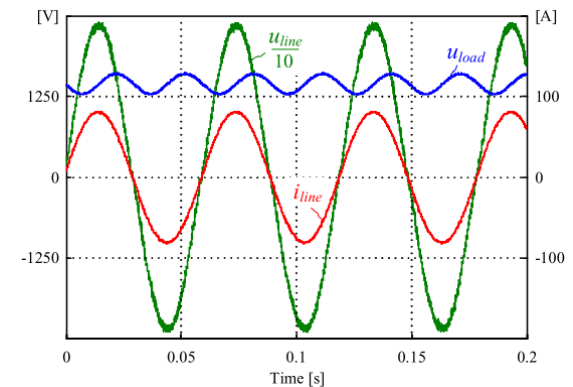


[Dujic2013] & [Zhao2014]

## ► Cascaded H-Bridges and Resonant LLC DC-DC Stages (3)

### ■ 1.2MVA, 15kV, $16\frac{2}{3}$ Hz, 1ph. AC/DC Power Electronic Transformer (PETT)

- Cascaded H-Bridge – 9 Cells
- Resonant LLC DC/DC Converter Stages

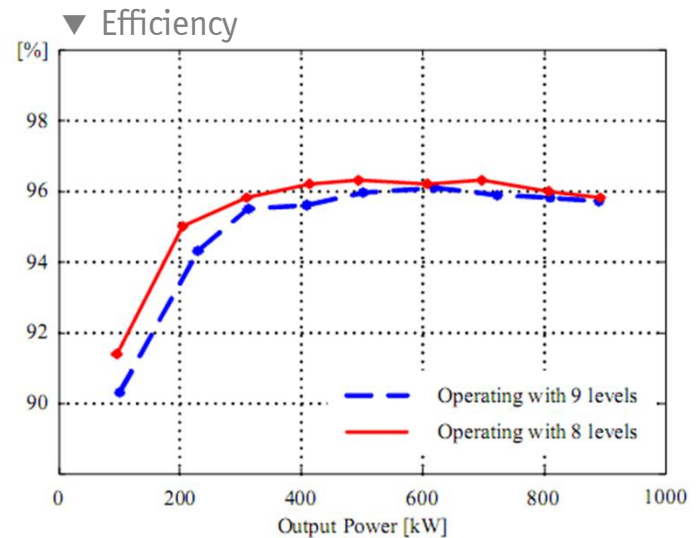


[Dujic2013] & [Zhao2014]

## ► Cascaded H-Bridges and Resonant LLC DC-DC Stages (4)

### ■ 1.2MVA, 15kV, $16 \frac{2}{3}$ Hz, 1ph. AC/DC Power Electronic Transformer (PETT)

- Cascaded H-Bridge – 9 Cells
- Resonant LLC DC/DC Converter Stages



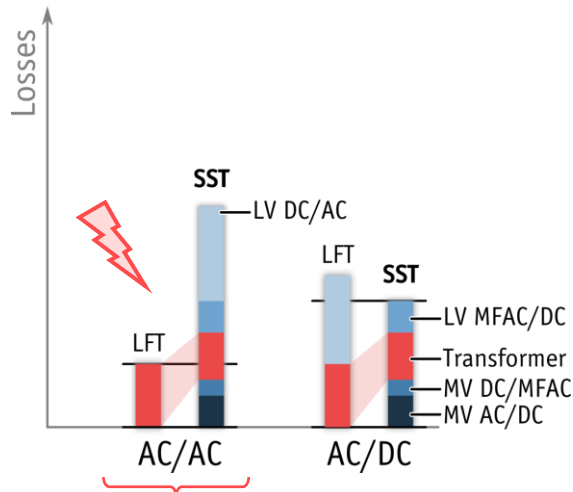
[Dujic2013] & [Zhao2014]

# SST Applicability Summary

---

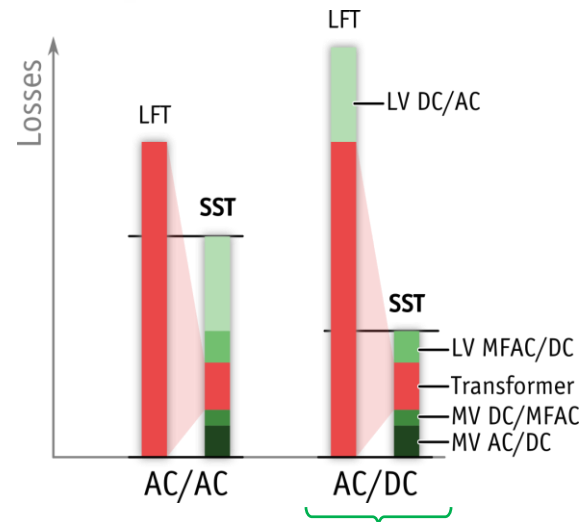
## ► “Efficiency Challenge” (Qualitative)

### ■ No Weight/Volume Constraints



Typical **Grid** Application

### ■ Weight/Volume Constraints



Typical **Traction** Application

### ■ SSTs in Grid Applications – A Skeptic’s View

- **Efficiency** of LFT for AC/AC Very Hard To Attain
- Weight/Volume Typically Not an Issue In Stationary Grid Applications
- Robustness, Reliability?
- Cost?

### ■ SSTs in Traction Applications

- SST Shows Efficiency Benefits for Applications with **Volume/Weight Constraints!**

## ► SST Applicability: The Road Ahead

### ■ Grid AC/AC



#### ■ Efficiency Challenge

- Controllability Can Be Provided By **Alternative Approaches**
  - More Efficient (e.g., Tap Changers)
  - Partial Power Processing
- Compatibility Issues With Existing Infrastructure (e.g., Protection)
- Cost, Robustness, Reliability Issues

### ■ Grid AC/DC



- Efficiency Challenge More Balanced
- Self-Contained Applications (e.g., Datacenter DC Distr.)
- Cost, Robustness, Reliability

### ■ DC-DC Applications



- Isolation Stage Frequency Is A Free Parameter
- Future Applications, e.g. MV DC Collection Grids for Wind/PV

### ■ Weight/Space Limited Appl.



- Medium Frequency Systems Offer
  - Weight/Volume Reduction
  - AND**
  - Efficiency Improvement
- Typically **Self-Contained Environments** On One Side Of The SST
- Several Industrial Prototypes, But So Far **No Products**

# The Thyristor Electronic Transformer: a Power Converter Using a High-Frequency Link

WILLIAM McMURRAY, SENIOR MEMBER, IEEE

“Initial Use May be Found in Special Applications where **Cost and Efficiency** are Secondary to **Size and Weight**.”

W. McMurray, 1971

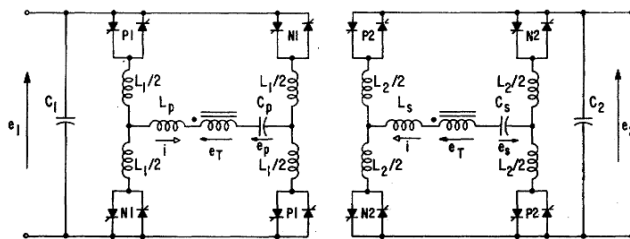


Fig. 5. Double-bridge electronic transformer; arrows define positive polarity of voltages and currents.

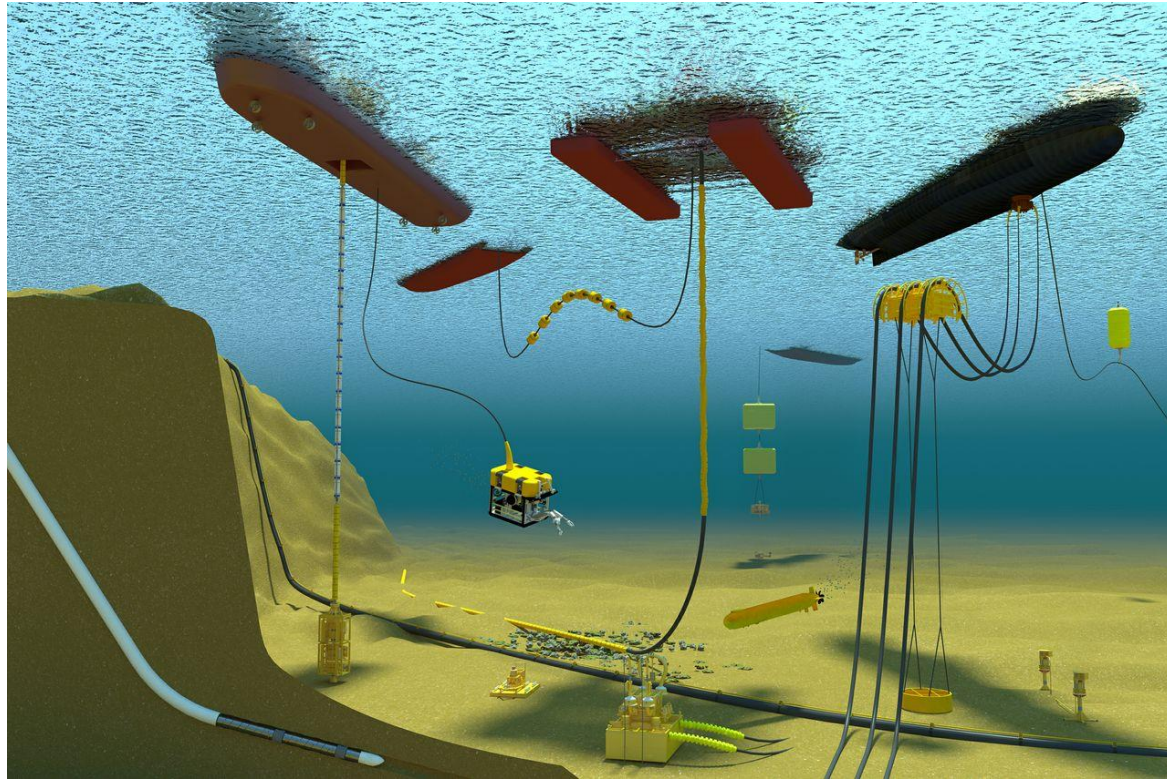
## CONCLUSIONS

thyristors. Thus practical application of the electronic transformer is dependent upon further circuit development and component improvements. Initial use may be found in special applications where cost and efficiency are secondary to size and weight.

# Future SST Applications



## ► Subsea Applications: Oil & Gas Processing (1)

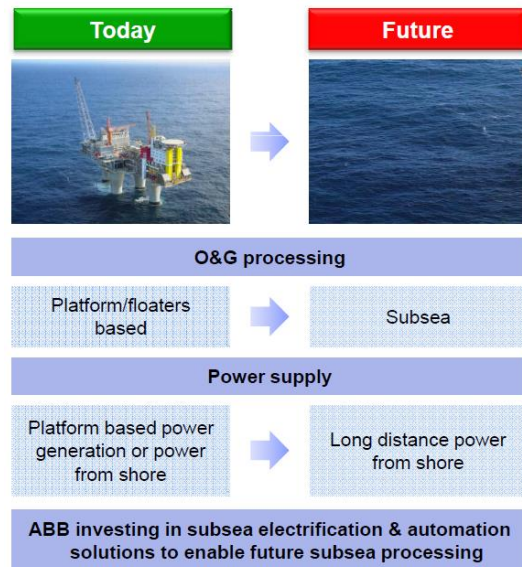


Img.: matrixengineered.com

- ABB's Future Subsea Power Grid → **“Develop all Elements for a Subsea Factory”**

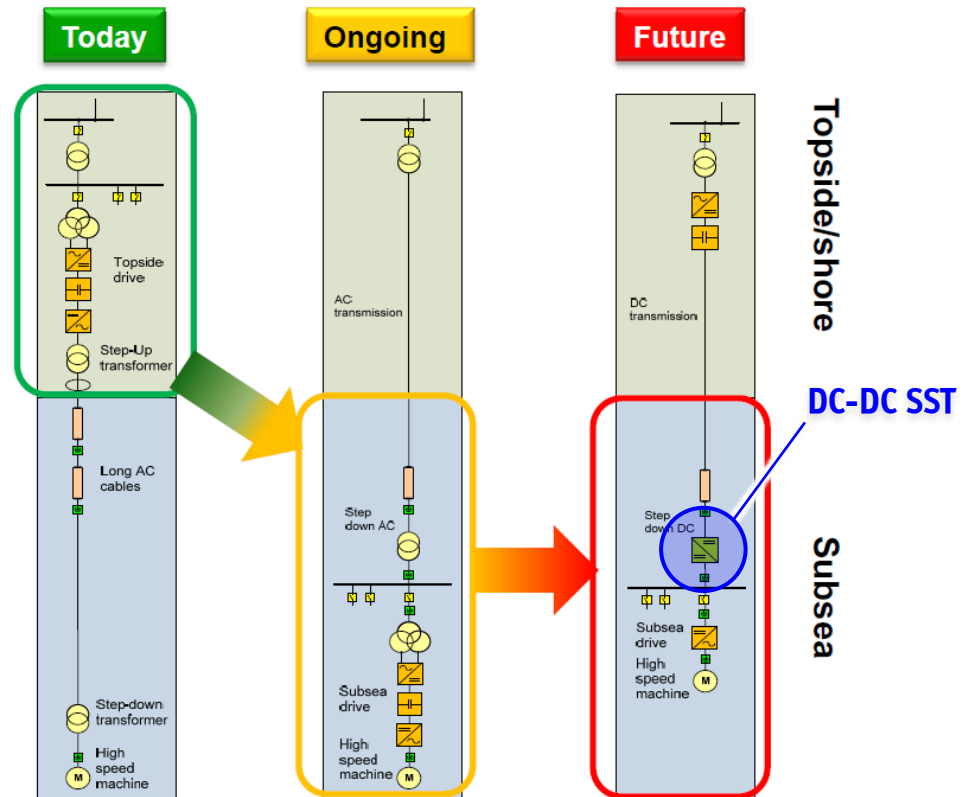
## ► Subsea Applications: Oil & Gas Processing (2)

### ■ Future Subsea Distribution Network (Devold, ABB, 2012)



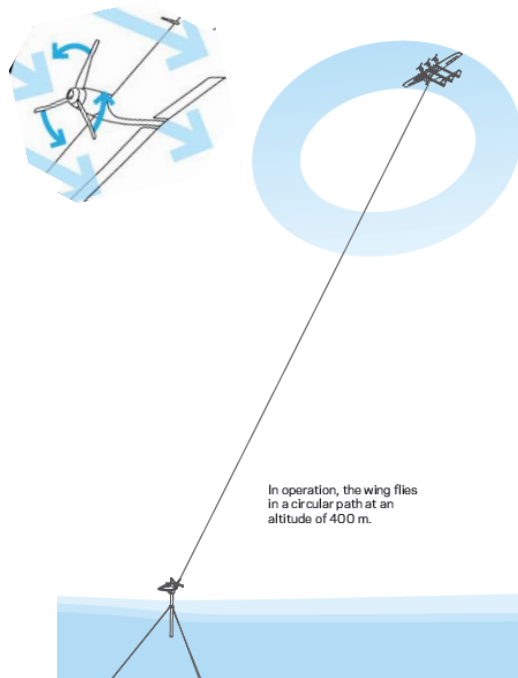
- Transmission Over **DC**, No Platforms/Floaters
- Longer Distances Possible
- Subsea O&G Processing

### ■ Weight Optimized Power Electronics



## ► Airborne Wind Turbines

- Power Kite Equipped with Turbine / Generator / Power Electronics
- Power Transmitted to Ground Electrically
- Minimum of Mechanically Supporting Parts



 **MAKANI POWER**

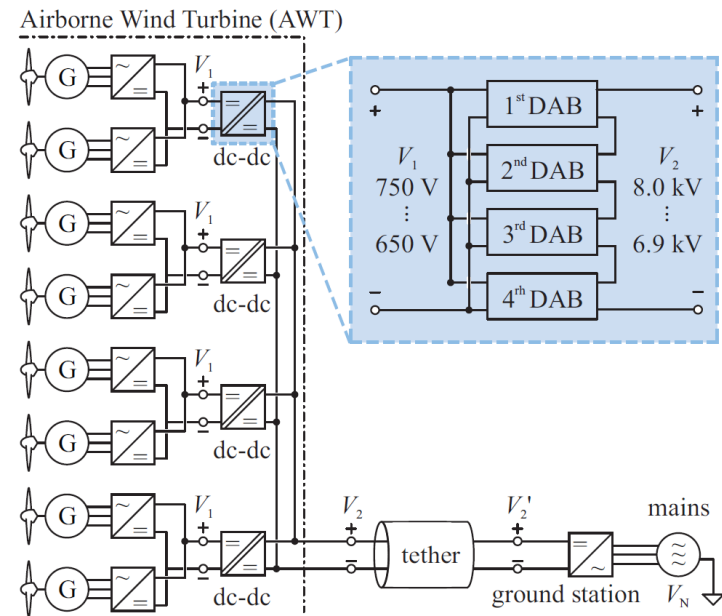
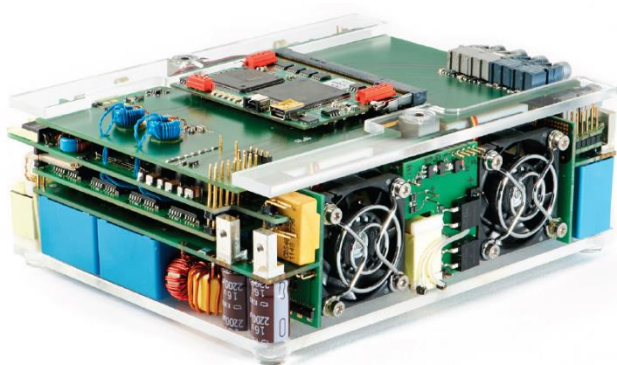
**Google X**



## ► 100kW Airborne Wind Turbine (1)

### ■ Ultra-Light Weight Multi-Cell **All-SiC Solid-State Transformer** – $8\text{kV}_{\text{DC}} \rightarrow 700\text{V}_{\text{DC}}$

- Medium Voltage Port 1750 ... 2000 VDC
- Switching Frequency 100 kHz
- Low Voltage Port 650 ... 750 VDC
- Cell Rated Power 6.25 kW
- Power Density 5.2 kW/dm<sup>3</sup>
- Specific Weight 4.4 kW/kg

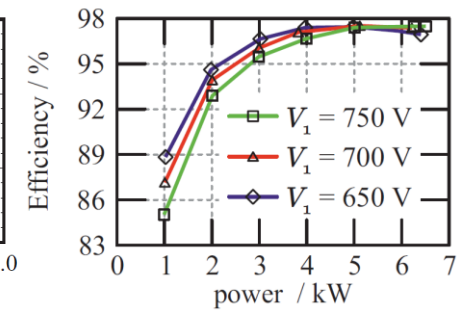
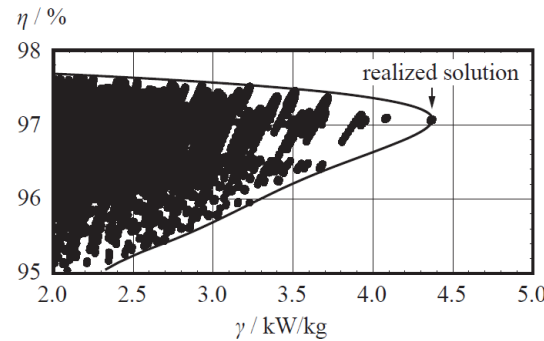
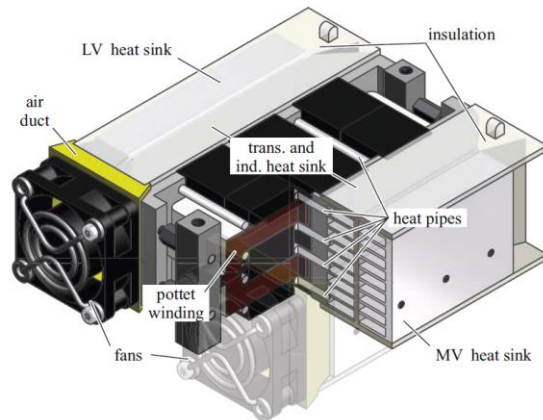
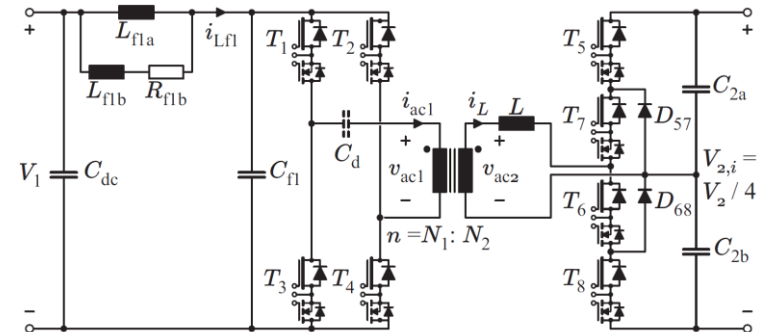


ETH / [Gammeter2015]

## ► 100kW Airborne Wind Turbine (2)

### ■ Ultra-Light Weight Multi-Cell **All-SiC Solid-State Transformer** – $8kV_{DC} \rightarrow 700V_{DC}$

- Medium Voltage Port 1750 ... 2000 VDC
- Switching Frequency 100 kHz
- Low Voltage Port 650 ... 750 VDC
- Cell Rated Power 6.25 kW
- Power Density 5.2 kW/dm<sup>3</sup>
- Specific Weight 4.4 kW/kg



ETH / [Gammeter2015]

## ► Future Hybrid or All-Electric Aircraft

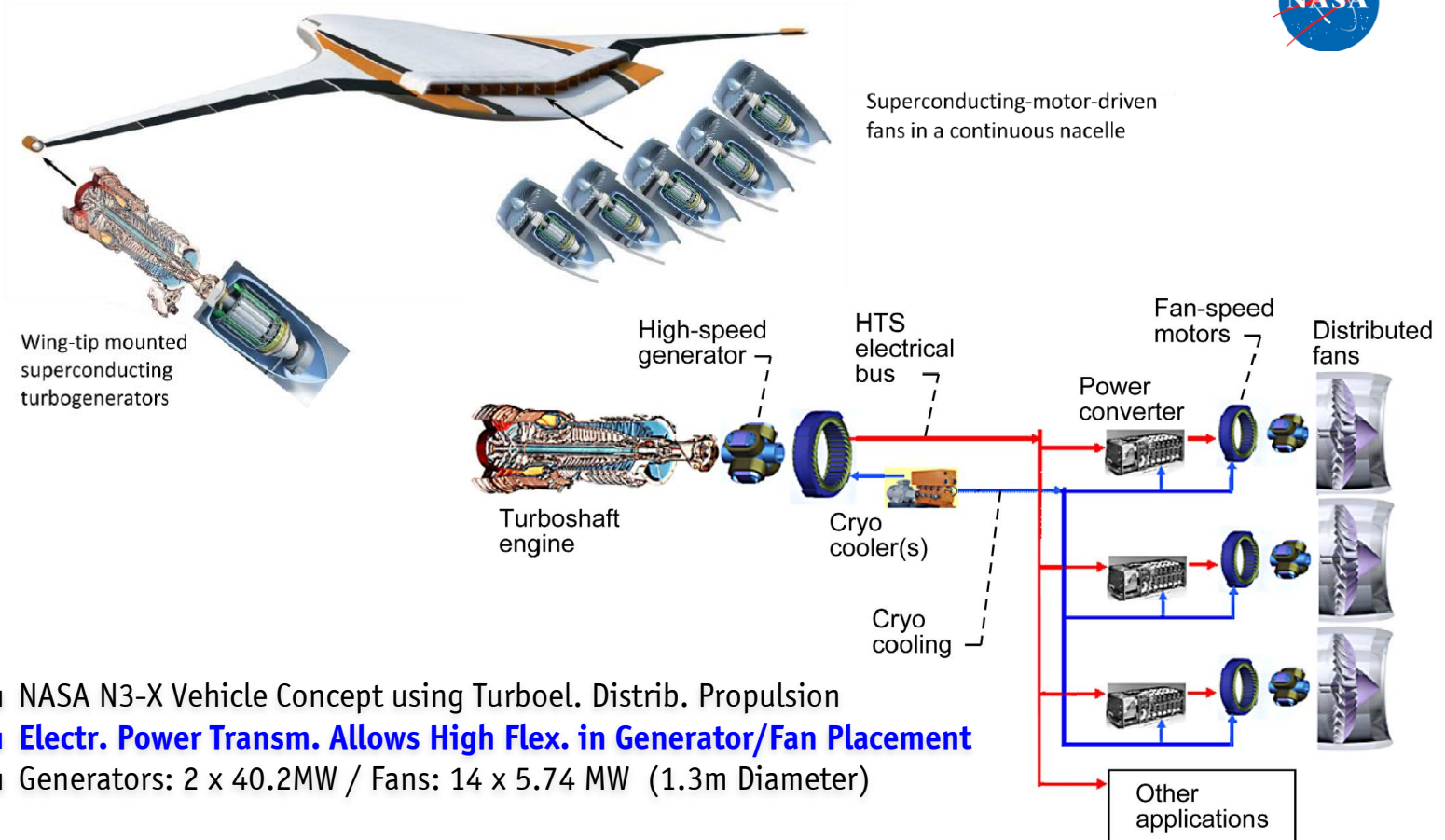


Source:  
**EADS**

- Powered by Thermal Efficiency Optimized Gas Turbine and/or Future Batteries (1000 Wh/kg)
- Highly Efficient Superconducting Motors Driving Distributed Fans (E-Thrust)
- **Until 2050: Cut CO<sub>2</sub> Emissions by 75%, NO<sub>x</sub> by 90%, Noise Level by 65%**

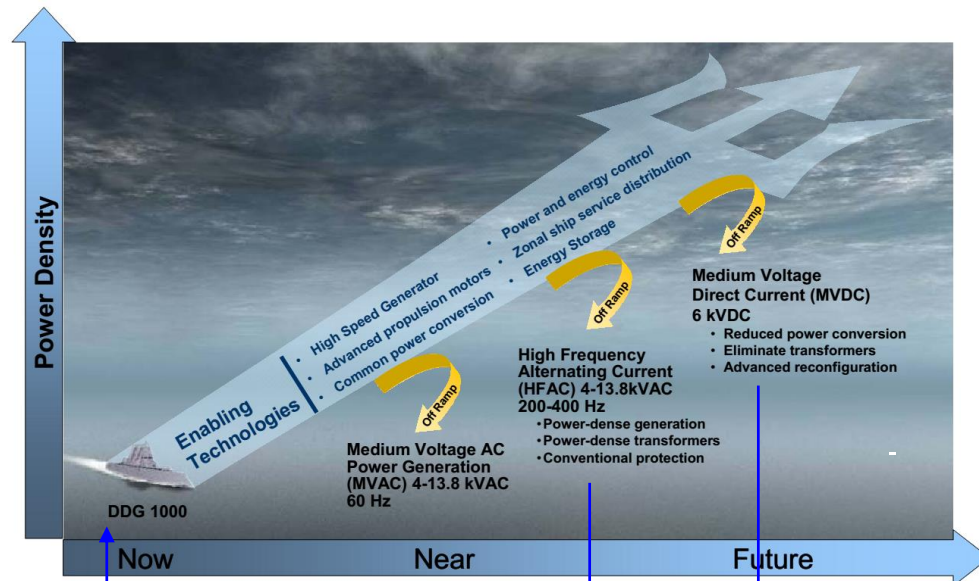
## ► Future Hybrid Aircraft

Source:



- NASA N3-X Vehicle Concept using Turboel. Distrib. Propulsion
- **Electr. Power Transm. Allows High Flex. in Generator/Fan Placement**
- Generators: 2 x 40.2MW / Fans: 14 x 5.74 MW (1.3m Diameter)
- **Potential SST Application: Supply of LV AC or DC Loads From MVDC Bus**

## ► Future Naval Applications (1)



USS Zumwalt  
Img.: US Navy, CC BY 2.0

### MVDC

- Further Size/Weight Reduction
- **DC-DC SSTs**

### HFAC

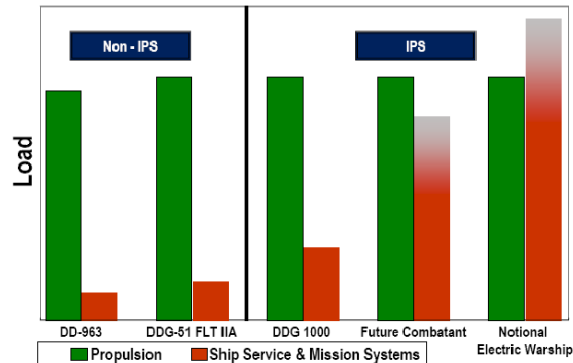
(High-Frequency AC)

- Reduce Size/Weight of Equipment

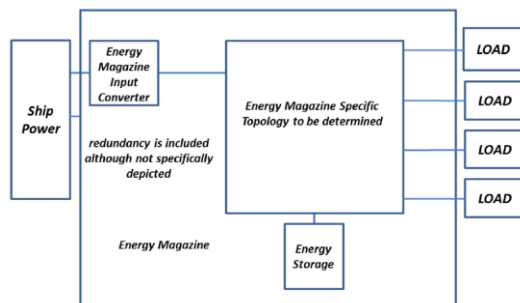
[Doerry2009]

## ► Future Naval Applications (2)

- Cellular **MV DC** Power Distribution on Future Combat Ships, etc.



[Doerry2009]



Source:  
General Dynamics

- **“Energy Magazine”** as Extension of Electric Power System / Individual Load Power Conditioning
- Bidirectional Power Flow for Advanced Weapon Load Demand
- **Extreme Energy and Power Density Requirements**

# Conclusion & Outlook

*SST Evaluation / Application Areas*  
*Future Research Areas*

## ► SST Ends the “War of Currents”

**THE CURRENT WAR**  
THE TALE OF AN EARLY TECH RIVALRY

**DC DIRECT CURRENT**  
The flow of electricity is in one direction only. The system operates at the same voltage level throughout and is not as efficient for high-voltage long distance transmission.  
Direct current runs through:  
Battery-Powered Devices, Fuel and Solar Cells, Light Emitting Diodes

**AC ALTERNATING CURRENT**  
Electric charge periodically reverses direction and is transmitted to customers by a transformer that could handle much higher voltages.  
Alternating current runs through:  
Car Motors, Radio Signals, Appliances

**THOMAS EDISON VS. NIKOLA TESLA**  
You would have never found two geniuses so spiteful of each other beyond turn-of-the-century inventors Nikola Tesla and Thomas Edison. They worked together—and hated each other. Let's compare their life, achievements, and embittered battles.

**1847 BORN 1858**  
Milan, Ohio BIRTHPLACE Smiljan, Croatia  
Wizard of Menlo Park NICKNAME Wizard of the West  
Home-schooled and self-taught EDUCATION Studied math, physics, and mechanics at The Polytechnic Institute at Graz  
Mass communication and business FORTÉ Electromagnetism and electromechanical engineering

**WAR OF CURRENTS: ELECTRICAL TRANSMISSION IDEA**  
DC (Direct Current) WAR OF CURRENTS: ELECTRICAL TRANSMISSION IDEA AC (Alternating Current)  
Incandescent light bulb; phonograph; cement making technology; motion picture camera; DC motors and electric power NOTABLE INVENTIONS Tesla coil - resonant transformer circuit; radio transmitter; fluorescent light; AC motors and electric power generation system

**1,093 NUMBER OF US PATENTS 112**  
**0 NUMBER OF NOBEL PRIZES WON 0**  
**1 NUMBER OF ELEPHANTS ELECTROCUTED 0**

**1931—Passed away peacefully in his New Jersey home, surrounded by friends and family**  
**DEATH 1943—Died lonely and in debt in Room 3527 at the New Yorker Hotel**

**EDISON FRIES AN ELEPHANT**  
In order to prove the dangers of Tesla's alternating current, Thomas Edison staged a highly publicized electrocution of the three-ton elephant known as "Topsy." She died instantly after being shocked with a 6,600-volt AC charge.

**WAR OF CURRENTS OFFICIALLY SETTLED**  
In 2007, Con Edison ended 125 years of direct current electricity service that began when Thomas Edison opened his power station in 1882. It changed to only provide alternating current.

**NOBEL PRIZE CONTROVERSY**  
In 1915, both Edison and Tesla were to receive Nobel Prizes for their strides in physics, but ultimately, neither won. It is rumored to have been caused by their animosity towards each other and refusal to share the coveted award.

SOURCES: CHENEY, MARGARET, "TESLA: MAN OUT OF TIME" | UTM, ROBERT, "TESLA: MASTER OF LIGHTNING" | THOMASEDISON.COM | PBS.ORG | WEB.MIT.EDU | WIRED.COM

A COLLABORATION BETWEEN GOOD AND COLUMN FIVE

Source: Column Five, <http://magazine.good.is>

■ No “Revenge” of T.A. Edison, but Future “Synergy” of AC and DC Systems!

## ► Key Messages #1/3

### ■ Basic SST Limitations

- **Efficiency** (Rel. High Losses of 2-4%)
- **High Costs** (Cost-Performance Adv. still to be Clarified)
- **Limited Weight/ Volume Reduction vs. Conv. Transf.** (Factor 2-3)
- Limited Overload Capability
- Limited Overvoltage Tolerance
- (Reliability)



### ■ Potential Application Areas

- MV Grid/Load-Connected AC/DC and DC/DC Converter Systems
- **Volume/Weight Limited Systems where 2-4% of Losses Could be Tolerated**

- Traction Vehicles
- MV Distribution Grid Interface
  - \* DC Microgrids (e.g., Datacenters)
  - \* Renewable Energy (e.g., DC Collecting Grid for PV, Wind; Power-to-Gas)
  - \* High Power Battery Charging (E-Mobility)
  - \* More Electric Ships
  - \* etc.
- Parallel Connection of LF Transformer and SST (SST Current Limit – SC Power does not Change)
- Temporary Replacement of Conv. Distribution Transformer
- Military Applications

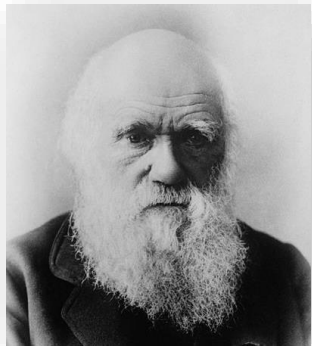
Img.: Marina Gallud / 123RF Stock Photo

## ► Key Messages #2/3

### ■ Advantageous Circuit Approaches

#### ► Fully Modular Concepts

- Resonant Isolated Back End Topology (ABB)
- Resonant Isolated Front End Topology (Swiss SST)



“It is not the strongest of the species that survives, nor the most intelligent that survives. It is the one that is the most adaptable to change.”

*Charles Darwin*

- Redundancy (!)
- Scalability (Voltage / Power)
- Natural Voltage / Current Balancing
- Economy of Scale

#### ► Alternatives

- Single Transformer Solutions (MMLC-Based)
- HV-SiC Based Solutions (SiC NPC-MV-Interface)

## ► Key Messages #3/3

### ■ Main Research Challenges

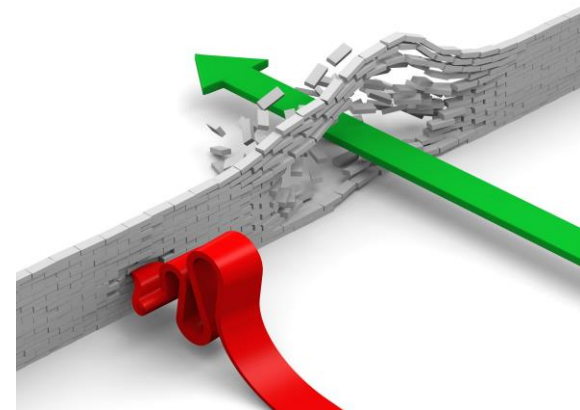
- **Multi-Level vs. Two-Level Topologies with HV SiC Switches**
- Low-Inductance MV Power Semiconductor Package
- **Mixed-Frequ./Voltage Stress on Insul. Materials**
- Low-Loss High-Current MF Interconnections / Terminals
- Thermal Management (Air and H<sub>2</sub>O Cooling, avoiding Oil)
- SST Protection
- SST Monitoring
- **SST Redundancy (Power & (!) Control Circuit)**
- SST vs. FACTS (Flexible AC Transmission Systems)
- System-Oriented Analysis → Clarify System-Level Benefits (Balancing the Low Eff. Drawback)

### ■ SST Design for Production → Multi-Disciplinary Challenge

#### ► Required Competences

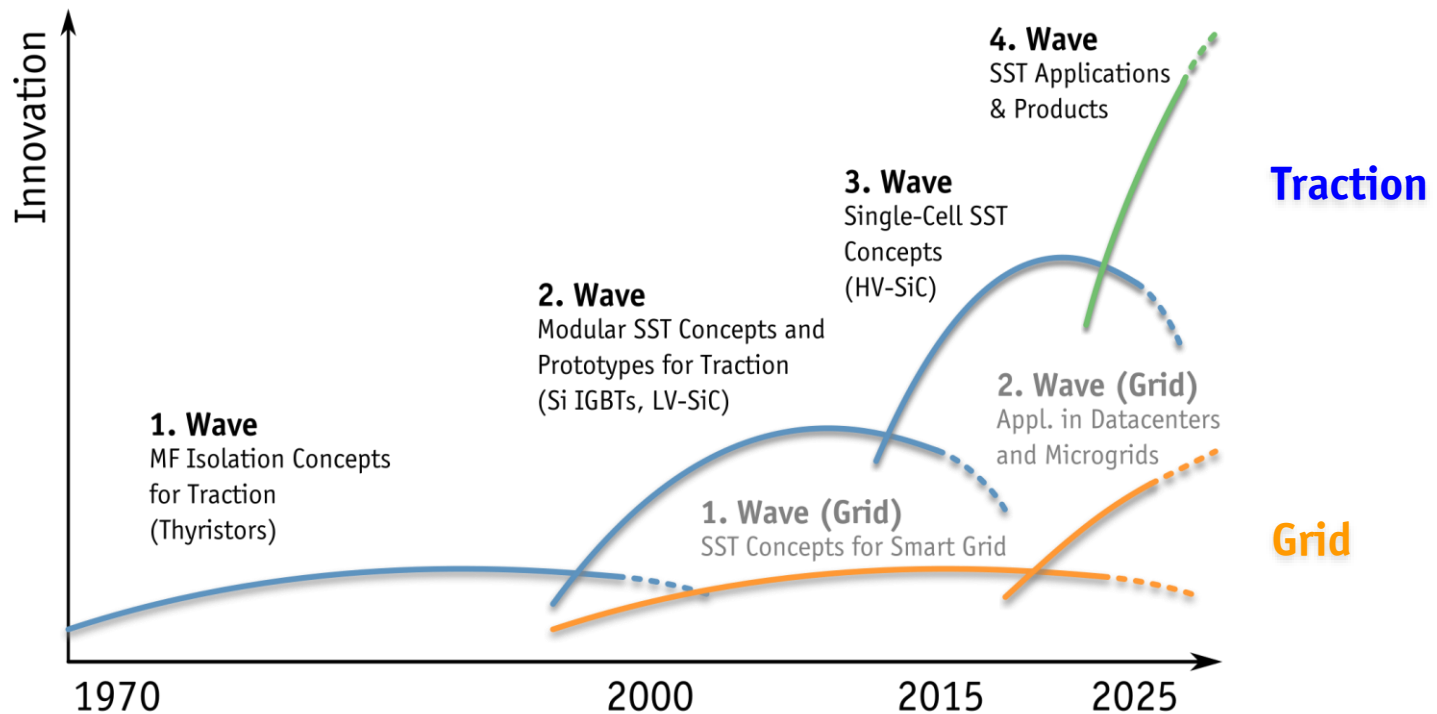
- MV (High) Power Electronics incl. Testing
- Digital Signal Processing (DSP & FPGA)
- MF High Power Magnetics
- Isolation Coordination / Materials
- Power Systems
- etc.

#### ► 50/60Hz XFRM Design Knowledge is NOT (!) Sufficient

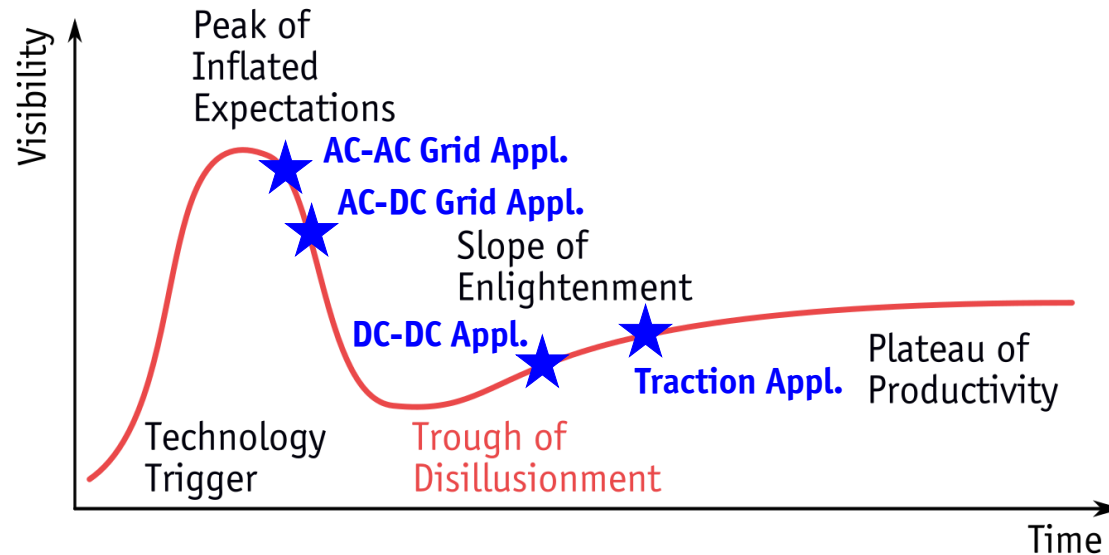


Tomas Griger / 123RF Stock Photo

## ► Waves of SST Innovations



## ► SST Technology Hype Cycle



- Different State of Development of SSTs for
  - Traction Applications
  - Hybrid / Smart Grid Applications

## ► SST for Future Grid Applications

**SST  
Research  
Status**



**Required for  
Successful  
Application**

Img.: [www.diamond-jewelry-pedia.com](http://www.diamond-jewelry-pedia.com)

■ **Huge Multi-Disciplinary Challenges / Opportunities (!)**

# Thank You!

*Questions?*



Source: Saddington Baynes / tmar.com

# Acknowledgement

The authors would like to thank

- *Dr. Gabriel Ortiz*
- *Thomas Guillod*
- *Daniel Rothmund*

for their contributions.

# References

---

Download Full Slide Deck from [www.pes.ee.ethz.ch](http://www.pes.ee.ethz.ch) ►



## ► ETH Zurich: Recent Key Publications

**G. Ortiz**, “High-power DC-DC converter technologies for smart-grid and traction applications,” PhD dissertation, ETH Zurich, Zurich, Switzerland, **2013**. → [Download](#)

**J. E. Huber, G. Ortiz, F. Krismer, N. Widmer, and J. W. Kolar**, “ $\eta$ -p pareto optimization of bidirectional half-cycle DC/DC converter with fixed voltage transfer ratio,” in *Proc. Applied Power Electronics Conf. (APEC)*, Long Beach, CA, USA, Mar. **2013**. → [Download](#)

**D. Rothmund, J. E. Huber, and J. W. Kolar**, “Operating behavior and design of the half-cycle discontinuous-conduction-mode series-resonant-converter with small DC link capacitors,” in *Proc. 14th IEEE Workshop on Control and Modeling for Power Electronics (COMPEL)*, Salt Lake City, UT, USA, Jun. **2013**. → [Download](#)

**J. E. Huber and J. W. Kolar**, “Optimum number of cascaded cells for high-power medium-voltage multilevel converters,” in *Proc. Energy Conversion Congr. and Expo (ECCE)*, Denver, CO, USA, Sept. **2013**. → [Download](#)

**J. E. Huber and J. W. Kolar**, “Common-mode currents in multi-cell solid-state transformers,” in *Proc. Int. Power Electronics Conf. (IPEC) and ECCE Asia*, Hiroshima, Japan, May **2014**. → [Download](#)

**J. E. Huber and Johann W. Kolar**, “Volume / weight / cost comparison of a 1 MVA 10 kV / 400 V solid-state against a conventional low-frequency distribution transformer,” in *Proc. Energy Conversion Congr. and Expo (ECCE)*, Pittsburgh, PA, USA, Sept. **2014**. → [Download](#)

**T. Guillod, J. E. Huber, G. Ortiz, A. De, C. M. Franck, and J. W. Kolar**, “Characterization of the voltage and electric field stresses in multi-cell solid-state transformers,” in *Proc. Energy Conversion Congr. and Expo (ECCE)*, Pittsburgh, PA, USA, Sept. **2014**. → [Download](#)

**D. Rothmund, G. Ortiz, and J. W. Kolar**, “SiC-based unidirectional solid-state transformer concepts for directly interfacing 400V DC to medium-voltage AC distribution systems,” *Proc. IEEE Int. Telecom. Energy Conf. (INTELEC)*, Vancouver, Canada, Sept. **2014**. → [Download](#)

**D. Rothmund, G. Ortiz, T. Guillod, and J. W. Kolar**, “10kV SiC-based isolated dc-dc converter for medium-voltage-connected SSTs,” *Proc. 30th Annu. IEEE Applied Power Electronics Conf. and Expo. (APEC)*, Charlotte, NC, USA, Mar. **2015**. → [Download](#)

**J. E. Huber and J. W. Kolar**, “Analysis and design of fixed voltage transfer ratio DC/DC converter cells for phase-modular solid-state transformers,” in *Proc. IEEE Energy Conversion Congr. and Expo. (ECCE)*, Montréal, Canada, Sept. **2015**. → [Download](#)

**T. Guillod, F. Krismer, R. Färber, C. Franck, and J. W. Kolar**, “Protection of MV/LV solid-state transformers in the distribution grid,” *Proc. 41th Annu. IEEE Ind. Electron. Society Conf. (IECON)*, Yokohama, Japan, Nov. **2015**. → [Download](#)

**J. E. Huber, D. Rothmund and J. W. Kolar**, “Comparative evaluation of isolated front end and isolated back end multi-cell SSTs,” in *Proc. 8th Int. Power Electron. and Motion Contrl. Conf. (IPEMC/ECCE Asia)*, Hefei, China, May **2016**.

## ► References: A – C

**Bala2012:** S. Bala, D. Das, E. Aeloiza, A. Maitra, S. Rajagopalan, “**Hybrid distribution transformer: concept development and field demonstration**,” in *Proc. IEEE Energy Conversion Congr. and Expo. (ECCE)*, Raleigh, NC, USA, Sept. 2012.

**BDEW2008:** BDEW Bundesverband der Energie- und Wasserwirtschaft e. V., *Erzeugungsanlagen am Mittelspannungsnetz (Technische Richtlinie)*, 2008.

**Birolini1997:** A. Birolini, *Quality and Reliability of Technical Systems*, 2nd ed. Berlin and Heidelberg: Springer, 1997.

**Boroyevich2010:** D. Boroyevich, I. Cvetkovic, D. Dong, R. Burgos, F. Wang, and F. Lee, “**Future electronic power distribution – a contemplative view**,” in *Proc. 12th Int. Optimization of Electrical and Electronic Equipment Conf. (OPTIM)*, Brasov, Romania, May 2010.

**Brooks1980:** J. L. Brooks, R. I. Staab, J. C. Bowers, and H. A. Nienhaus, “**Solid state regulated power transformer with waveform conditioning capability**,” US Patent No. 4,347,474, 1982 (filed 1980).

**Burkard2015:** J. Burkard and J. Biela, “**Evaluation of topologies and optimal design of a hybrid distribution transformer**,” in *Proc. 17th Europ. Power Electron. And Appl. Conf. (EPE)*, Geneva, Switzerland, Sep. 2015.

**Burkart2012:** R. Burkart and J. W. Kolar, “**Overview and comparison of grid harmonics and conducted EMI standards for LV converters connected to the MV distribution system**,” in *Proc. 1st Power Elect. South America Conf. and Exhibition (PCIM)*, São Paulo, Brazil, Sept. 2012. → [Download](#)

**Cottet2015a:** D. Cottet, W. van der Merwe, F. Agostini, G. Riedel, N. Oikonomou, A. Rüetschi, T. Geyer, T. Gradinger, R. Velthuis, B. Wunsch, D. Baumann, W. Gerig, F. Wildner, V. Sundaramoorthy, E. Bianda, F. Zurfluh, R. Block, D. Angelosante, D. Dzung, T. Wien, A. E. Vallestad, D. Orfanus, R. Indergaard, H. Vefling, A. Heggelund, and J. Bradshaw, “**Integration technologies for a fully modular and hot-swappable MV multi-level concept converter**,” in *Proc. Int. Power Electronics, Intelligent Motion, Renewable Energy and Energy Management Conf. (PCIM)*, Nuremberg, Germany, May 2015.

**Cottet2015b:** D. Cottet, F. Agostini, T. Gradinger, R. Velthuis, B. Wunsch, D. Baumann, W. Gerig, A. Rüetschi, D. Dzung, H. Vefling, A. E. Vallestad, D. Orfanus, R. Indergaard, T. Wien, and W. van der Merwe, “**Integration technologies for a medium voltage modular multi-level converter with hot swap capability**,” in *Proc. Energy Conversion Congr. and Expo. (ECCE)*, Montréal, QC, Canada, Sep. 2015.

## ► References: D – G

**Das2011:** M. K. Das, C. Capell, D. E. Grider, S. Leslie, J. Ostop, R. Raju, M. Schutten, J. Nasadoski, and A. Hefner, “**10kV, 120A SiC half H-bridge power MOSFET modules suitable for high frequency, medium voltage applications**,” in *Proc. IEEE Energy Conversion Congr. and Expo. (ECCE)*, Phoenix, AZ, USA, Sept. 2011.

**DeDoncker1989:** R. W. DeDoncker, M. H. Kheraluwala, and D. M. Divan, “**Power conversion apparatus for dc/dc conversion using dual active bridges**,” US Patent No. 5,027,264, 1991 (filed 1989).

**Dobrovolsky1890:** M. von Dolivo-Dobrovolsky, “**Electrical induction apparatus or transformer**,” US Patent No. 422,746, 1890.

**Doerry2009:** Capt. N. H. Dorry, “**Next generation integrated power systems for the future fleet**,” in *Proc. IEEE Electr. Ship Technologies Symp. (ESTS)*, Baltimore, MD, Apr. 2009.

**Dujic2011:** D. Dujic, F. Kieferndorf, and F. Canales, “**Power electronic transformer technology for traction applications – an overview**,” in *Proc. 16th Int. Power Electronics Symp.*, Novi Sad, Serbia, Oct. 2011.

**Dujic2013:** D. Dujic, C. Zhao, A. Mester, J. K. Steinke, M. Weiss, S. Lwedeni-Schmid, T. Chaudhuri, and P. Stefanutti, “**Power Electronic Traction Transformer—Low Voltage Prototype**,” *IEEE Trans. Power Electron.*, vol 28, no. 12, Dec. 2013.

**Esser1991:** A. Esser, “**Berührungslose kombinierte Energie- und Informationsübertragung für bewegliche Systeme**,” PhD Dissertation, RWTH Aachen, Germany, 1991.

**Falcones2010:** S. Falcones, X. Mao, R. Ayyanar, “**Topology comparison for solid state transformer implementation**,” in *Proc. IEEE Power and Energy Society General Meeting*, Minneapolis, MN, USA, Jul. 2010.

**Gammeter2015:** C. Gammeter, F. Krismer, and J. W. Kolar, “**Comprehensive conceptualization, design, and experimental verification of a weight-optimized all-SiC 2kV/700V DAB for an airborne wind turbine**,” *IEEE Trans. Emerg. Sel. Topics Power Electron.*, Apr. for Publi., 2015.

→ [Download](#)

**Glinka2003:** M. Glinka and R. Marquardt, “**A new single phase AC/AC-multilevel converter for traction vehicles operating on AC line voltage**,” in *Proc. 10th Europ. Power Electron. and Appl. Conf. (EPE)*, Toulouse, France, Sept. 2003.

## ► References: Gm – Ho

**Grinberg2013:** R. Grinberg, G. Riedel, A. Korn, and P. Steimer, “On reliability of medium voltage multilevel converters,” in *Proc. Energy Conversion Congr. And Expo. (ECCE)*, Pittsburgh, PA, USA, Sept. 2013.

**Guillod2014:** T. Guillod, J. E. Huber, G. Ortiz, A. De, C. M. Franck, and J. W. Kolar, “Characterization of the voltage and electric field stresses in multi-cell solid-state transformers,” in *Proc. Energy Conversion Congr. and Expo (ECCE)*, Pittsburgh, PA, USA, Sept. 2014. → [Download](#)

**Guillod2015:** T. Guillod, F. Krismer, R. Färber, C. Franck, and J. W. Kolar, “Protection of MV/LV solid-state transformers in the distribution grid,” in *Proc. 41th Annu. IEEE Ind. Electron. Society Conf. (IECON)*, Yokohama, Japan, Nov. 2015. → [Download](#)

**Han2014:** B. Han, N. Choi, and J. Lee, “New bidirectional intelligent semiconductor transformer for smart grid application,” *IEEE Trans. Power Electron.*, vol. 29, no. 8, pp. 4058-4066, Aug. 2014.

**Hazeltine1923:** L. A. Hazeltine, “Method and apparatus for converting electric power,” US Patent No. 1,702,402, 1929 (original filed 1923).

**Heinemann2001:** L. Heinemann and G. Mauthe, “The universal power electronics based distribution transformer, an unified approach,” *Proc. 32nd Annu. IEEE Power Electronics Specialists Conf. (PESC)*, Vancouver, Canada, Aug. 2001.

**Heinemann2002:** L. Heinemann, “An actively cooled high power, high frequency transformer with high insulation capability,” in *Proc. 17th Annu. IEEE Applied Power Electronics Conf. and Expo.*, Dallas TX, USA, Aug. 2002.

**Hiller2016:** P. Himmelmann, M. Hiller, K. Kahlen, and S. Busse, “MTBF comparison of state of the art medium voltage drive topologies for oil & gas applications,” in *Proc. 12th Annu. Petroleum and Chemical Industry Committee (PCIC)*, London, UK, Jun. 2015.

**Hoffmann2011:** H. Hoffmann and B. Piepenbreier, “Medium frequency transformer for rail application using new materials,” in *Proc. 1st Int. Electric Drives Production Conf.*, Nuremberg, Germany, Sept. 2011.

## ► References: Hu – J

**Huang2009:** A. Q. Huang and J. Baliga, “**FREEDM system: role of power electronics and power semiconductors in developing an energy internet**,” *Proc. 21st Int. Power Semiconductor Devices and ICs Symp. (ISPSD)*, Barcelona, Spain, Jun. 2009.

**Huang2011:** A. Q. Huang, M. L. Crow, G. T. Heydt, J. P. Zheng, and Steinar J. Dale, “**The future renewable electric energy delivery and management (FREEDM) system: the energy internet**,” *Proc. IEEE*, vol. 99, no. 1, Jan. 2011.

**Huber2013a:** J. E. Huber, G. Ortiz, F. Krismer, N. Widmer, and J. W. Kolar, “ **$\eta$ - $\rho$  pareto optimization of bidirectional half-cycle DC/DC converter with fixed voltage transfer ratio**,” in *Proc. Applied Power Electronics Conf. (APEC)*, Long Beach, CA, USA, Mar. 2013. → [Download](#)

**Huber2013b:** J. E. Huber and J. W. Kolar, “**Optimum number of cascaded cells for high-power medium-voltage multilevel converters**,” in *Proc. Energy Conversion Congr. and Expo (ECCE)*, Denver, CO, USA, Sept. 2013. → [Download](#)

**Huber2014a:** J. E. Huber and J. W. Kolar, “**Common-mode currents in multi-cell solid-state transformers**,” in *Proc. Int. Power Electronics Conf. (IPEC) and ECCE Asia*, Hiroshima, Japan, May 2014. → [Download](#)

**Huber2014b:** J. E. Huber and Johann W. Kolar, “**Volume / weight / cost comparison of a 1 MVA 10 kV / 400 V solid-state against a conventional low-frequency distribution transformer**,” in *Proc. Energy Conversion Congr. and Expo (ECCE)*, Pittsburgh, PA, USA, Sept. 2014. → [Download](#)

**Huber2015:** J. E. Huber and J. W. Kolar, “**Analysis and design of fixed voltage transfer ratio DC/DC converter cells for phase-modular solid-state transformers**,” in *Proc. IEEE Energy Conversion Congr. and Expo. (ECCE)*, Montréal, Canada, Sept. 2015. → [Download](#)

**Huber2016a:** J. E. Huber, D. Rothmund, and J. W. Kolar, “**Comparative evaluation of isolated front end and isolated back end multi-cell SSTs**,” *Proc. 8th Int. Power Electron. and Motion Contrl. Conf. (IPEMC/ECCE Asia)*, Hefei, China, May 2016.

## ► References: K – Q

**Kang1999:** M. Kang, P. N. Enjeti, and I. J. Pitel, “Analysis and design of electronic transformers for electric power distribution system,” *IEEE Trans. Power Electron.*, vol. 14, no. 6, pp. 1133-1141, Nov. 1999.

**Kjaer2016:** P. C. Kjaer, Y.-H. Chen, and C. G. Dincan, “DC collection – wind power plant with medium voltage dc power collection network,” presented at the *ECPE Workshop on Smart Transformers for Traction and Future Grid Applications*, Zürich, Switzerland, Feb. 2016.

**Kolar2016:** J. W. Kolar and J. E. Huber, “Konverter zur potentialgetrennten Übertragung elektrischer Energie,” Swiss Patent Application, Jan. 12, 2016.

**Krishnamoorthy2012:** H. Krishnamoorthy and P. Enjeti, “New medium-voltage adjustable speed drive (ASD) topologies with medium-frequency transformer isolation,” *Proc. 7th Int. Power Electronics and Motion Control Conf. (IPEMC)*, Harbin, China, Jun. 2012.

**Krismer2012:** F. Krismer and J. W. Kolar, “Closed form solution for minimum conduction loss modulation of DAB converters,” *IEEE Trans. Power Electron.*, vol. 27, no. 1, Jan. 2012.

**McMurray1968:** W. McMurray, “Power converter circuits having a high frequency link,” US Patent No. 3,517,300, 1970 (original filed 1968).

**McMurray1969:** W. McMurray, “Fast response stepped-wave switching power converter circuit,” US Patent No. 3,581,212, 1971.

**McMurray1971:** W. McMurray, “The thyristor electronic transformer: a power converter using a high-frequency link,” *IEEE Trans. Ind. Gen. Appl.*, vol. 7, no. 4, pp. 451-457, Jul. 1971.

**Ortiz2010:** G. Ortiz, J. Biela, D. Bortis, and J. W. Kolar, “1 Megawatt, 20 kHz, isolated bidirectional 12kV to 1.2kV dc-dc converter for renewable energy applications,” in *Proc. Int. Power Electronics Conf. (IPEC)*, Sapporo, Japan, Jun. 2010.

**Ortiz2013a:** G. Ortiz, H. Uemura, D. Bortis, J. W. Kolar, and O. Apeldorn, “Modeling of soft-switching losses of IGBTs in high-power high-efficiency dual-active-bridge DC/DC converters,” *IEEE Trans. Electron Devices*, vol. 60, no. 2, pp. 587-597, Feb. 2013. → [Download](#)

**Ortiz2013b:** G. Ortiz, M. Leibl, J. W. Kolar, and O. Apeldoorn, “Medium frequency transformers for solid-state-transformer applications – design and experimental verification,” in *Proc. 10th IEEE Int. Power Electron. and Drive Systems Conf. (PEDS)*, Kitakyushu, Japan, Apr. 2013. → [Download](#)

**Ortiz2013c:** G. Ortiz, “High-power DC-DC converter technologies for smart-grid and traction applications,” PhD dissertation, ETH Zurich, Zurich, Switzerland, 2013. → [Download](#)

**Passmore2015:** B. Passmore, Z. Cole, J. Stabach, G. Falling, P. Killeen, and C. O’Neal, “High Temperature / High Voltage Packaging Using Wide Bandgap Power Devices,” *Presented at the 2015 ECPE SiC & GaN Forum*, Birmingham, UK, Apr. 2015.

## ► References: R – S

**Rothmund2014:** D. Rothmund, G. Ortiz, and J. W. Kolar, “**SiC-based unidirectional solid-state transformer concepts for directly interfacing 400V DC to medium-voltage AC distribution systems**,” in *Proc. IEEE Int. Telecom. Energy Conf. (INTELEC)*, Vancouver, Canada, Sept. 2014.

→ [Download](#)

**Rothmund2015:** D. Rothmund, G. Ortiz, T. Guillod, and J. W. Kolar, “**10kV SiC-based isolated dc-dc converter for medium-voltage-connected SSTs**,” *Proc. 30th Annu. IEEE Applied Power Electronics Conf. and Expo. (APEC)*, Charlotte, NC, USA, Mar. 2015. → [Download](#)

**Schwarz1970:** F. Schwarz, “**A method of resonant current pulse modulation for power converters**,” *IEEE Trans. Ind. Electron. Contr. Instr.*, vol. 17, no. 3, pp. 209-221, May 1970.

**Stanley1886:** W. Stanley, “**Induction Coil**,” US Patent No. 349,611, 1886.

**Steiner1998:** M. Steiner and H. Reinold, “**Antriebsschaltung für ein Schienenfahrzeug**,” German Patent DE 198 27 872 A 1, 1998.

**Steiner2000:** M. Steiner, “**Seriegeschaltete Gleichspannungs-Zwischenkreisumrichter in Traktionsanwendungen am Wechselspannungsfahrdraht**,” PhD Dissertation, ETH Zürich, Switzerland, 2000.

**Steiner2007:** M. Steiner and H. Reinold, “**Medium frequency topology in railway applications**,” in *Proc. European Power Electronics and Applications Conf. (EPE)*, Aalborg, Denmark, Sept. 2007.

**Stieneker2014:** M. Stieneker, J. Riedel, N. Soltan, H. Stagge, and R. W. DeDoncker, “**Design of series-connected dual-active bridges for integration of wind park cluster into MVDC grids**,” in *Proc. 16th Europ. Power Electron. And Applications Conf. (EPE)*, Lappeenranta, Finland, Aug. 2014.

## ► References: T – Z

**Tripathi2012:** A. K. Tripathi, K. Hatua, H. Mirzaee, and S. Bhattacharya, “**A three-phase three winding topology for Dual Active Bridge and its d-q mode control**,” in *Proc. 27th Annu. IEEE Applied Power Electronics Conf. and Expo.*, Orlando, FL, USA, Feb. 2012.

**VanDerMerwe2009a:** W. van der Merwe and T. Mouton, “**Solid-state transformer topology selection**,” in *Proc. Int. Industrial Technology Conf. (ICIT)*, Gippsland, Australia, Feb. 2009.

**VanDerMerwe2009b:** W. van der Merwe and H. du T. Mouton, “**The solid-state transformer concept: a new era in power distribution**,” in *Proc. AFRICON*, Nairobi, Kenya, Sept. 2009.

**Victor2005:** M. Victor, “**Energiewandlung auf AC-Triebfahrzeugen mit Mittelfrequenztransformator**,” *Elektrische Bahnen*, vol. 103, no. 11, pp. 505–510, 2005.

**Wang2013:** H. Wang, F. Blaabjerg, K. Ma, and R. Wu, “**Design for reliability in power electronics in renewable energy systems – status and future**,” in *Proc. 4th Int. Power Engineering, Energy and Electrical Drives Conf. (POWERENG)*, Istanbul, Turkey, May 2013.

**Watson2009:** A. J. Watson, H. Q. S. Dang, G. Mondal, J. C. Clare, P. W. Wheeler, “**Experimental implementation of a multilevel converter for power system integration**,” in *Proc. IEEE Energy Conversion Congr. and Expo. (ECCE)*, San Jose, CA, USA, Sept. 2009.

**Weiss1985:** H. Weiss, “**Elimination of the 16 2/3 Hz 15kV main transformer on electrical traction vehicles**,” in *Proc. 1st Europ. Power Electronics and Applications Conf. (EPE)*, Brussels, Belgium, Nov. 1985.

**Wrede2002:** H. Wrede, V. Staudt, and A. Steimel, “**Design of an electronic power transformer**,” in *Proc. 28th Annu. IEEE Ind. Electronics Society Conf. (IECON)*, Sevilla, Spain, Nov. 2002.

**Zhao2014:** C. Zhao, D. Dujic, A. Mester, J. K. Steinke, M. Weiss, S. Lwedeni-Schmid, T. Chaudhuri, and P. Stefanutti, “**Power electronic traction transformer—medium voltage prototype**,” *IEEE Trans. Ind. Electron.*, vol. 61, no. 7, pp. 3257–3268, Jul. 2014.

## Authors



**Johann W. Kolar** (F'10) received his M.Sc. and Ph.D. degree (summa cum laude / promotio sub auspiciis praesidentis rei publicae) from the University of Technology Vienna, Austria. Since 1982 he has been working as an independent international consultant in close collaboration with the University of Technology Vienna, in the fields of power electronics, industrial electronics and high performance drives. He has proposed numerous novel converter topologies and modulation/control concepts, e.g., the VIENNA Rectifier, the SWISS Rectifier, and the three-phase AC-AC Sparse Matrix Converter. Dr. Kolar has published over 400 scientific papers at main international conferences, over 150 papers in international journals, and 2 book chapters. Furthermore, he has filed more than 110 patents. He was appointed Assoc. Professor and Head of the Power Electronic Systems Laboratory at the Swiss Federal Institute of Technology (ETH) Zurich on Feb. 1, 2001, and was promoted to the rank of Full Prof. in 2004. Since 2001 he has supervised over 60 Ph.D. students and PostDocs.

The focus of his current research is on AC-AC and AC-DC converter topologies with low effects on the mains, e.g. for data centers, More-Electric-Aircraft and distributed renewable energy systems, and on Solid-State Transformers for Smart Microgrid Systems. Further main research areas are the realization of ultra-compact and ultra-efficient converter modules employing latest power semiconductor technology (SiC and GaN), micro power electronics and/or Power Supplies on Chip, multi-domain/scale modeling/simulation and multi-objective optimization, physical model-based lifetime prediction, pulsed power, and ultra-high speed and bearingless motors. He has been appointed an IEEE Distinguished Lecturer by the IEEE Power Electronics Society in 2011.

He received 7 IEEE Transactions Prize Paper Awards and 7 IEEE Conference Prize Paper Awards. Furthermore, he received the ETH Zurich Golden Owl Award 2011 for Excellence in Teaching and an Erskine Fellowship from the University of Canterbury, New Zealand, in 2003.

He initiated and/or is the founder/co-founder of 4 spin-off companies targeting ultra-high speed drives, multi-domain/level simulation, ultra-compact/efficient converter systems and pulsed power/electronic energy processing. In 2006, the European Power Supplies Manufacturers Association (EPSMA) awarded the Power Electronics Systems Laboratory of ETH Zurich as the leading academic research institution in Power Electronics in Europe.

Dr. Kolar is a Fellow of the IEEE and a Member of the IEEJ and of International Steering Committees and Technical Program Committees of numerous international conferences in the field (e.g. Director of the Power Quality Branch of the International Conference on Power Conversion and Intelligent Motion). He is the founding Chairman of the IEEE PELS Austria and Switzerland Chapter and Chairman of the Education Chapter of the EPE Association. From 1997 through 2000 he has been serving as an Associate Editor of the IEEE Transactions on Industrial Electronics and since 2001 as an Associate Editor of the IEEE Transactions on Power Electronics. Since 2002 he also is an Associate Editor of the Journal of Power Electronics of the Korean Institute of Power Electronics and a member of the Editorial Advisory Board of the IEEJ Transactions on Electrical and Electronic Engineering.

## Authors



**Jonas E. Huber** (S'10) received his M.Sc. (with distinction) degree from the Swiss Federal Institute of Technology (ETH) Zurich, Switzerland, in 2012, after studying electrical engineering with focus on power electronics, drive systems, and high voltage technology. He worked on a new modulation concept for the modular multilevel converter during an industry internship with ABB Switzerland as part of his master studies, before he designed and constructed a 100 kW/20 kHz back-to-back test bench for a medium frequency transformer in the scope of his master thesis, which was carried out at the Power Electronic Systems Laboratory, ETH Zurich. In 2012, he then joined the Power Electronic Systems Laboratory, ETH Zurich, as a PhD student, where his main research interests are in the area of solid-state transformers for smart grid applications, focusing on the analysis, optimization, and design of high-power multi-cell converter systems, reliability considerations, control strategies, and grid integration aspects, among others. He has authored seven and co-authored three papers published at international IEEE conferences.